



# Transverse Spin Related Measurements at RHIC

Oleg Eyser

for the RHIC Spin Collaboration

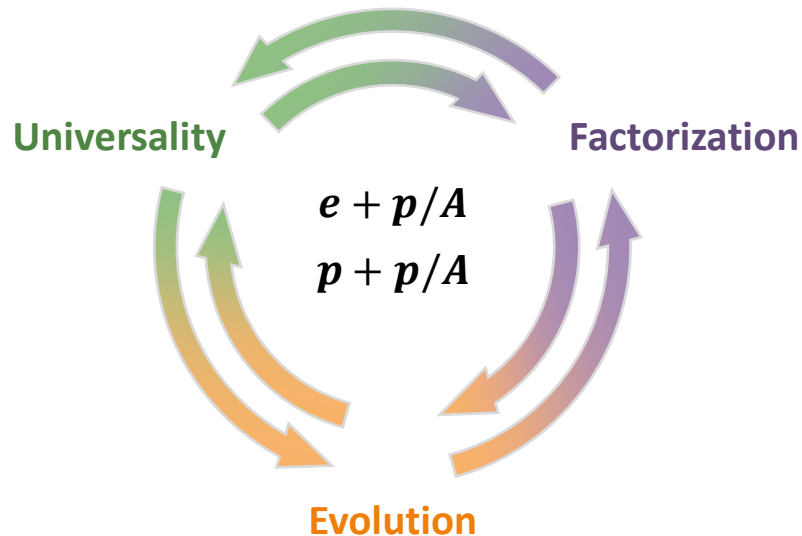
CIPANP 2018

May 29 – June 3, 2018

Indian Wells, CA

# A Song of Quarks and Gluons

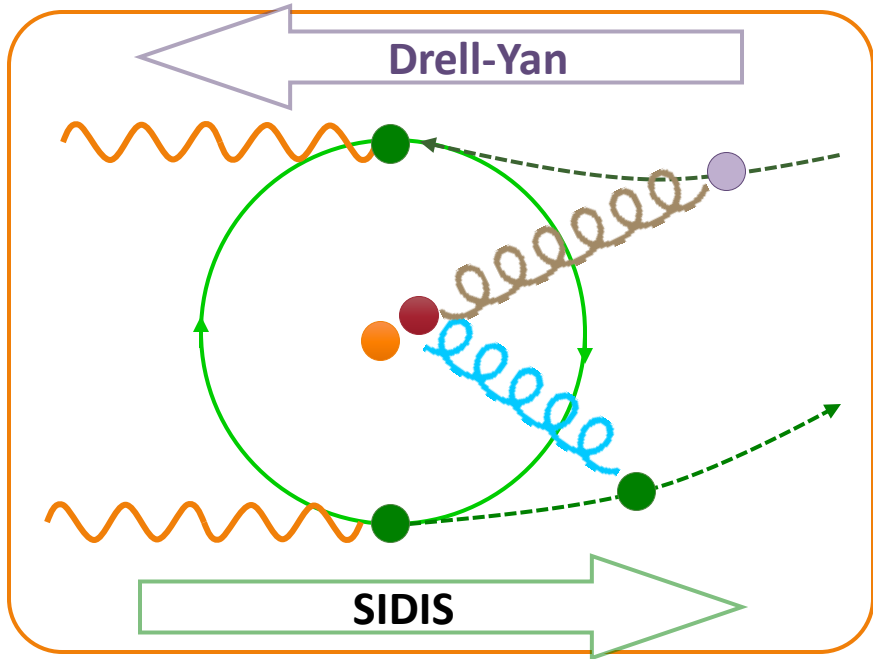
- What is the nature of the spin of the proton?
- How can we describe the multi-dimensional landscape of nucleons and nuclei?
- How do quarks and gluons hadronize into final state particles?
- What is the nature of the initial state in nuclear collisions?



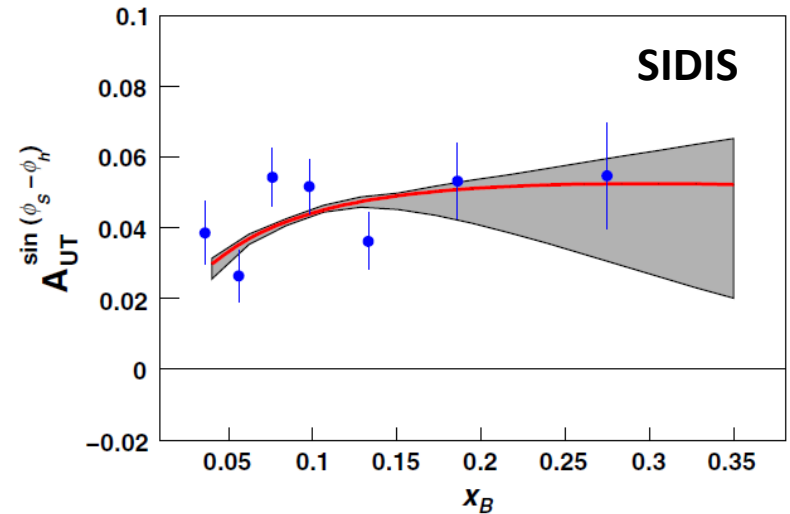
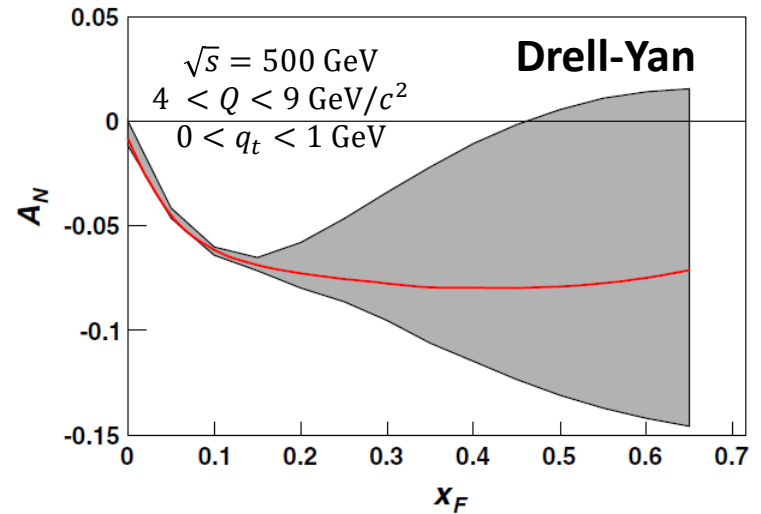
[arxiv:1501.01220](https://arxiv.org/abs/1501.01220)

[arxiv:1602.03922](https://arxiv.org/abs/1602.03922)

