

LUX Sensitivity to Effective Field Theory Interactions

Nicole A. Larsen

CIPANP 2018
31 May 2018



Direct Detection Basics

$$\frac{dR}{dE_R} = \frac{\rho_0}{m_\chi m_A} \int_{v > v_{min}} v f(\vec{v}) \frac{d\sigma}{dE_R} d^3v$$

Differential event rate with respect to recoil energy (events/keV/kg/day)
 Differential cross-section

Average over WIMP velocity distribution

- WIMP-nucleon recoil spectrum is approximately a decaying exponential
- $f(v)$ depends on halo model:
 - “Spherical cow” model: Maxwellian distribution truncated at galactic $v_{\text{escape}} = 544$ km/s
 - Accounts for Earth’s motion through galaxy (220 km/s + annual modulation)
- Local dark matter density also depends on halo model ($\rho_0 \sim 0.3$ GeV/cm³)

• Differential cross section: $\frac{d\sigma}{dE_R} = \frac{m_A}{2\pi v^2} |\mathcal{M}|^2$ **FERMI’S GOLDEN RULE**

Scattering amplitude $|\mathcal{M}|^2$ contains all the particle physics

An EFT Framework for Direct Detection

Standard SI+SD-only WIMP scattering analyses assume a point-nucleus limit with non-relativistic momenta but...

- Tension between experiments and the lack of a definitive positive detection suggest it is prudent to look for new interactions!
 - Parton momenta inside the nucleus are not necessarily small ($q \sim 1/r$)
 - New operators could add corrections to SI or SD interactions that are momentum- or velocity-dependent...
 - ... or produce entirely new nuclear responses that interfere with SI, SD interactions
- Would like to address possible WIMP-nucleon interactions in a complete and model-independent way

Fitzpatrick et al. arXiv:1203.3542

Fitzpatrick et al. arxiv:1211.2818

Anand et al. arXiv: 1308.6288

Anand et al. arXiv: 1405.6690

A Complete Set of EFT Interactions

$$\mathcal{L}_{\text{int}} = c \Psi_{\chi}^* \mathcal{O}_{\chi} \Psi_{\chi} \Psi_N^* \mathcal{O}_N \Psi_N = \sum_{i=1}^{\mathcal{N}} \left(c_i^{(n)} \mathcal{O}_i^{(n)} + c_i^{(p)} \mathcal{O}_i^{(p)} \right)$$

- \mathcal{O}_i restricted to be Galilean-invariant and Hermitian
- Allowed building blocks are WIMP spin \mathbf{S}_{χ} , nucleon spin \mathbf{S}_N , incident velocity \mathbf{v}^{\perp} , momentum transfer \mathbf{q}^2 .

<p>SI Interaction</p> <p>Cannot obtain at lowest order</p>	{	$\mathcal{O}_1 = 1$	$\mathcal{O}_9 = i\vec{\mathbf{S}}_{\chi} \cdot (\vec{\mathbf{S}}_N \times \vec{\mathbf{q}})$	<p>Exotic; do not arise from exchange of a spin-0 or spin-1 mediator</p> <p>Linear combo. of \mathcal{O}_{12} and \mathcal{O}_{15}</p>
		$\mathcal{O}_2 = (v^{\perp})^2$	$\mathcal{O}_{10} = i\vec{\mathbf{S}}_N \cdot \vec{\mathbf{q}}$	
		$\mathcal{O}_3 = i\vec{\mathbf{S}}_N \cdot (\vec{\mathbf{q}} \times \vec{\mathbf{v}}^{\perp})$	$\mathcal{O}_{11} = i\vec{\mathbf{S}}_{\chi} \cdot \vec{\mathbf{q}}$	
<p>SD Interaction</p>	{	$\mathcal{O}_4 = \vec{\mathbf{S}}_{\chi} \cdot \vec{\mathbf{S}}_N$	$\mathcal{O}_{12} = \vec{\mathbf{S}}_{\chi} \cdot (\vec{\mathbf{S}}_N \times \vec{\mathbf{v}}^{\perp})$	
		$\mathcal{O}_5 = i\vec{\mathbf{S}}_{\chi} \cdot (\vec{\mathbf{q}} \times \vec{\mathbf{v}}^{\perp})$	$\mathcal{O}_{13} = i(\vec{\mathbf{S}}_{\chi} \cdot \vec{\mathbf{v}}^{\perp})(\vec{\mathbf{S}}_N \cdot \vec{\mathbf{q}})$	
		$\mathcal{O}_6 = (\vec{\mathbf{S}}_{\chi} \cdot \vec{\mathbf{q}})(\vec{\mathbf{S}}_N \cdot \vec{\mathbf{q}})$	$\mathcal{O}_{14} = i(\vec{\mathbf{S}}_{\chi} \cdot \vec{\mathbf{q}})(\vec{\mathbf{S}}_N \cdot \vec{\mathbf{v}}^{\perp})$	
		$\mathcal{O}_7 = \vec{\mathbf{S}}_N \cdot \vec{\mathbf{v}}^{\perp}$	$\mathcal{O}_{15} = -(\vec{\mathbf{S}}_{\chi} \cdot \vec{\mathbf{q}})((\vec{\mathbf{S}}_N \times \vec{\mathbf{v}}^{\perp}) \cdot \vec{\mathbf{q}})$	
		$\mathcal{O}_8 = \vec{\mathbf{S}}_{\chi} \cdot \vec{\mathbf{v}}^{\perp}$	$\mathcal{O}_{16} = -((\vec{\mathbf{S}}_{\chi} \times \vec{\mathbf{v}}^{\perp}) \cdot \vec{\mathbf{q}})(\vec{\mathbf{S}}_N \cdot \vec{\mathbf{q}})$	

Nuclear Responses

M	Σ''	Σ'	Δ	Φ''	$\tilde{\Phi}'$
SI	SD	SD	LD (ang.-mom.- dependent)	LSD	Tensor LSD
	longitudinal	transverse		(spin-orbit)	

- All interactions are linear combos. of 6 independent nuclear responses
- These are the leading-order multipoles that show up in terms of the nuclear scattering matrix – depend **only** on the nuclear physics of the target