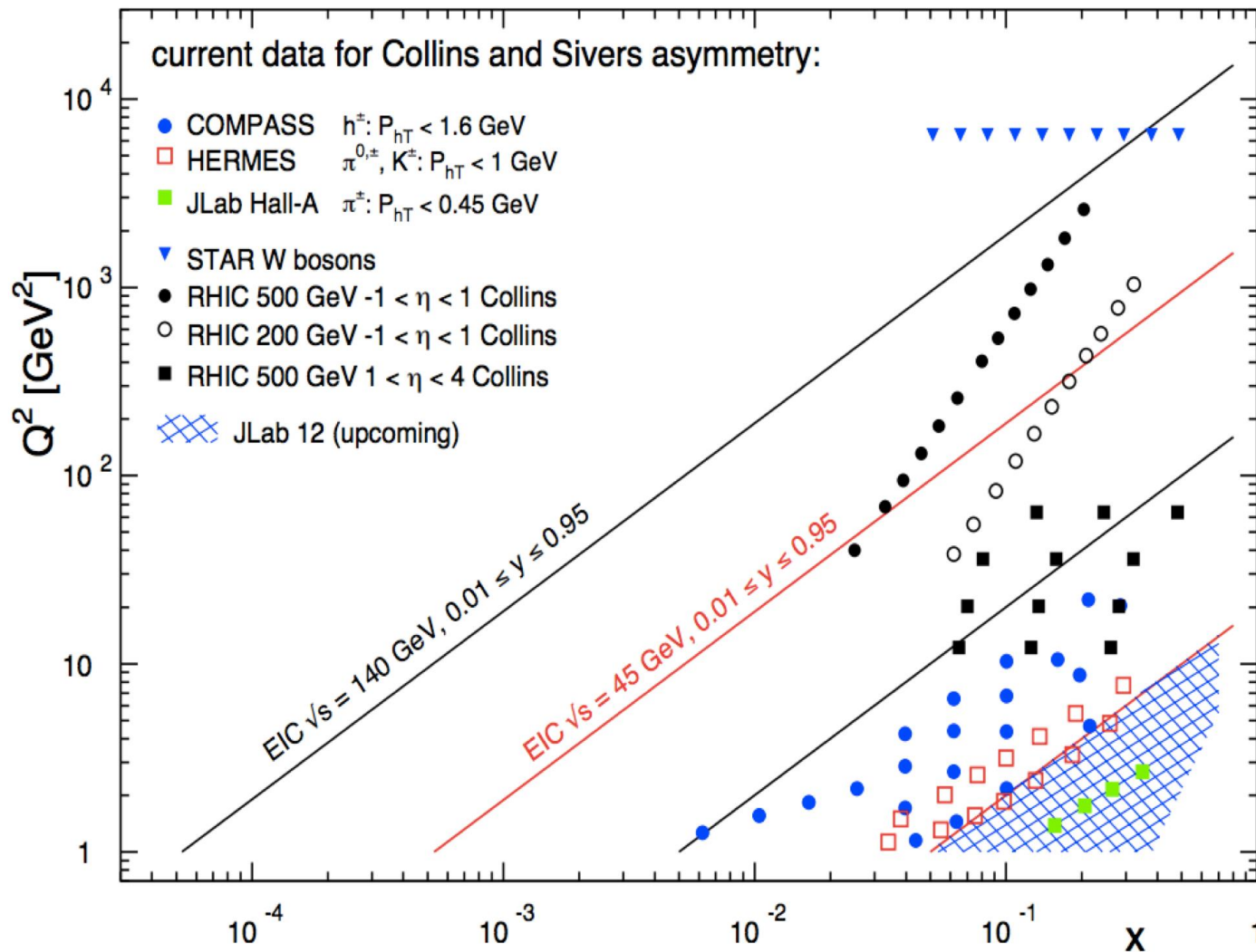
# Non-Universality of Sivers Effect



Gamberg, Kang, Prokudin  
Phys. Rev. Lett. 110, 232301 (2013)  
with HERMES data

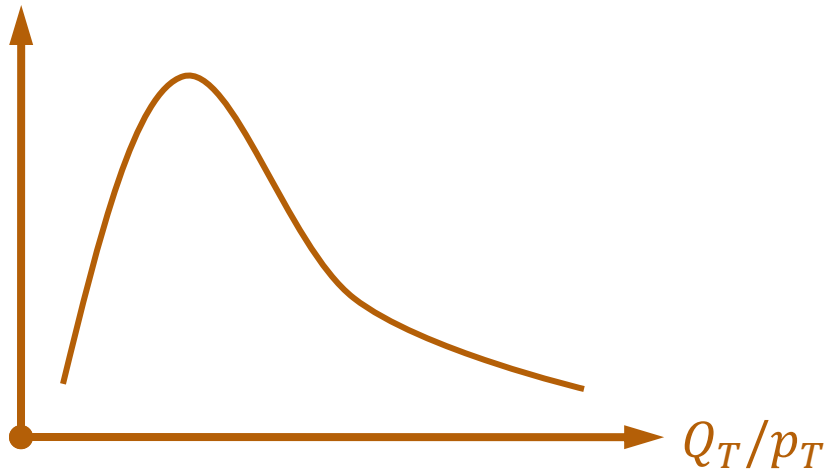


# World Data Landscape





# Factorization and Scale



$$Q^2 \gg Q_T^2 \gtrsim \Lambda_{QCD}^2 \quad Q^2, Q_T^2 \gg \Lambda_{QCD}^2$$

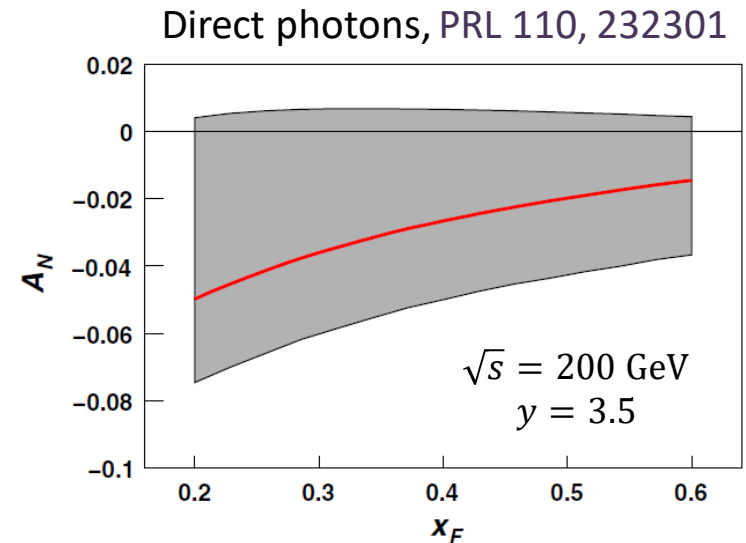
$$-\int d^2 k_{\perp} \frac{|k_{\perp}^2|}{M} f_{1T}^{\perp q}(x, k_{\perp}^2) = T_{q,F}(x, x)$$

$f_{1T}^{\perp q}$ : Sivers TMD function

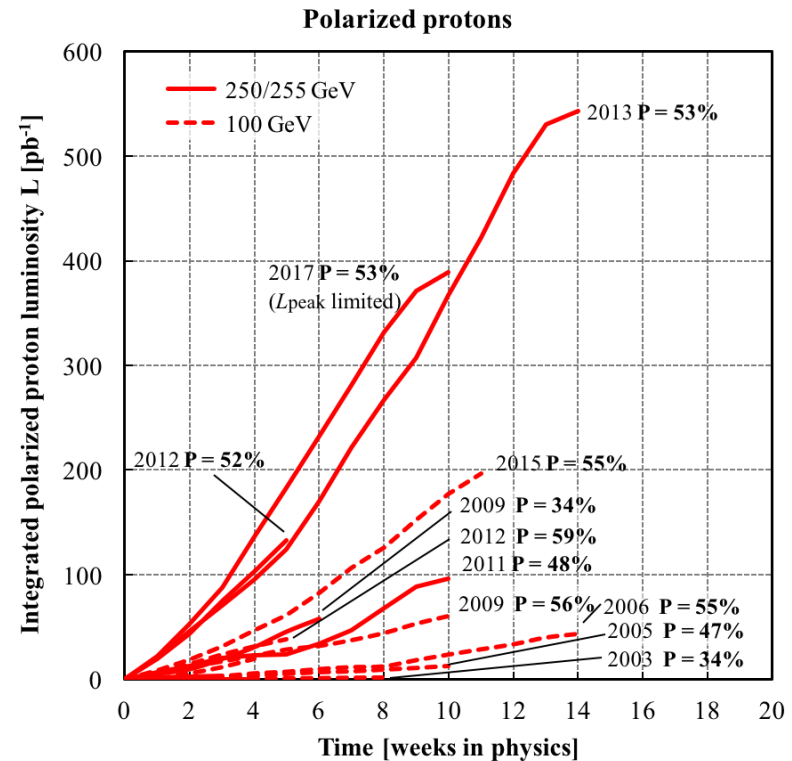
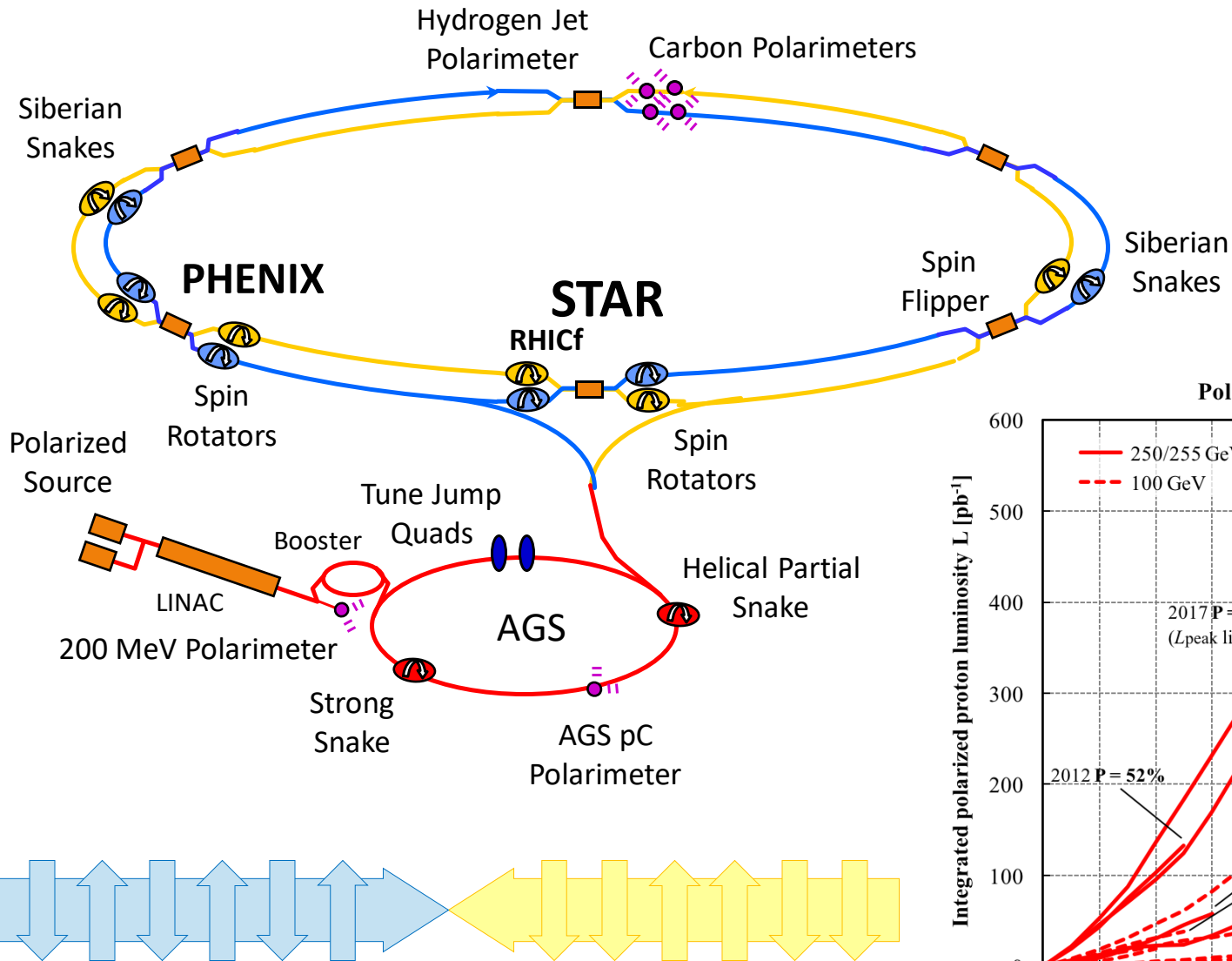
$T_{q,F}$ : Efremov-Teryaev-Qiu-Sterman correlator

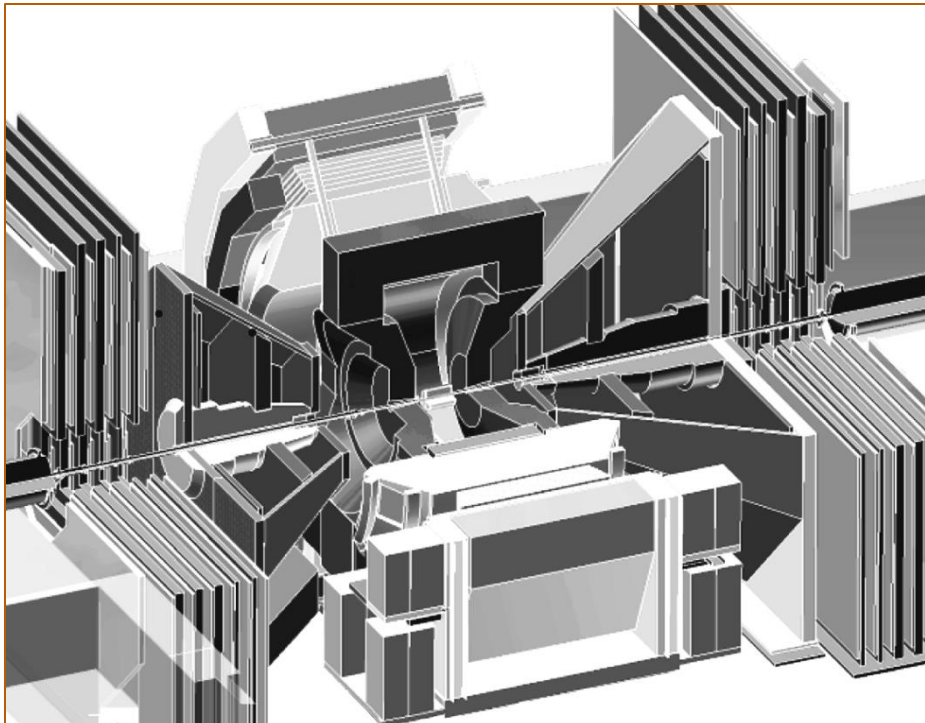
Initial / final state effects

- TMD factorization: two characteristic scales  $Q^2$  and  $Q_T^2$
- Collinear factorization: twist-3 with one hard scale
- Both are closely related



# RHIC as a Polarized Proton Collider





## PHENIX

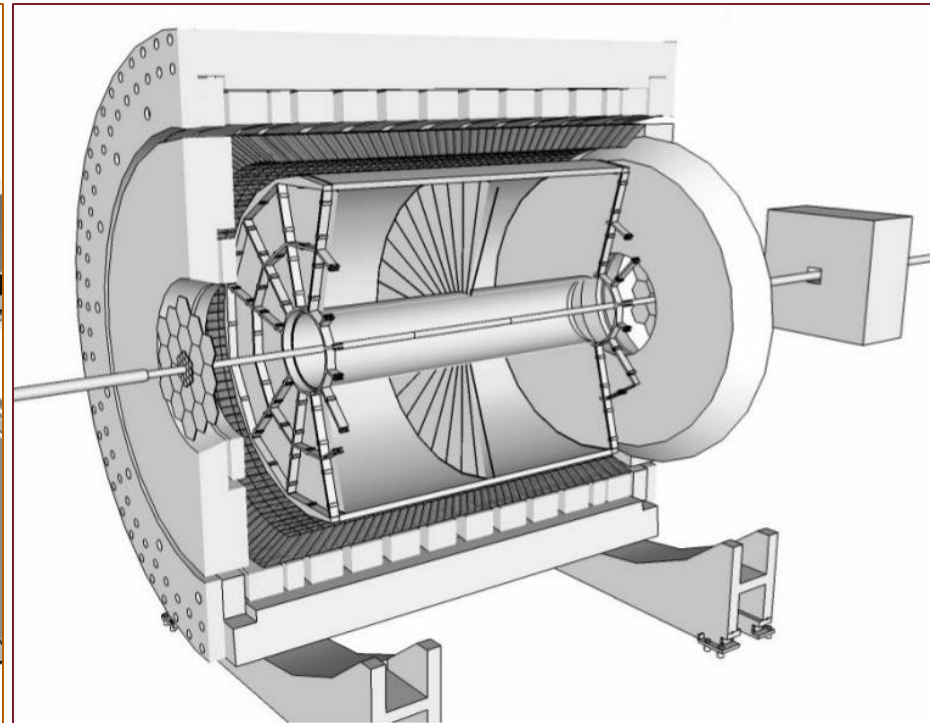
High resolution

High rate

DC / Pad Chambers / Muon Arms

EMCal

Forward EMCal,  $3 < |\eta| < 4$



## STAR

Large acceptance

$-1 < \eta < 2$

TPC+TOF

EMCal

Forward EMCal,  $2.5 < \eta < 4$

# Initial and Final State Effects

Sivers function  $f_{1T}^\perp$   $\cos \phi_S$   $W^\pm, Z^0, \text{Drell-Yan } \gamma^*$

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quark transversity  $h_1$

⊗ Collins fragmentation function  $H_1^\perp$   $\cos(\phi_S - \phi_h)$  hadrons in jets

⊗ interference fragmentation  $H_1^\zeta$   $\cos \phi_R$  hadron pairs

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gluon linear polarization  $h_1^g$

⊗ Collins-like fragmentation  $H_1^{\perp,g}$   $\cos(\phi_S - 2\phi_h)$  hadrons in jets

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quark-gluon correlator  $T_{q,F}$   $\cos \phi_S$  jets, hadrons,  $\gamma_{direct}$

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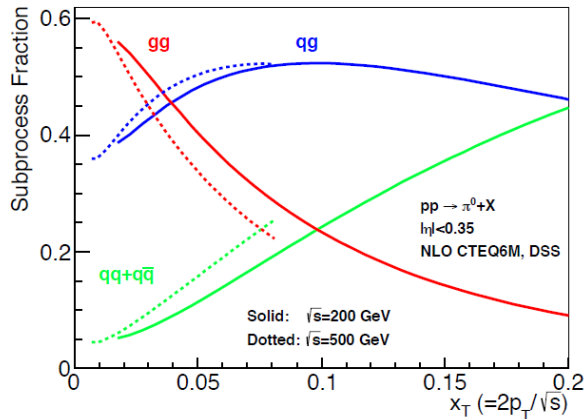
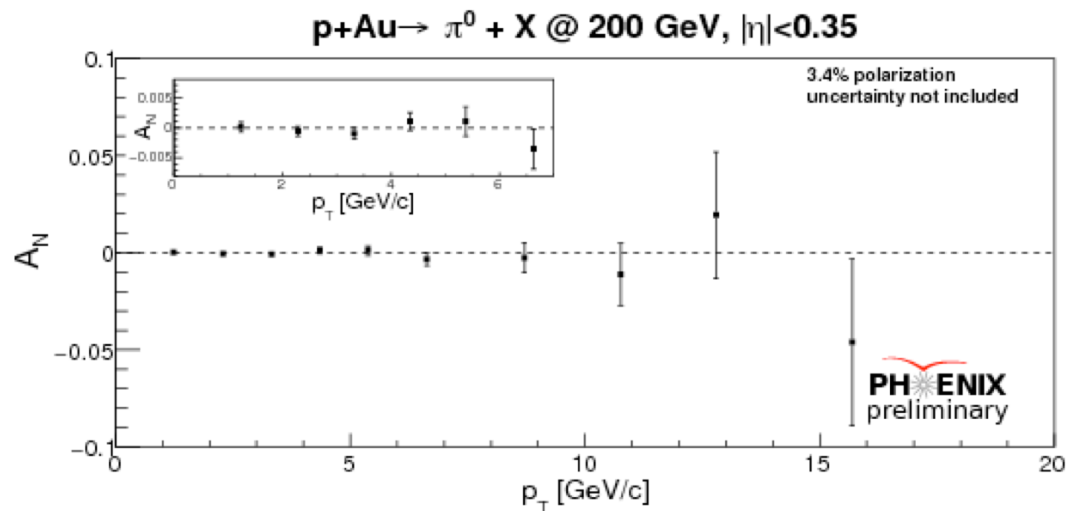
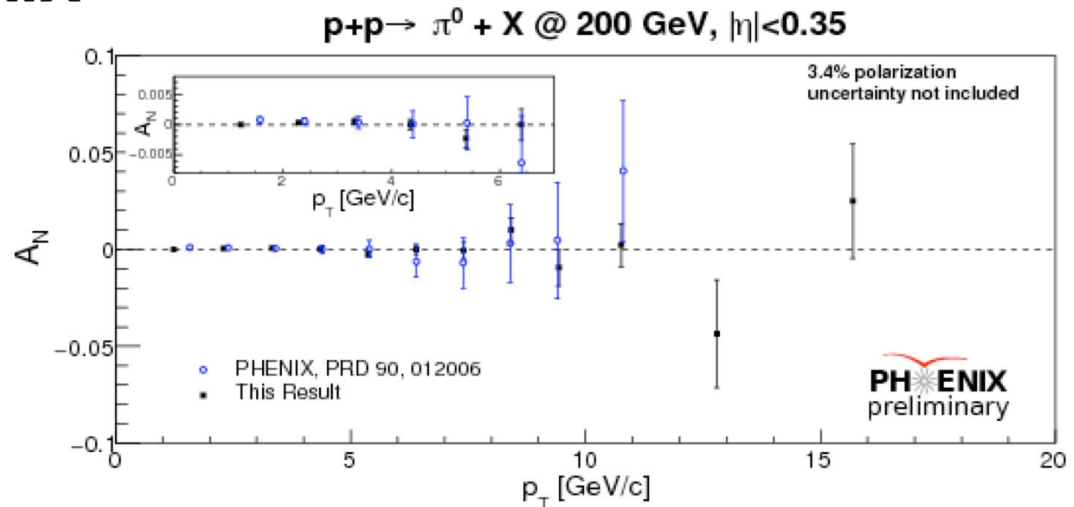
gluon-gluon correlator  $T_G$   $\cos \phi_S$  heavy flavor