SI Interaction $\left\{ \begin{array}{l} \mathcal{O}_1 = 1 \\ \mathcal{O}_9 = i\vec{S}_\chi \cdot (\vec{S}_N \times \vec{q}) \end{array} \right\}$ SD (transverse only)

~~$\mathcal{O}_2 = (v^\perp)^2$~~

$\mathcal{O}_{10} = i\vec{S}_N \cdot \vec{q}$

Spin-orbit $\left\{ \begin{array}{l} \mathcal{O}_3 = i\vec{S}_N \cdot (\vec{q} \times \vec{v}^\perp) \\ \mathcal{O}_{11} = i\vec{S}_\chi \cdot \vec{q} \end{array} \right\}$ SI

SD (both components) $\left\{ \begin{array}{l} \mathcal{O}_4 = \vec{S}_\chi \cdot \vec{S}_N \\ \mathcal{O}_{12} = \vec{S}_\chi \cdot (\vec{S}_N \times \vec{v}^\perp) \end{array} \right\}$

Ang.-mom.-dep. $\left\{ \begin{array}{l} \mathcal{O}_5 = i\vec{S}_\chi \cdot (\vec{q} \times \vec{v}^\perp) \\ \mathcal{O}_{13} = i(\vec{S}_\chi \cdot \vec{v}^\perp)(\vec{S}_N \cdot \vec{q}) \end{array} \right\}$ Tensor spin-orbit

SD (longitudinal only) $\left\{ \begin{array}{l} \mathcal{O}_6 = (\vec{S}_\chi \cdot \vec{q})(\vec{S}_N \cdot \vec{q}) \\ \mathcal{O}_{14} = i(\vec{S}_\chi \cdot \vec{q})(\vec{S}_N \cdot \vec{v}^\perp) \end{array} \right\}$

$\mathcal{O}_7 = \vec{S}_N \cdot \vec{v}^\perp$

$\mathcal{O}_{15} = -(\vec{S}_\chi \cdot \vec{q})((\vec{S}_N \times \vec{v}^\perp) \cdot \vec{q})$

$\mathcal{O}_8 = \vec{S}_\chi \cdot \vec{v}^\perp$

~~$\mathcal{O}_{16} = -((\vec{S}_\chi \times \vec{v}^\perp) \cdot \vec{q})(\vec{S}_N \cdot \vec{q})$~~

Direct Detection of Interactions

The scattering amplitude can then be written as

$$|\mathcal{M}|^2 \equiv \frac{m_A^2}{m_N^2} \sum_{i,j} \sum_{N,N'=n,p} c_i^N c_j^{N'} F_{i,j}^{(N,N')}$$

where:

- c_i, c_j are the WIMP-nucleon coupling constants for operators O_i and O_j
- $F_{i,j}$ is a form factor that contains all the particle and nuclear physics
- Each $F_{i,j}$ is a linear combination of nuclear responses $F_k = M, \Sigma'', \Sigma', \Delta, \Phi'', \Phi'$:

$$F_{i,j}^{(N,N')} = \sum_{k=M, \Sigma'', \Sigma', \Delta, \Phi'', \Phi'} a_{ijk} F_k^{(N,N')}$$

- Calculate the F_k numerically using your favorite method, e.g. nuclear shell model

WIMP-Nucleon Sensitivity by Target

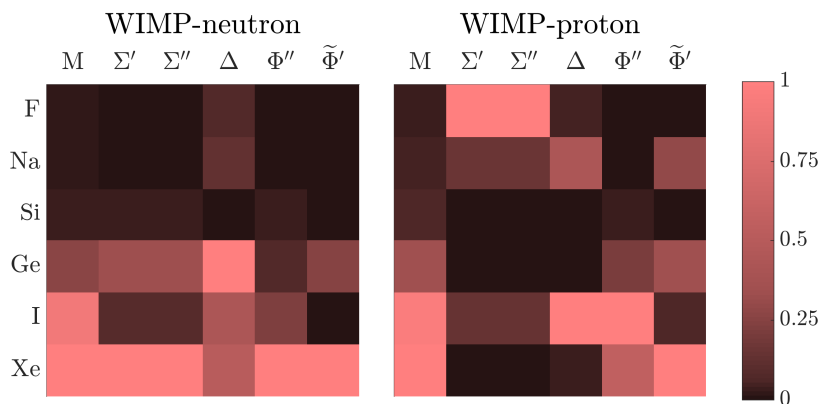
M (SI): Response goes as A^2 ; favors heavy targets (e.g. Xe, I).

Σ'' , Σ' (SD): The two components can appear independently; each favors targets with unpaired neutrons (e.g. ^{73}Ge , ^{129}Xe , ^{131}Xe) or protons (^{19}F , ^{127}I).

Δ (LD): Arises from operators dependent on nucleon velocities. Favors targets with high A.

Φ'' , Φ' (LSD, tLSD): Favor elements with unfilled orbitals above the s-shell; only targets with spin > 1 are sensitive (e.g. ^{131}Xe).

Integrated Nuclear Response Form Factors By Target



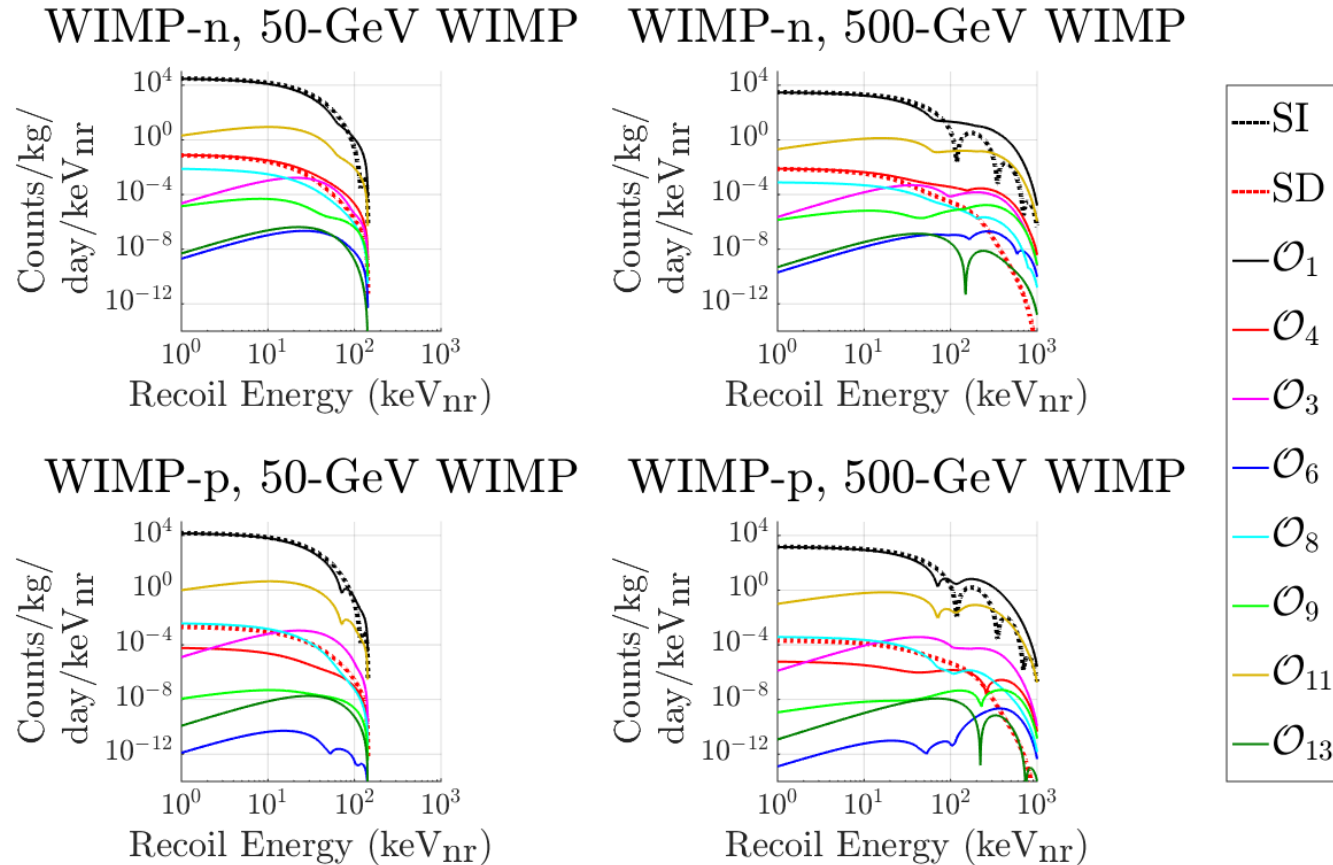
Plot adapted from Fitzpatrick et al.
arXiv:1203.3542

Xenon is sensitive to all but WIMP-proton Σ'' , Σ'

This can be overcome by:

- The large size and scalability of xenon-based detectors
- Complementarity of targets

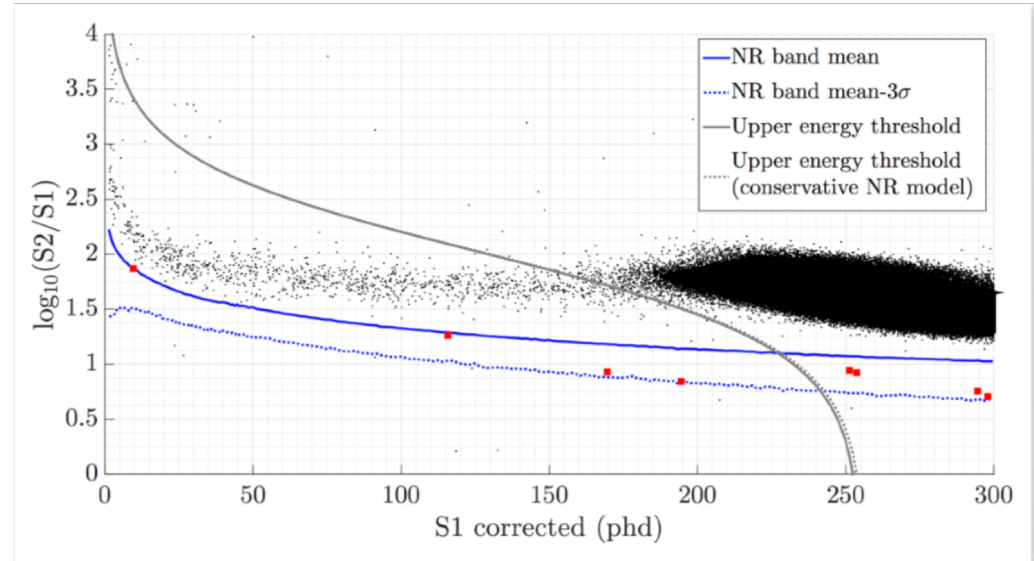
Selected WIMP-Nucleon Recoil Spectra in Xenon



- SI and SD spectra are approximately decaying exponentials.
- For other operators, the momentum dependence affects the spectral shape.
- Spectra for large m_χ stay flat or rise out to O(100-1000) keV!

An EFT Analysis of the First LUX Science Run

- LUX is a dual-phase 370-kg xenon-based TPC whose 2013, 2014-2016 runs set world-leading** constraints on SI WIMP-nucleon scatters (see M. Szydagis talk)
- 2013 LUX WIMP search run: 118 kg fiducial volume * 94.98 livedays