# Inclusive Hadrons (Midrapidity)



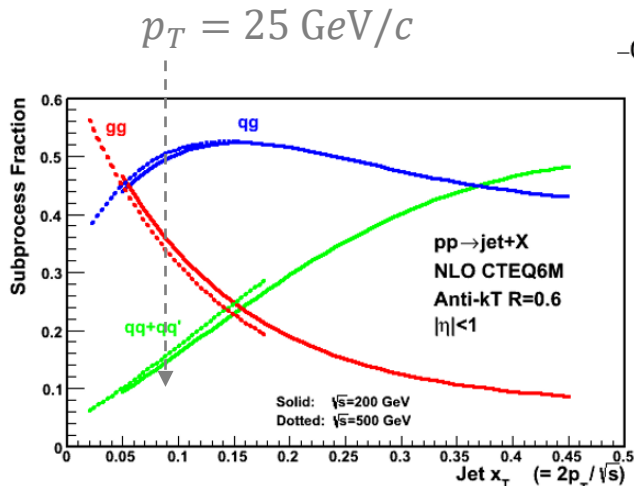
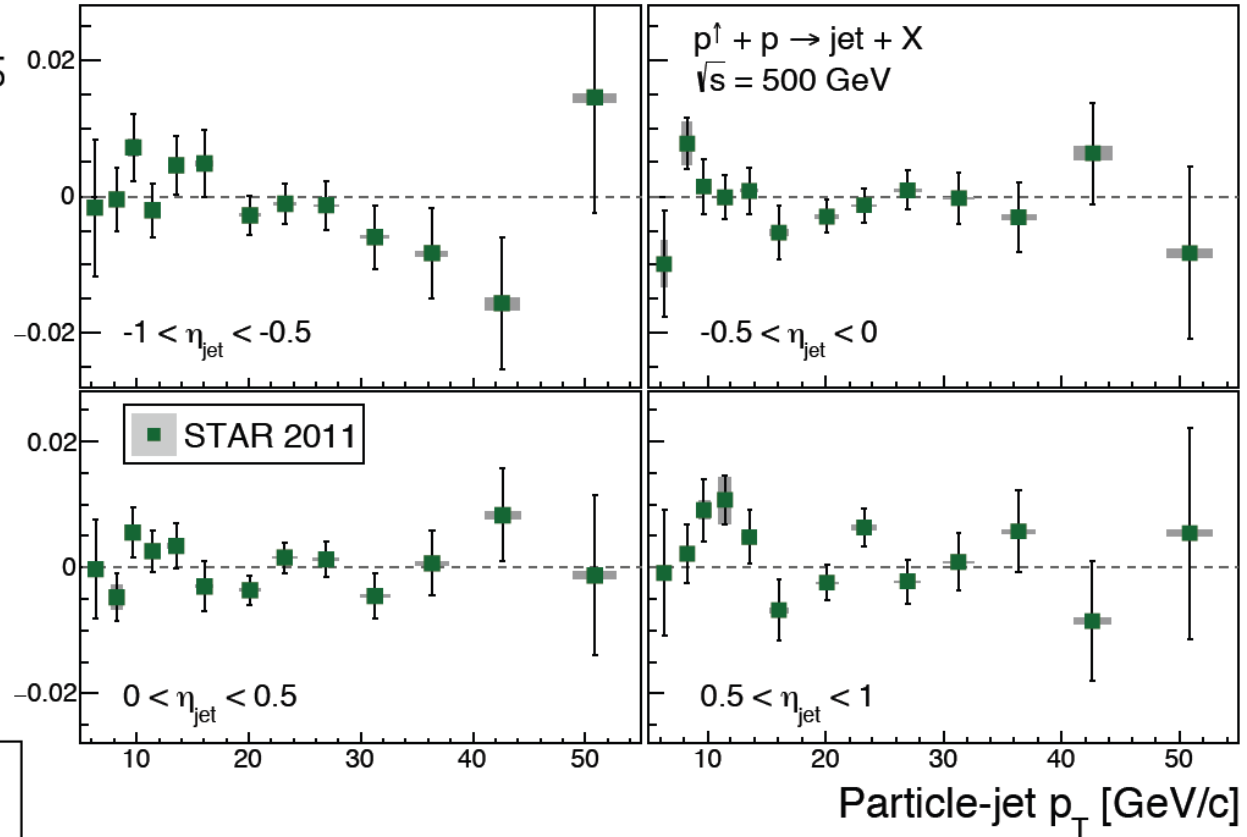
- Sensitive to gluon  $T_G$
- Neutral pions
- $\sqrt{s_{NN}} = 200$  GeV
- $|\eta| < 0.35$
- Very high precision
- First look at nuclear effects
- $p + Al$  not shown



# Inclusive Jets (Midrapidity)



- Sensitive to gluon  $T_G$
- $\sqrt{s} = 500$  GeV
- Different rapidity regions
- Additional data on disk ( $350 \text{ pb}^{-1}$ )

$$A_{UT}^{\sin(\phi_S)}$$


Phys.Rev. D97, 032004 (2018)

# Heavy Flavor (Forward)

PHENIX

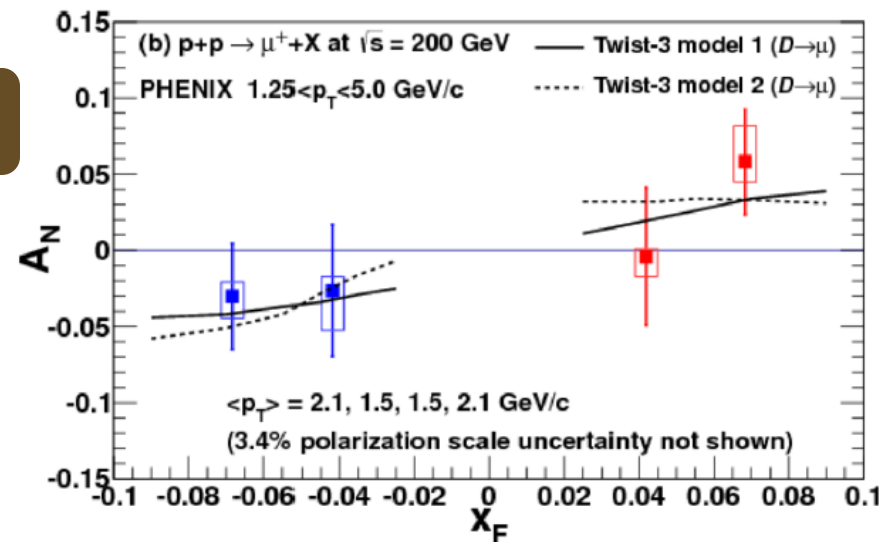
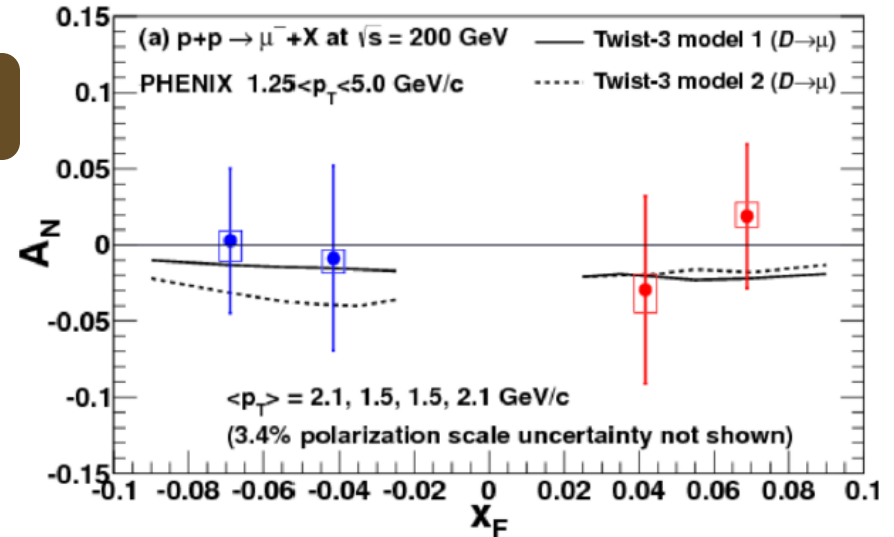
$\mu^-$

- Sensitive to gluon  $T_G$
- Single muons mostly from heavy flavor meson decay
- $p^\uparrow + p$  @  $\sqrt{s} = 200$  GeV
- $1.2 < |\eta| < 2.2$
- Additional data on disk ( $40 \text{ pb}^{-1}$ ) w/ improved instrumentation

Phys.Rev. D95, 112001 (2017)

Theory curves from  
Phys. Rev. D84, 014026 (2011)

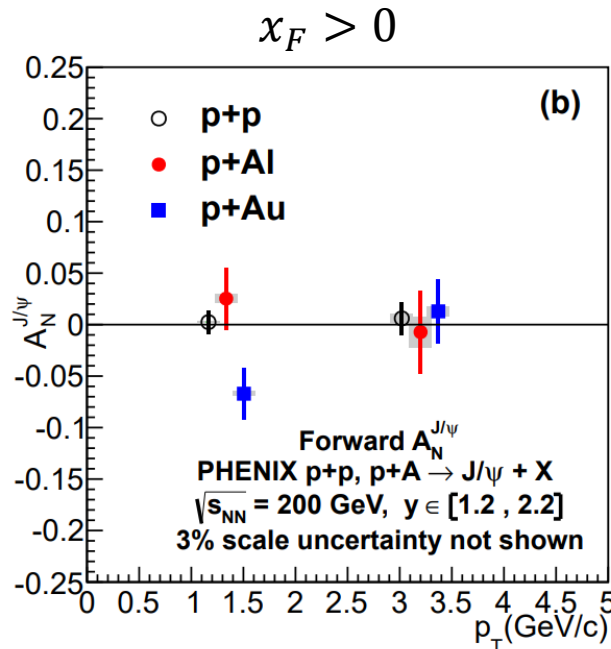
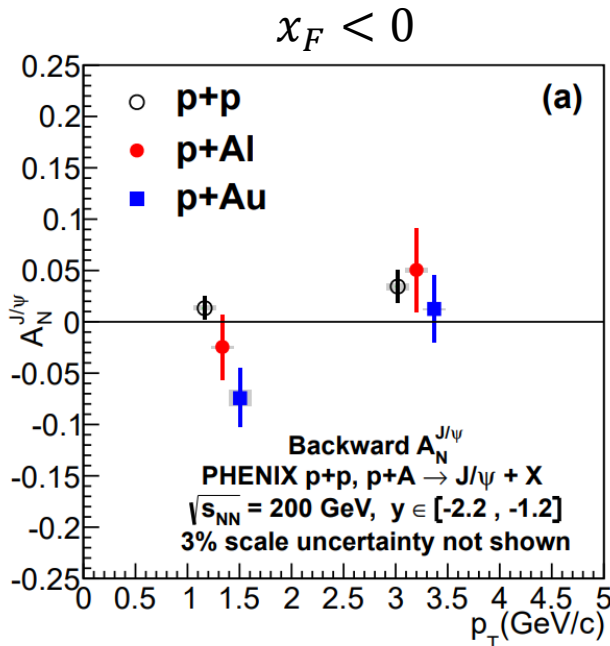
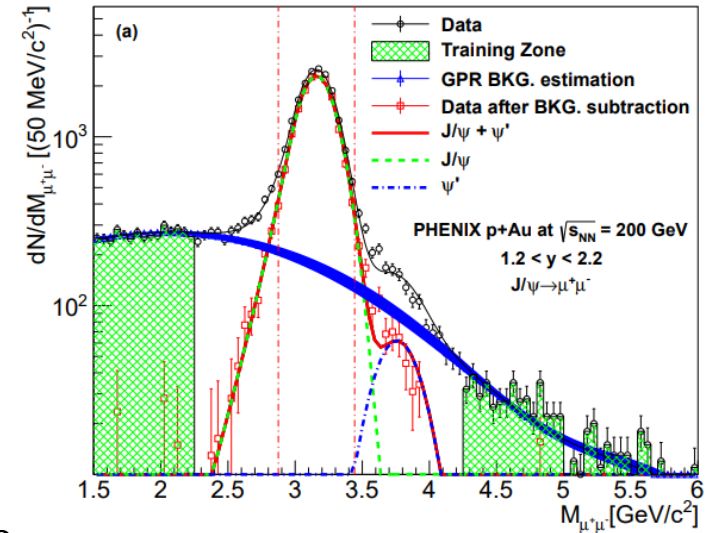
$\mu^+$



$$x_F = 2p_L/\sqrt{s}$$

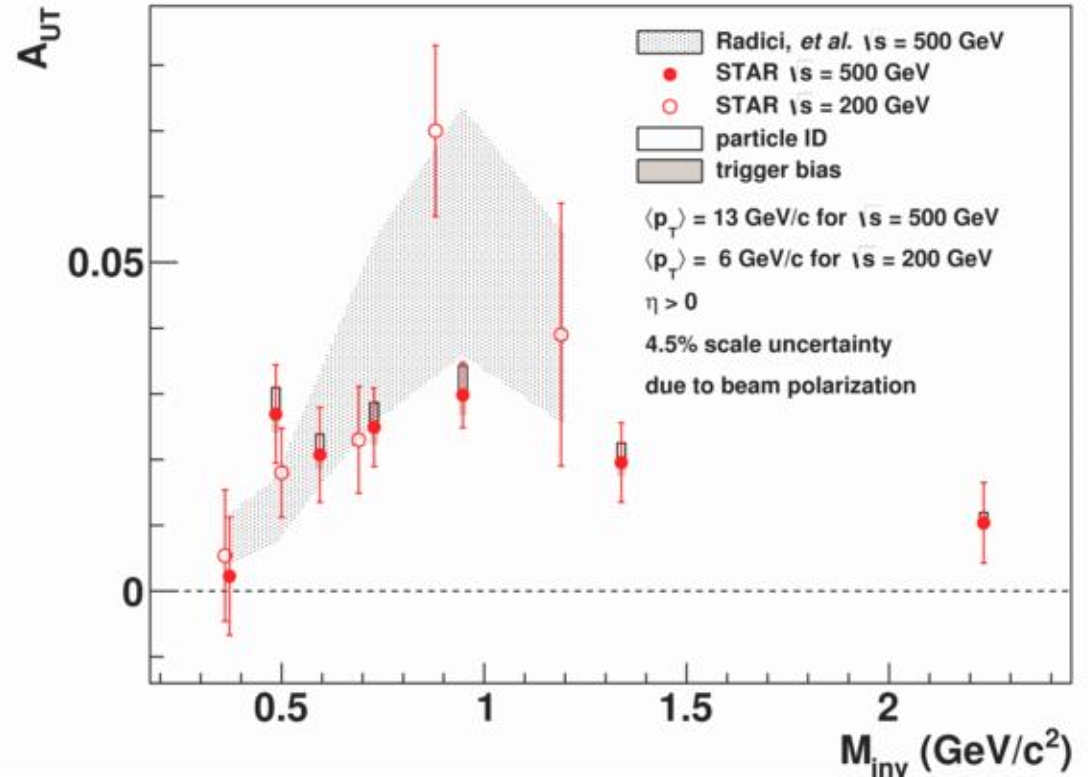
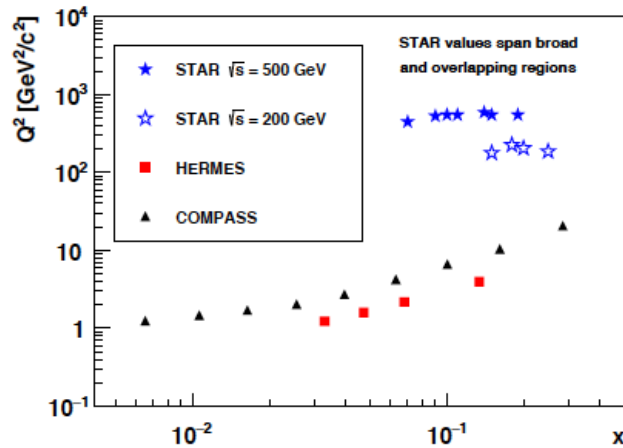
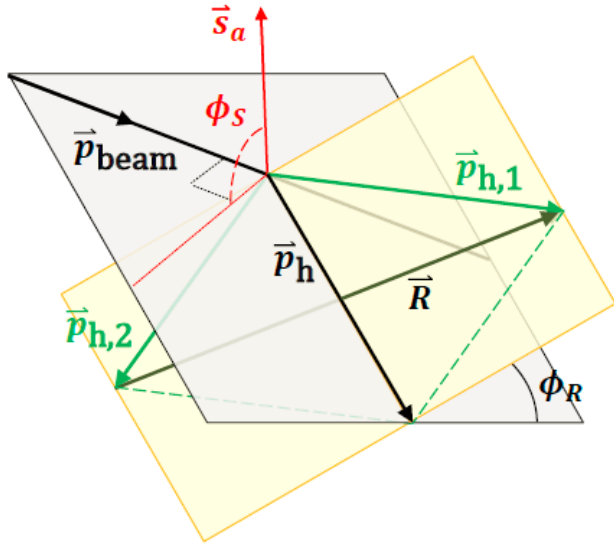
# $J/\Psi$ Mesons (Forward)

- Sensitive to gluon  $T_G$
- $\sqrt{s_{NN}} = 200$  GeV
- $1.2 < |\eta| < 2.2$
- Data from  $p^\uparrow + p$ ,  $p^\uparrow + Al$ , and  $p^\uparrow + Au$



arXiv:1805.01491

# Interference Fragmentation



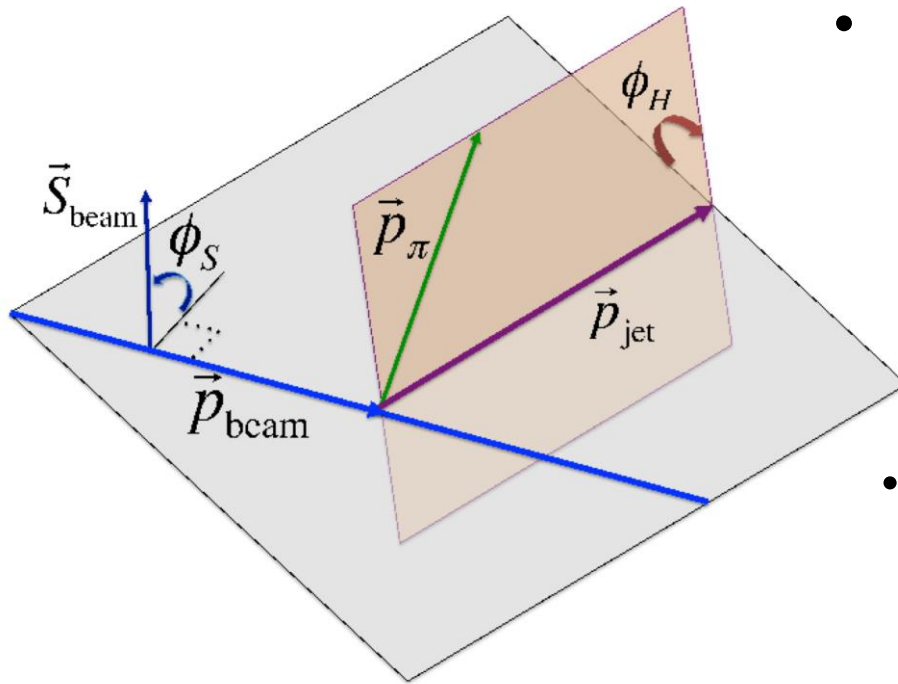
Phys. Lett. B 780, 332 (2018)

M. Radici et al., Phys. Rev. D94, 034012 (2016)

First observation of non-zero transversity  
in  $p + p$  collisions!



# Hadrons in Jets

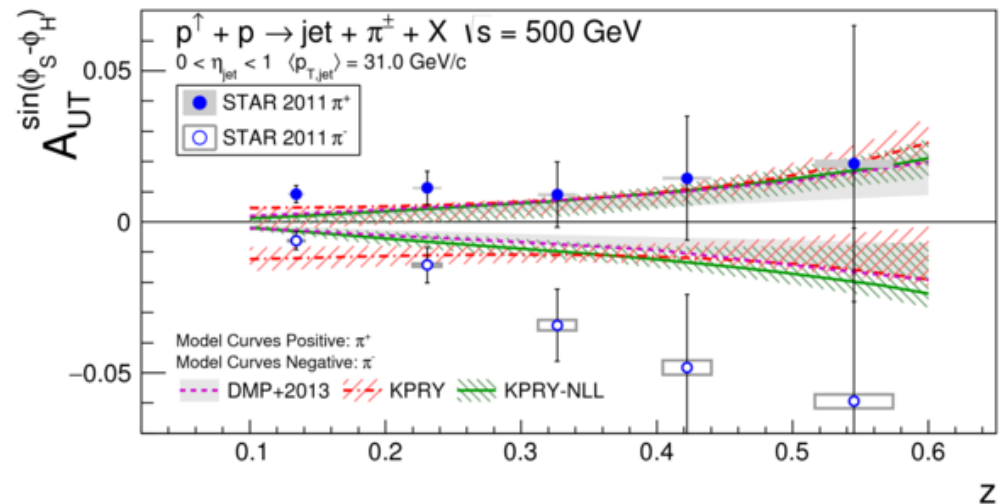
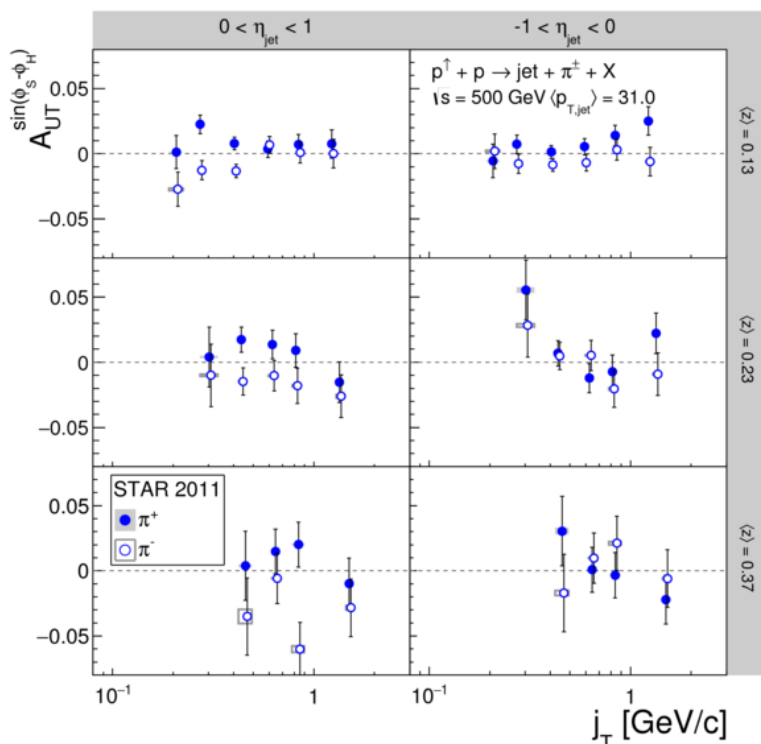