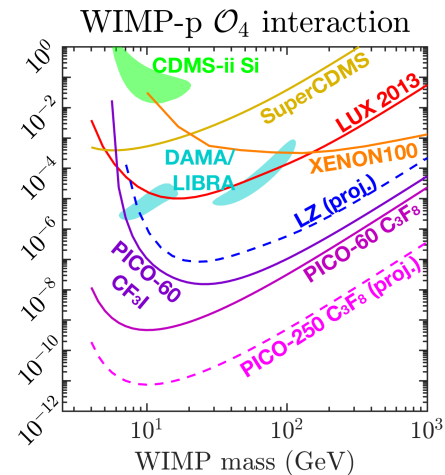
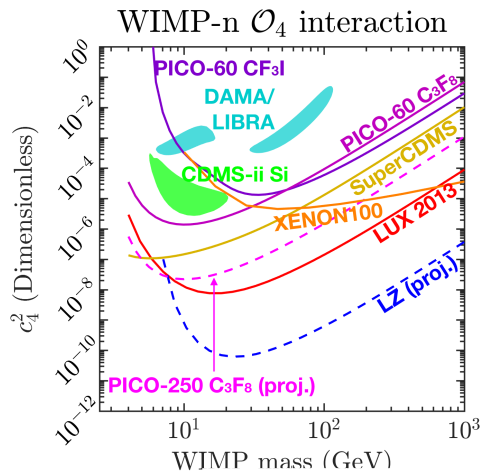
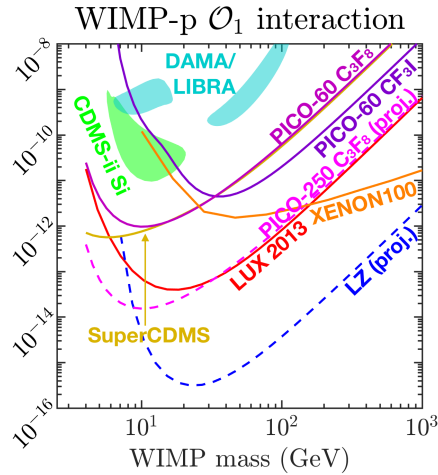
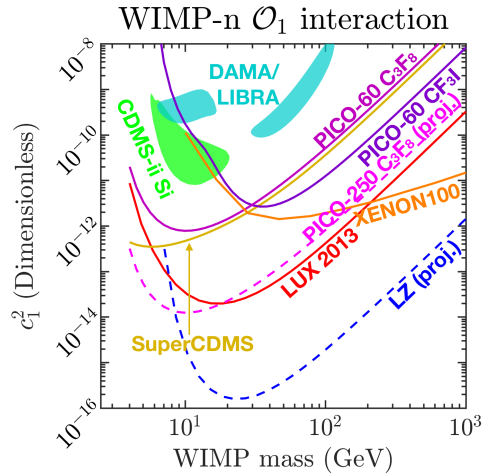
- For EFT interactions:
 - Large upper energy threshold desirable but limited by available calibration data and $^{83\text{m}}\text{Kr}$ events in the WIMP search dataset
 - Choose $E_{\text{upper}} = 30 \text{ keV}_{\text{ee}}$ ($\text{Kr mean} - 5\sigma$) = $168.7 \text{ keV}_{\text{nr}}$. Limits are robust to $\pm 1\sigma$ variations in the NR model
 - Remove events $>3\sigma$ below the NR band mean
 - 4 events observed; 4.78 background events expected
 - Computationally expensive \Rightarrow Feldman-Cousins cut-and-count approach

** XENON1T now in the lead

Comparing LUX to Other Experiments

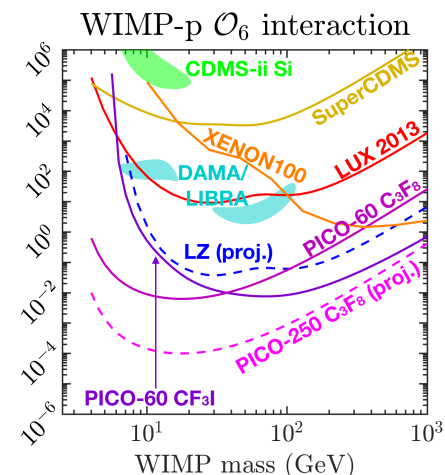
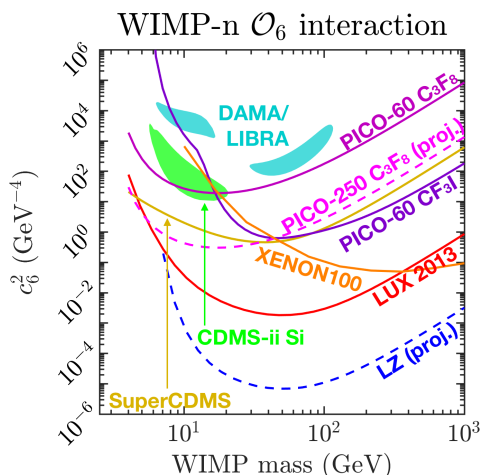
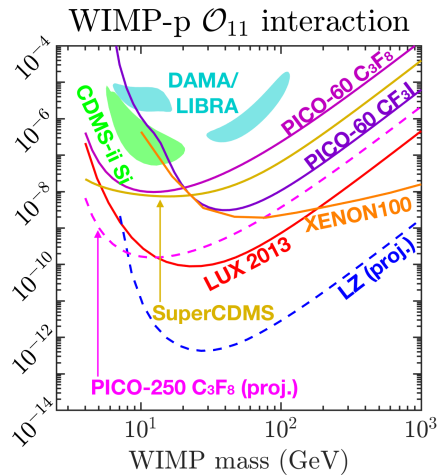
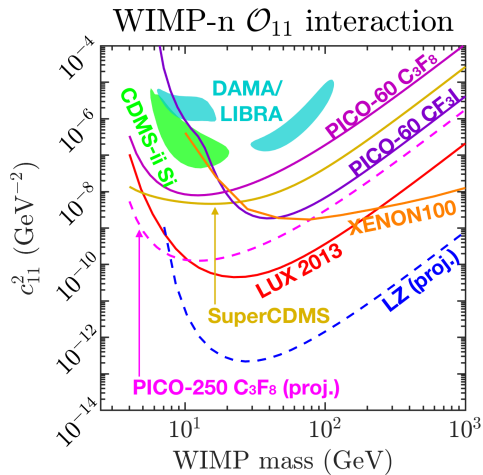
	Experiment	Target	Exposure	Reference
Consistent with Background	LUX	Xe	118 kg * 94.98d	PRL 116, 161301 (2016)
	SuperCDMS	Ge	577 kg*d	PRD 91, 092004 (2015) and PRL 112, 241302 (2014)
	PICO-2L	C ₃ F ₈	129 kg*d	PRD 93, 061101 (2016) and PRL 114, 231302 (2015)
	PICO-60 (CF ₃ I)	CF ₃ I	1335 kg*d	PRD 93, 052014 (2016)
	PICO-60 (C ₃ F ₈)	C ₃ F ₈	1167 kg*day	PRL 118, 251301 (2017)
	XENON100	Xe	34 kg * 224.6 d	PRD 96, 042004 (2017)
Excess of Events	DAMA/LIBRA	NaI	0.82 ton * year	EPJ C56 333-355 (2008) and JCAP04 ID010 (2009)
	CDMS-ii Si	Si	23.4 kg*d	Arxiv:1304.4279v3
Projections	LZ	Xe	5600 kg * 1000d	Arxiv:1509.02910
	PICO-250	C ₃ F ₈	250 kg * 1000d	EPJ Web Conf. 95 (2015) 04020

Limits on Selected Operators



\mathbf{M} (Standard SI)

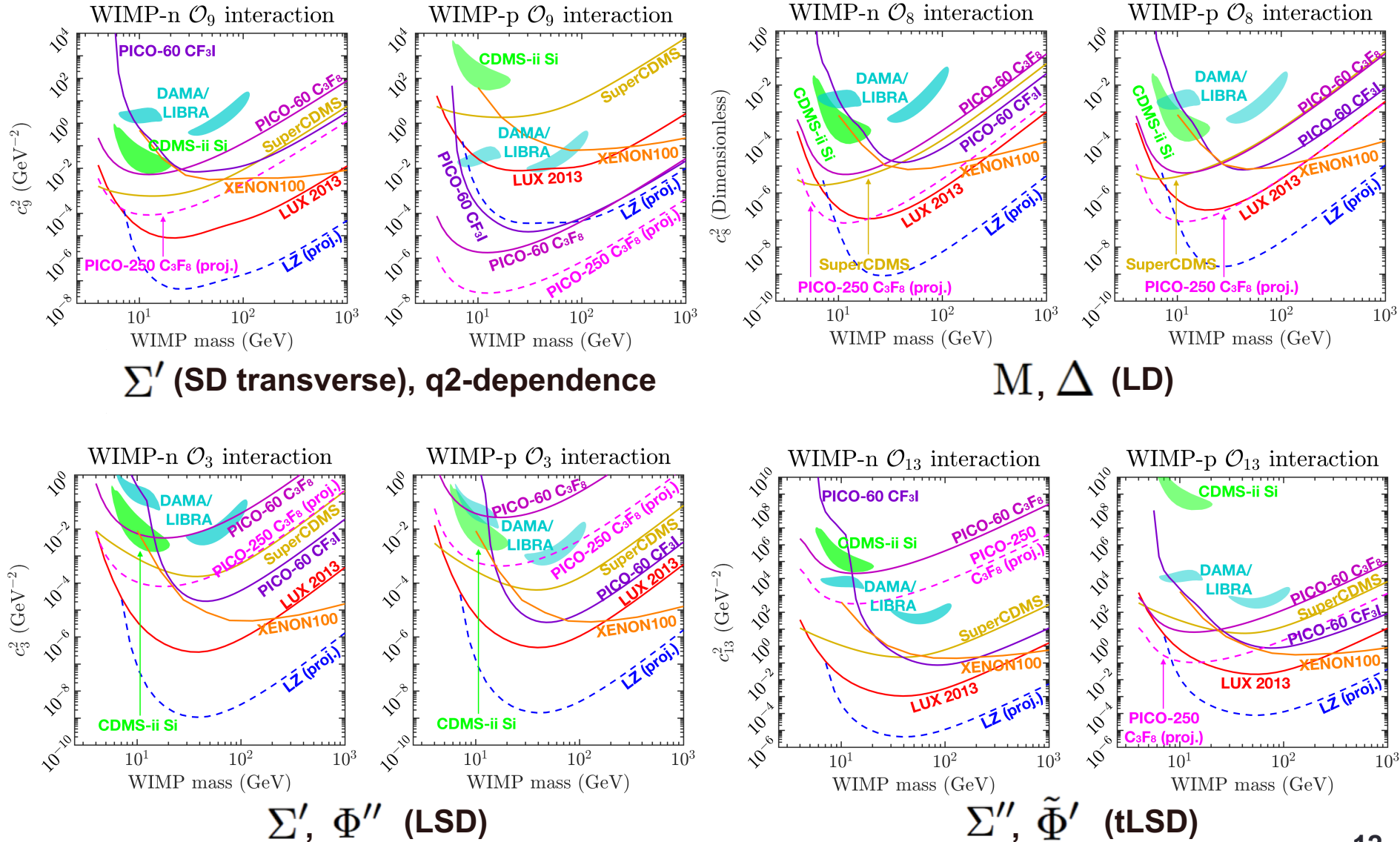
$\Sigma'' + \Sigma'$ (Standard SD)