- Two scales for TMD measurement
  - $p_T$  of jet
  - $j_T$  of hadron in jet
- Jet reconstruction (anti- $k_T$ )
  - PYTHIA + GEANT
  - Kinematics corrected to particle level and parton level matching
  - Trigger bias
- Pion purities / hadron contamination
- Leak through from other asymmetries

$$d\sigma^\uparrow - d\sigma^\downarrow \propto d\Delta\sigma_0 \sin\phi_S + d\Delta\sigma_1^+ \sin(\phi_S + \phi_H) + d\Delta\sigma_2^+ \sin(\phi_S + 2\phi_H) + d\Delta\sigma_1^- \sin(\phi_S - \phi_H) + d\Delta\sigma_2^- \sin(\phi_S - 2\phi_H)$$

# Collins Effect in Jets (Mid-Rapidity)

- First measurement of Collins effect in  $p + p$  collisions
- $\sqrt{s} = 500$  GeV
- Multi-dimensional binning  
 $p_T - z$



Phys.Rev. D97, 032004 (2018)

Comparison with  
 Phys. Lett. B773, 300-306 (2017)  
 arXiv:1707.00913



# Comparison 200 / 500 GeV

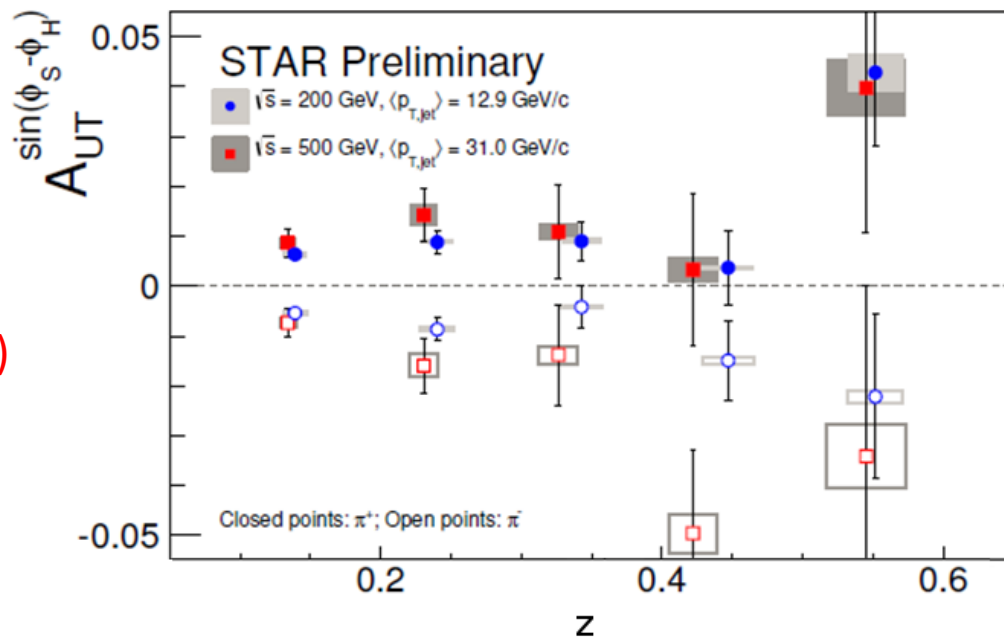
$\sqrt{s} = 200$  500 GeV

● ●  $p + p \rightarrow jet + \pi^+ + X$

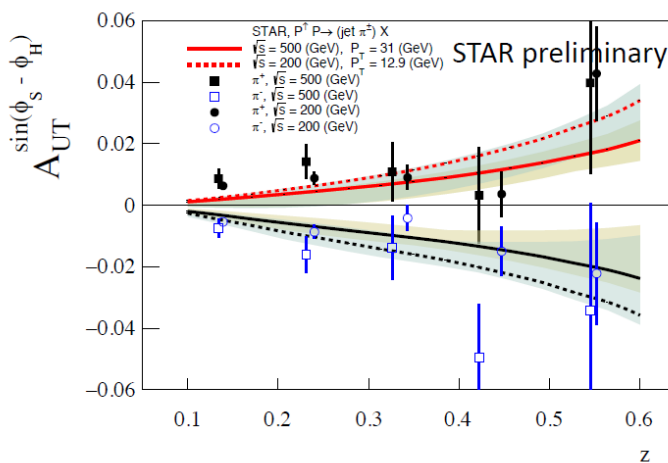
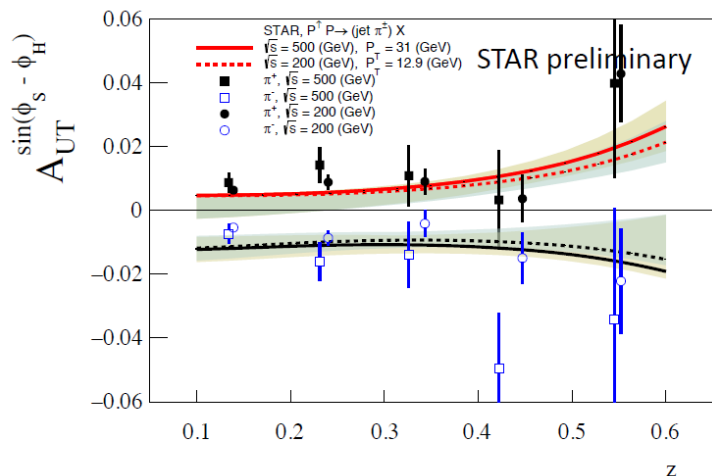
○ ○  $p + p \rightarrow jet + \pi^- + X$

Phys.Rev. D97, 032004 (2018)

Publication in preparation

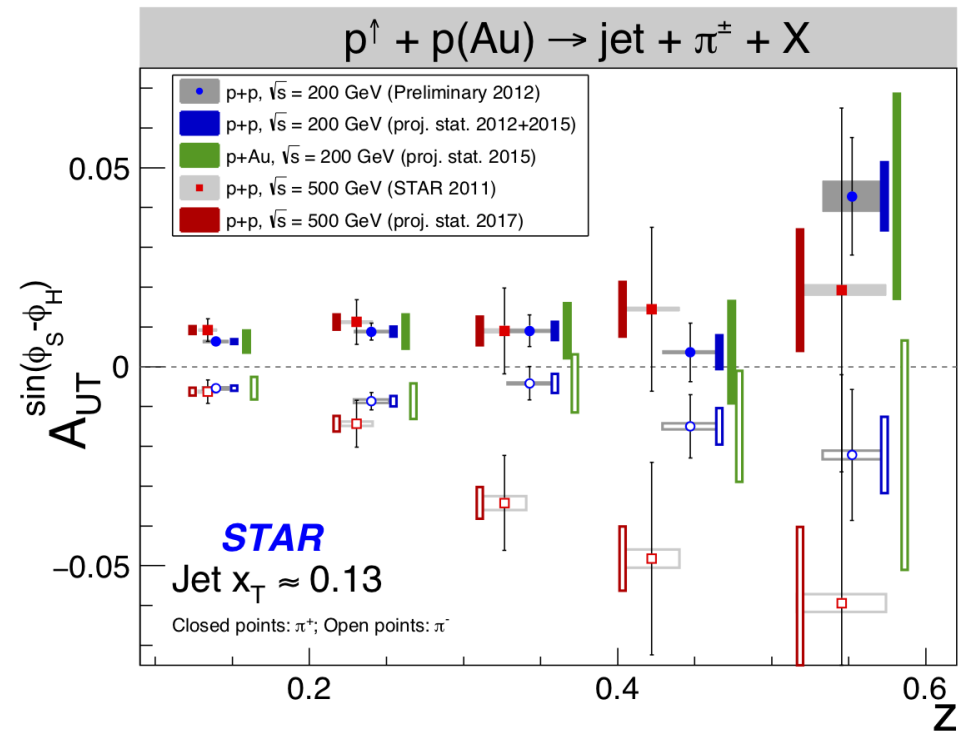
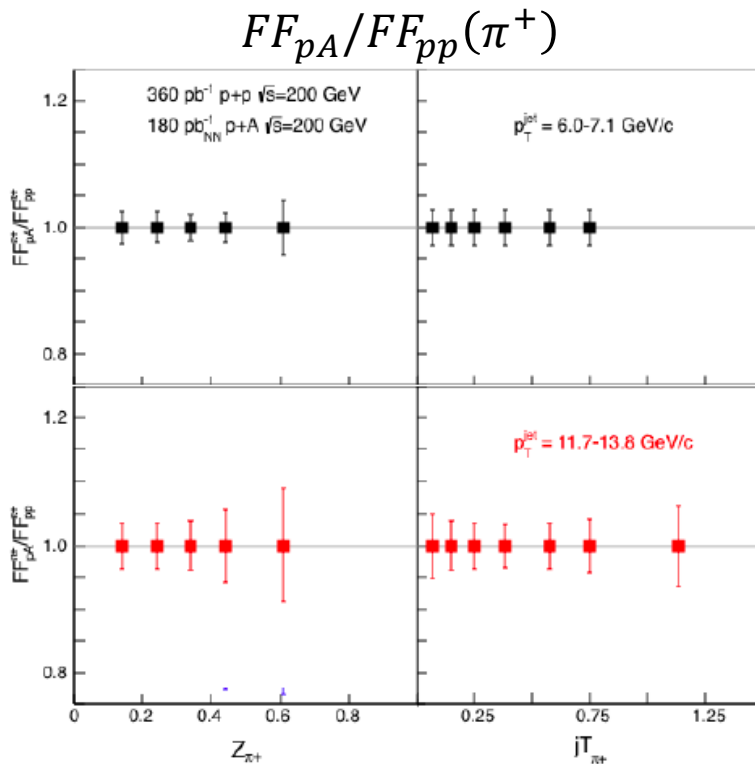
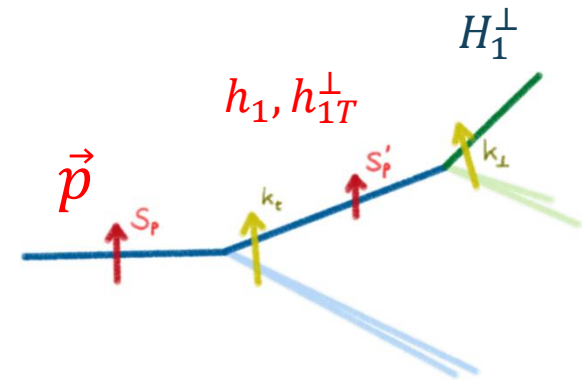