\mathbf{M} , q^2 -dependence

Σ'' (SD longitudinal), q^4 -dependence

Limits on Selected Operators



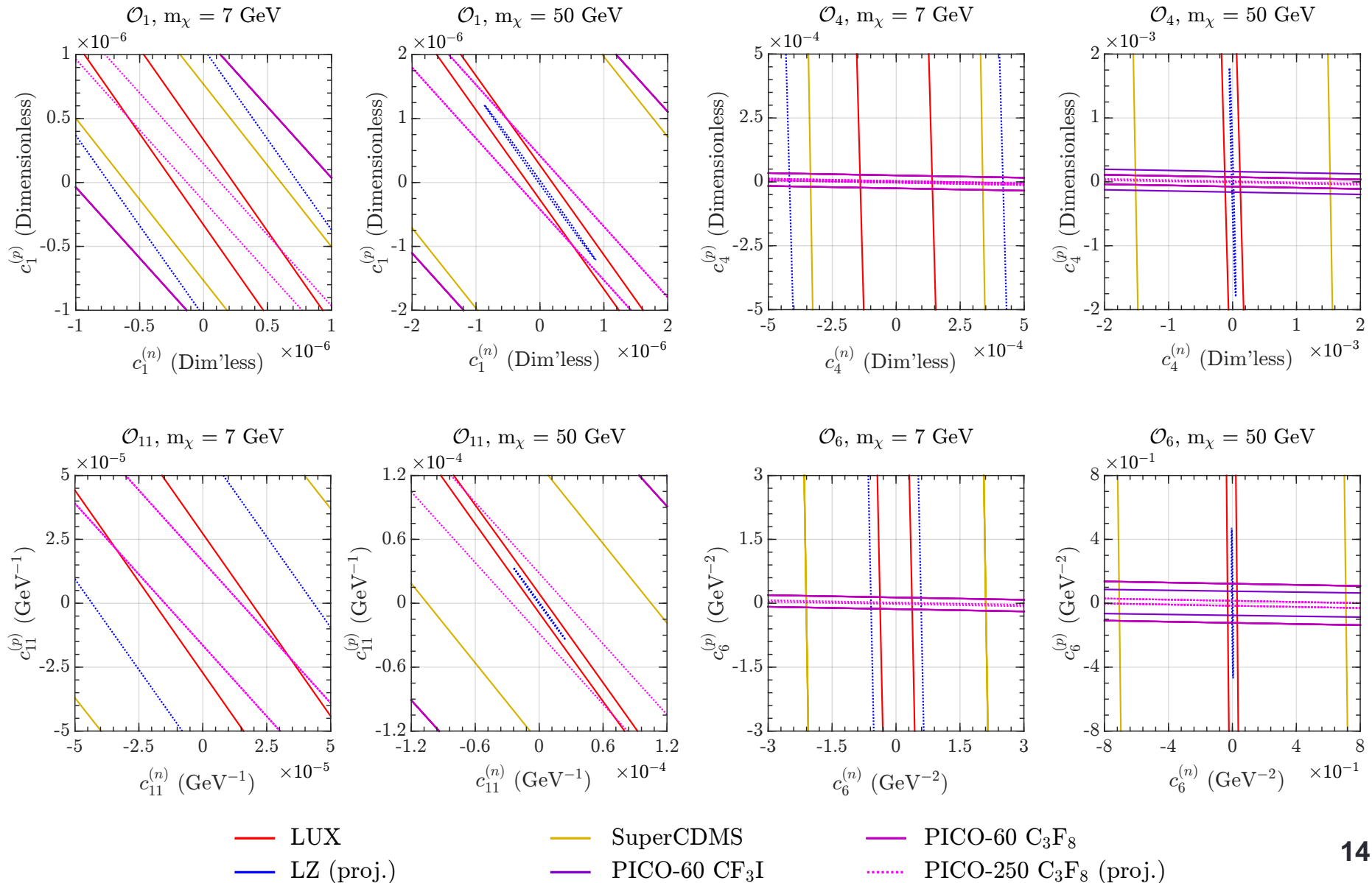
Isospin-Independent Limits

- Limits on previous slides not model-independent (restrict either WIMP-neutron or WIMP-proton coupling strength to be zero)
- For a fixed WIMP mass, can set limits on WIMP-p and WIMP-n interactions in arbitrary combinations, i.e. define an allowed region in c_p vs. c_n space
- For a multi-isotope target with isotopes A_i :

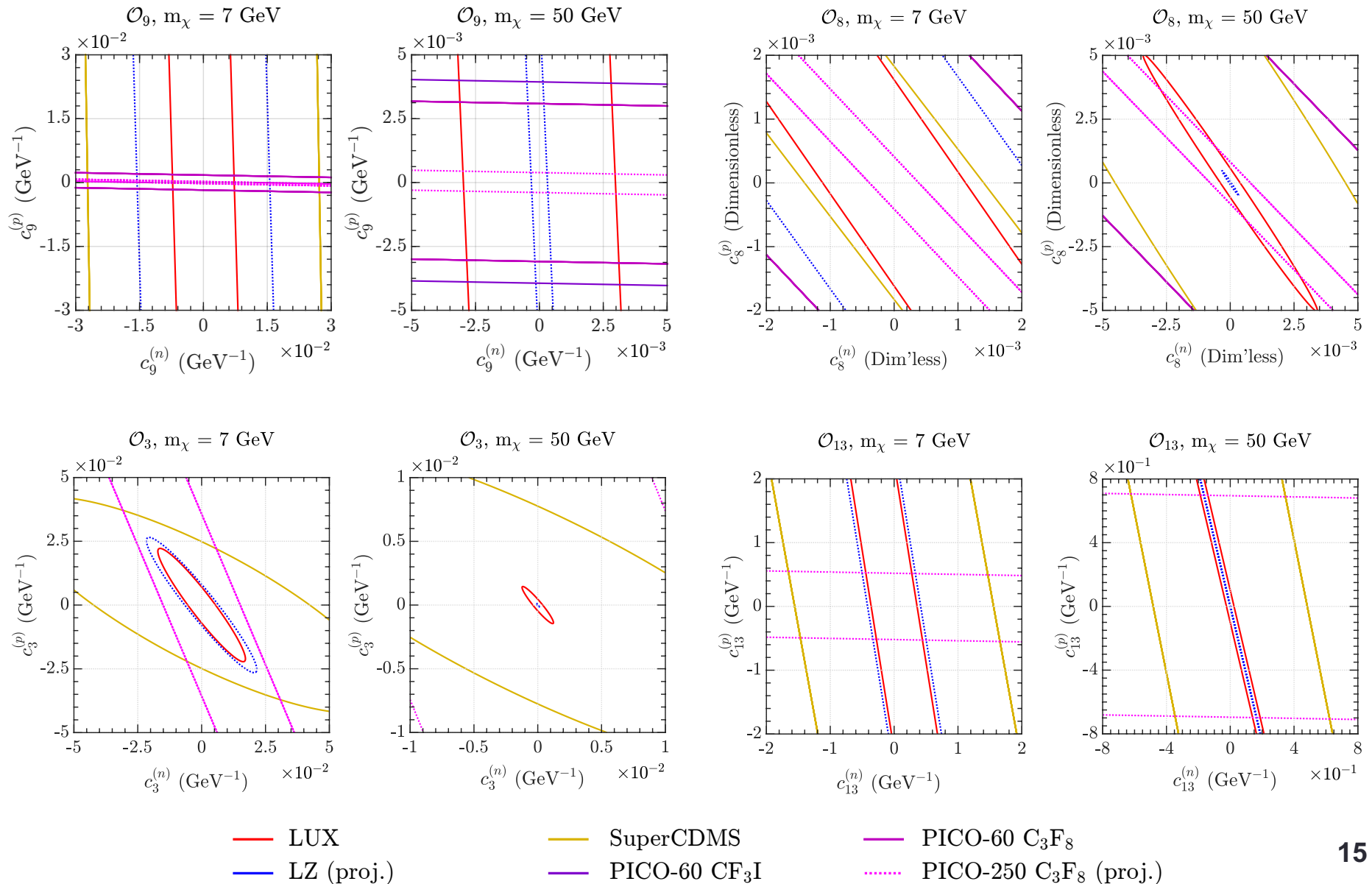
$$\sum_{A_i} \left(\frac{c_p}{c_p^{\lim(A_i)}} \pm \frac{c_n}{c_n^{\lim(A_i)}} \right) < 1 \quad \left(\text{Reduces to } \left(\frac{c_p}{c_p^{\lim}} \pm \frac{c_n}{c_n^{\lim}} \right) < 1 \right. \\ \left. \text{for a single-isotope target} \right)$$

- (c_p^{\lim} is the limit on c_p assuming $c_n = 0$ and vice versa; $c_p^{\lim(A_i)}$, $c_n^{\lim(A_i)}$ additionally assume only isotope A_i contributes to event rate)
- Standard SD limits often presented in this way.

Limits on Selected Operators



Limits on Selected Operators



Operator Interference

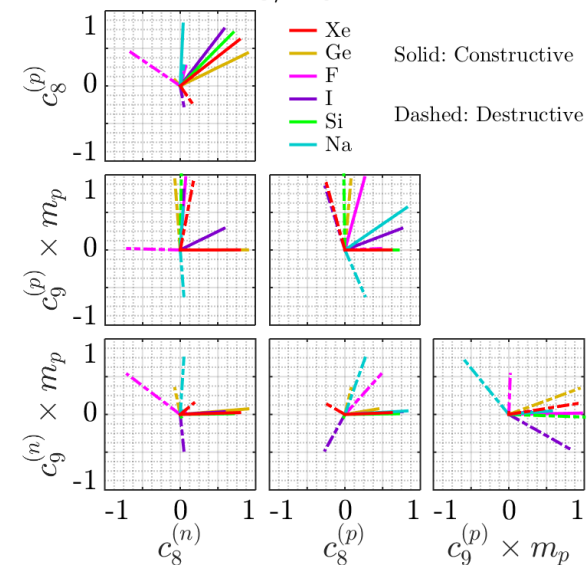
- Operators interfere pairwise
 - Neutron-proton interference for a single O_i (e.g. “xenophobic” dark matter)
 - Two operators O_i and O_j can interfere
- Such scenarios may relieve tension between experiments
- Or drive the selection of targets to probe previously inaccessible regions in WIMP parameter space
- In general, maximal interference found by solving a 4x4 eigenvector problem:

$$\underbrace{\begin{bmatrix} d_i^{(n)} & d_i^{(p)} & d_j^{(n)} & d_j^{(p)} \end{bmatrix}}_{\text{Dimensionless (normalized) coupling constants}} \underbrace{\begin{bmatrix} G_{iinn} & G_{iinp} & G_{ijnn} & G_{ijnp} \\ G_{iipn} & G_{iipp} & G_{ijpn} & G_{ijpp} \\ G_{jinn} & G_{jinp} & G_{jjnn} & G_{jjnp} \\ G_{jipn} & G_{jiip} & G_{jjpn} & G_{jjpp} \end{bmatrix}}_{\text{Form factors, evaluated at fixed } m_\chi, v, \text{ and } E_r \text{ and averaged over isotope}} \begin{bmatrix} d_i^{(n)} \\ d_i^{(p)} \\ d_j^{(n)} \\ d_j^{(p)} \end{bmatrix}$$

Dimensionless
(normalized)
coupling constants

Form factors, evaluated
at fixed m_χ , v , and E_r and
averaged over isotope

Projections of 4D $\mathcal{O}_8/\mathcal{O}_9$ Interference Eigenvectors



(Evaluated using benchmark WIMP mass $m_\chi = 50$ GeV, incident velocity $v = 220$ km/s, and recoil energy $E_r = 30$ keV_{nr})

Interference Between Selected Operators

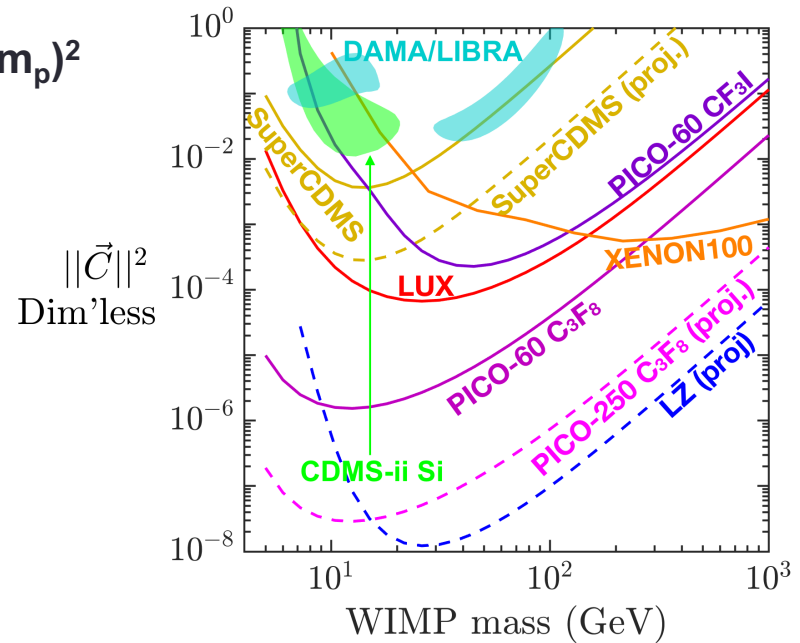
- Limits on

$$\|\vec{C}\|^2 = C_8^{(n)2} + C_8^{(p)2} + (C_9^{(n)} \times m_p)^2 + (C_9^{(p)} \times m_p)^2$$

are evaluated at the maximally destructive eigenvector for a xenon target for a benchmark WIMP mass of 50 GeV and recoil energy $E_r = 30 \text{ keV}_{nr}$:

$$C_8^{(n)} : C_8^{(p)} : (C_9^{(n)} \times m_p) : (C_9^{(p)} \times m_p) \\ = 0.1803 : -2.603 : 0.1491 : 0.9367$$

$\mathcal{O}_8/\mathcal{O}_9$ maximally destructive interference



- Xenon-based experiments are competitive at all masses
- PICO-type experiments (unpaired proton) are complementary
- A dark matter explanation for event excesses from DAMA/LIBRA and CDMS-II Si is ruled out – if XENON/LUX doesn't see it, then PICO will!
- Other interference sectors yield similar results (not shown)

Summary and Conclusion

- **LUX has previously set world-leading limits on SI WIMP-nucleon interactions.**
- **We set limits on each momentum- and velocity-dependent operator in the effective field theory framework developed by Anand + Fitzpatrick + Haxton, et al. using data from the initial 95-day first LUX dark matter search.**
 - Individually for each nucleon...
 - ... in an isospin-independent way....
 - ... and for destructive interference scenarios

Summary and Conclusion

- LUX has previously set world-leading limits on SI WIMP-nucleon interactions.
- We set limits on each momentum- and velocity-dependent operator in the effective field theory framework developed by Anand + Fitzpatrick + Haxton, et al. using data from the initial 95-day first LUX dark matter search.