Comparison with / without TMD evolution  
 Phys. Lett. B773, 300-306 (2017)



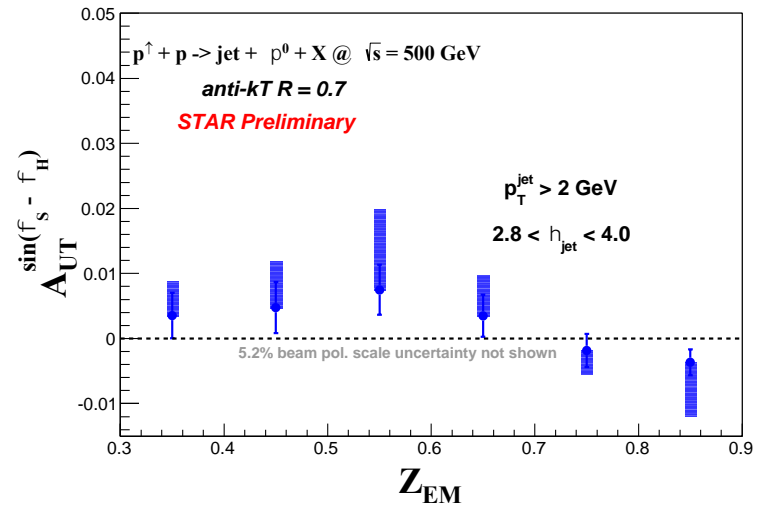
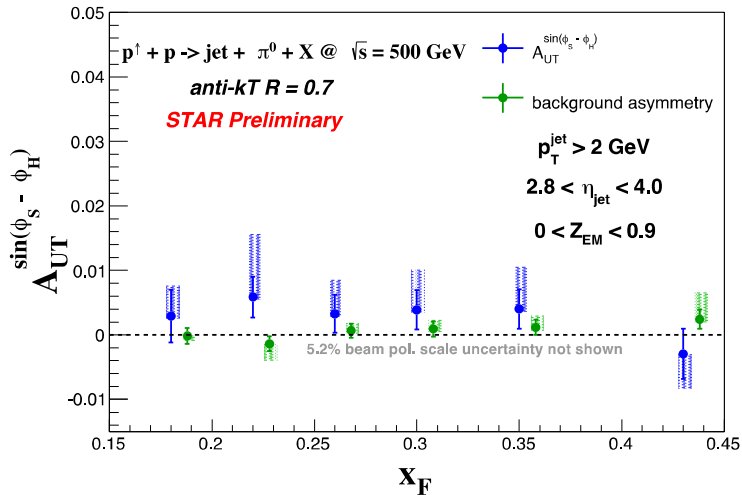
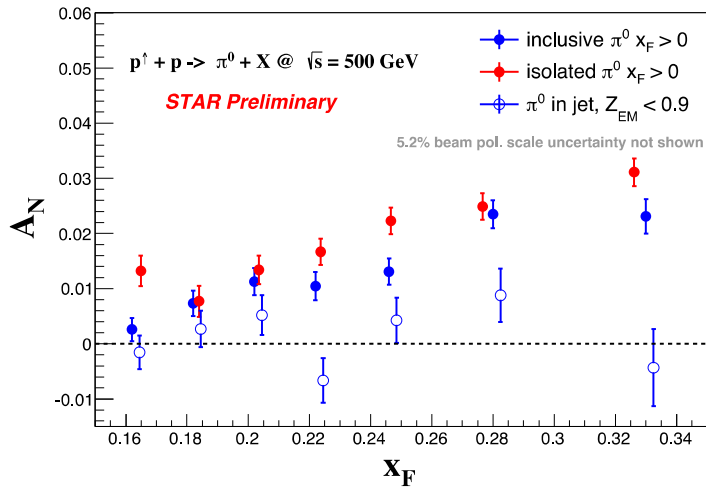
# Nuclear Fragmentation Functions

- Identified hadron in jet ( $|\eta| < 1$ )
  - Transverse momentum dependent
  - Nuclear effects in hadronization
- Test universality
  - $e + A$  and  $p + A$



# Jet Asymmetries (Forward)

- Electromagnetic jets with correlated  $\pi^0$
- $2.5 < |\eta| < 4.0$
- $\sqrt{s} = 500$  GeV
- Background corrected asymmetries
  - Small asymmetries with  $\pi^0$  tag
  - Small Collins effect
  - Publication in preparation





# $W$ -Boson Production in $p + p$

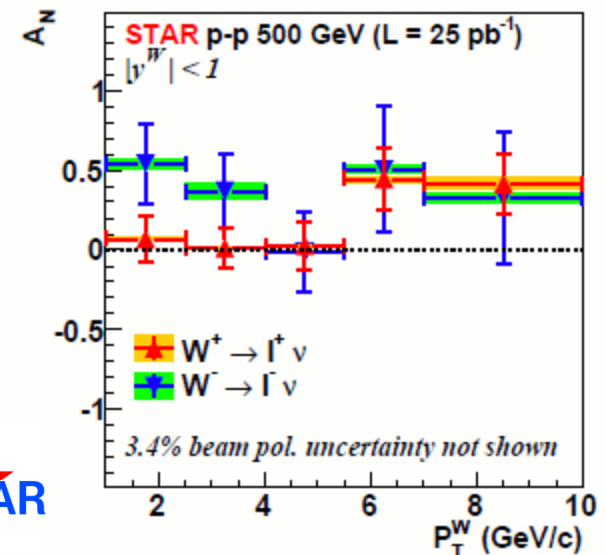
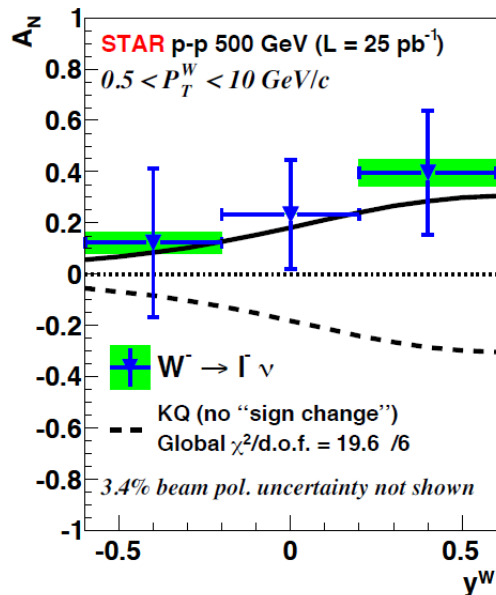
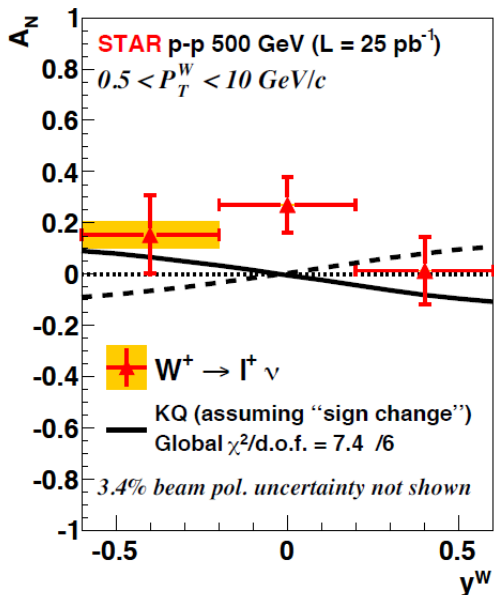
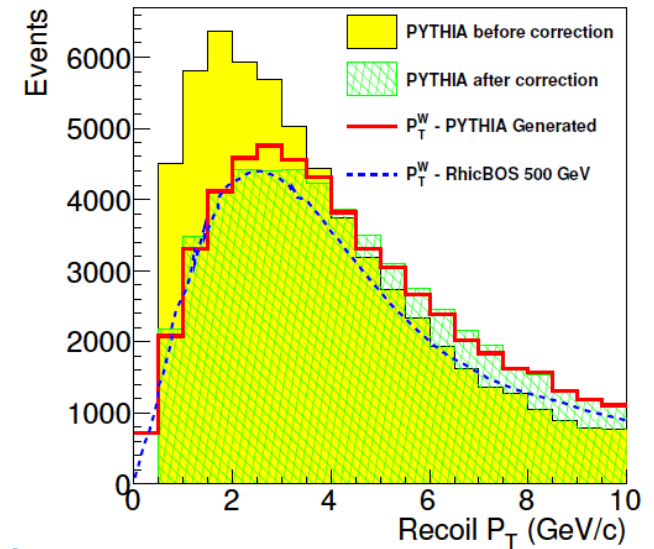
$$p + p \rightarrow W^\pm \rightarrow e^\pm + \nu$$

- Requires full reconstruction of  $W^\pm$  kinematics
- Missing transverse momentum from recoil

$$P_T^W = P_T^e + P_T^\nu = P_T^{recoil}$$

Phys. Rev. Lett. 116, 132301 (2016)