For all operators (except WIMP-proton Σ'' and Σ') and WIMP masses above ~ 12 GeV, LUX is able to set stringent limits. Even in maximally destructive interference scenarios, LUX is competitive.

Ge targets are sensitive to EFT interactions for low-mass WIMPs. At high masses, Xe targets win in all but the most fine-tuned scenarios.

For coverage of WIMP-proton Σ'' and Σ' interactions PICO-type experiments (F targets) are complementary to LUX/LZ/XENON1T.

In all cases, an EFT dark matter explanation for DAMA is ruled out!

Summary and Conclusion

- **LUX has previously set world-leading limits on SI WIMP-nucleon interactions.**
- **We set limits on each momentum- and velocity-dependent operator in the effective field theory framework developed by Anand + Fitzpatrick + Haxton, et al. using data from the initial 95-day first LUX dark matter search.**

Look forward to results from the full LUX exposure incorporating:

- **The full set of calibrations**
- **Improved background models**
- **S1 pulse shape discrimination**
- **And a larger energy window to probe high-mass WIMP parameter space**

THANK YOU!



Berkeley Lab / UC Berkeley

Bob Jacobsen	PI, Professor
Murdock Gilcrease	Senior Scientist
Kevin Lesko	Senior Scientist
Michael Witherell	Lab Director
Peter Sorensen	Divisional Fellow
Simon Fiorucci	Project Scientist
Evans Pease	Postdoc
Daniel Hogan	Graduate Student
Kelsey Oliver-Mallory	Graduate Student
Kate Kamdin	Graduate Student



Brown University

Richard Gaitskell	PI, Professor
Junhui Liao	Postdoc
Samuel Chan	Graduate Student
Dongqing Huang	Graduate Student
Casey Rhyne	Graduate Student
Will Taylor	Graduate Student
James Verbus	Ex-Postdoc



University of Edinburgh

Alexander Murphy	PI, Professor
Paolo Beltrame	Ex-Research Fellow
Maria F. Marzioni	Graduate Student
Tom Davison	Graduate Student



Adam Bernstein	PI, RED group leader
Kareem Kazkaz	Physicist
Jingke Xu	Postdoc
Brian Lenardo	Graduate Student



Wing To	PI, Assistant Professor
---------	-------------------------



Henrique Araujo	PI, Professor
Tim Sumner	Professor
Alastair Currie	Ex-Postdoc
Adam Bailey	Ex-Graduate Student
Khadeeja Yazdani	Ex-Graduate Student
Nellie Marangou	Graduate Student



Dan Akerib	PI, Professor
Thomas Shutt	PI, Professor
Tomasz Biesiadzinski	Research Associate
Christina Ignarra	Research Associate
Alden Fan	Research Associate
Wei Ji	Graduate Student
TJ Whitis	Graduate Student



LIP Coimbra

Isabel Lopes	PI, Professor
José Pinto de Cunha	Assistant Professor
Vladimir Solovov	Senior Researcher
Alexandre Lindote	Postdoc
Francisco Neves	Auxiliary Researcher
Claudio Silva	Research Fellow
Paulo Bras	Graduate Student



Carmen Carmona	PI, Assistant Professor
Emily Grace	Postdoc



Xinhua Bai	PI, Professor
Douglas Tiedt	Graduate Student



SDSTA / Sanford Lab

David Taylor	Senior Engineer
Markus Hom	Research Scientist

 **UNIVERSITY AT ALBANY**
State University of New York

Matthew Szydagis	PI, Assistant Professor
Greg Rischbieter	Graduate Student
Madison Wyman	Graduate Student



Robert Webb	PI, Professor
Paul Terman	Graduate Student



Daniel Mckinsey	PI, Professor
Ethan Bernard	Project Scientist
Elizabeth Boulton	Graduate Student
Junsong Lin	Postdoc
Brian Tennyson	Graduate Student
Lucie Tvrznikova	Graduate Student
Vetri Velan	Graduate Student



Mani Tripathi	PI, Professor
Aaron Manalaysay	Project Scientist
James Morad	Ex-Graduate Student
Sergey Uvarov	Ex-Graduate Student
Jacob Cutter	Graduate Student
Dave Hemer	Senior Machinist



Kimberly Palladino	PI, Assistant Professor
Shaun Alsum	Graduate Student
Rachel Mannino	Postdoc

UC SANTA BARBARA

Harry Nelson	PI, Professor
Sally Shaw	Postdoc
Scott Haselschwardt	Graduate Student
Curt Nehrkorn	Graduate Student
Melih Solmaz	Graduate Student
Dean White	Engineer
Susanne Kyre	Engineer



Chamkaur Ghag	PI, Professor
Jim Dobson	Postdoc
Umit Utku	Graduate Student



Carter Hall	PI, Professor
Jon Balajthy	Graduate Student

Scott Hertel	PI, Assistant Professor
Christopher Nedlik	Graduate Student



Frank Wolfs	PI, Professor
Wojtek Skulski	Senior Scientist
Eryk Druszkiewicz	Electrical Engineer
Dev Aashish Khaitan	Graduate Student
Mongkol Moongweluwan	Graduate Student

University of Sheffield

Vitaly Kudryavtsev	Reader, Particle Physics
Elena Korolkova	Research Associate
David Woodward	Research Associate
Peter Rossiter	Graduate Student



Dongming Mei	PI, Professor
--------------	---------------