Comparison with Phys. Rev. Lett. 103, 172001

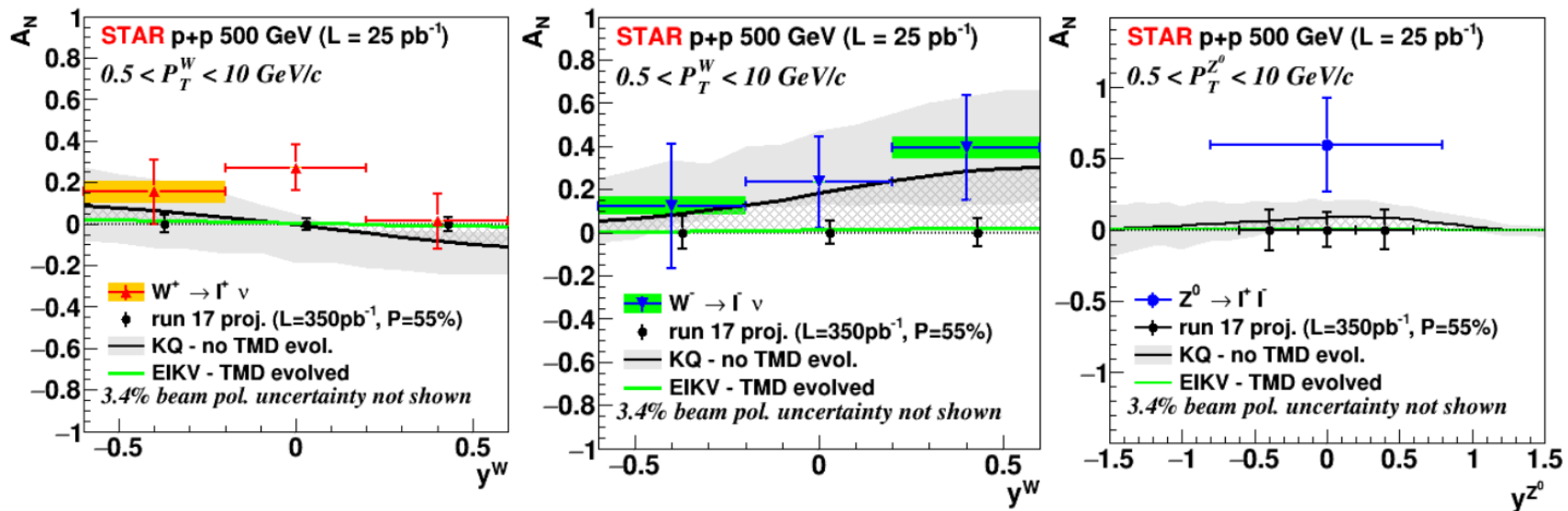


# Next Steps

- Successful completion of run 2017

- $\sqrt{s} = 510 \text{ GeV}$
- $\mathcal{L}_{int} = 350 \text{ pb}^{-1}$
- $P_p = 55\%$

$$W^+, W^-, Z^0$$

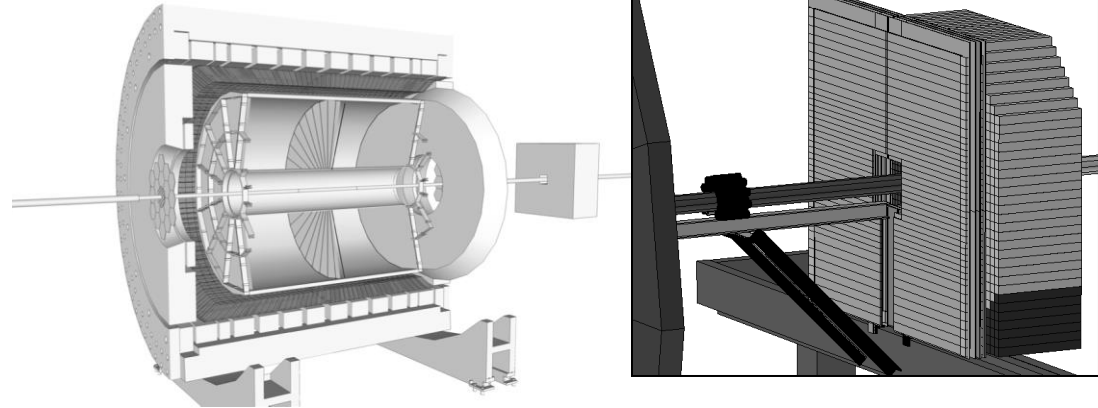


- Rigorous test of the universality of TMD spin-orbit effects
- Experimental constraint on strength of  $Q^2$ -evolution

# At the same time...

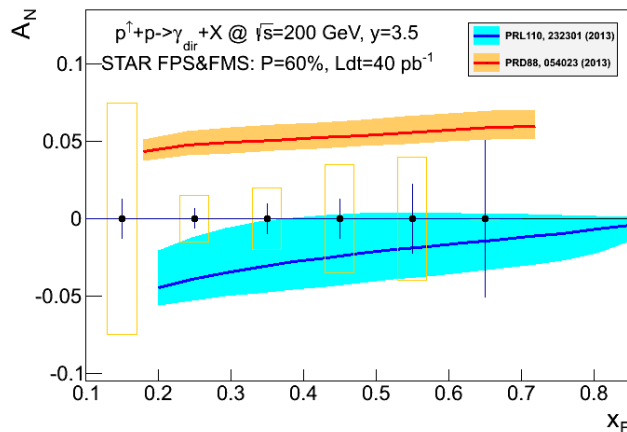
FMS equipped with pre/post-shower detectors 2015/2017

- $2.5 < \eta < 4.0$
- High  $p_T$  trigger
- Excellent background rejection required ( $10^6$ )
- Multi-variate analysis based on simulation with full detector response

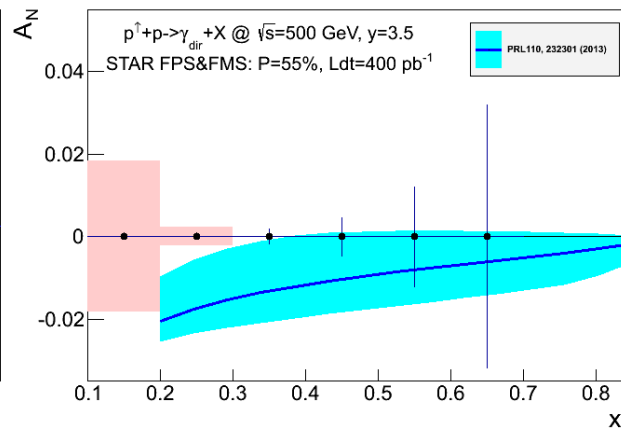


(projections, data on disk)

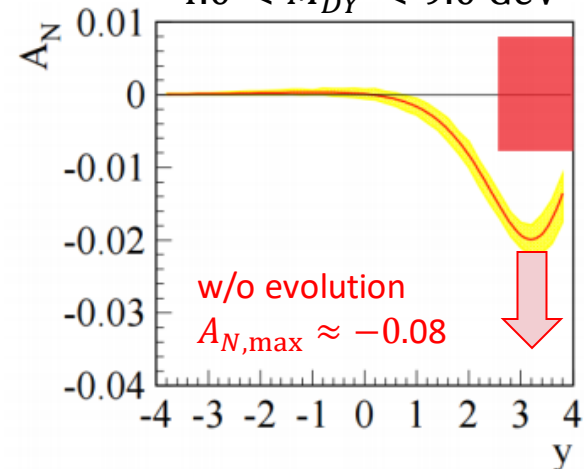
$\sqrt{s} = 200 \text{ GeV}$



$\sqrt{s} = 500 \text{ GeV}$



$4.0 < M_{DY} < 9.0 \text{ GeV}$



# Nuclear Effects in $A_N(\pi^0)$

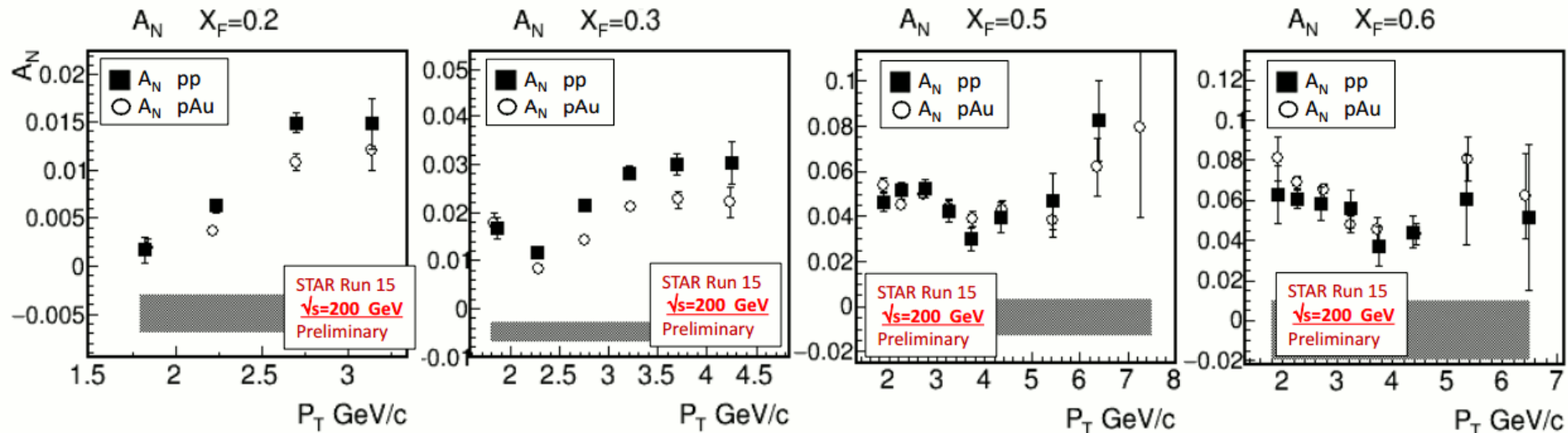
- Polarized: Transverse spin asymmetries of inclusive  $\pi^0$  production
- Possibly gluon saturation effects (CGC)
- Nuclear effects on fragmentation process
- RHIC Run 2015
  - $\vec{p} + p / \vec{p} + Al / \vec{p} + Au$



STAR FMS

$2.5 < \eta_\gamma < 4.0$

$p + p @ \sqrt{s} = 200 \text{ GeV}$

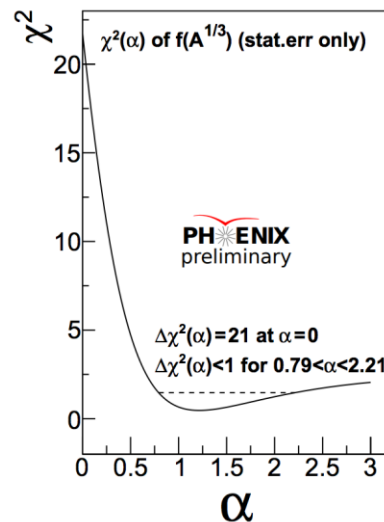
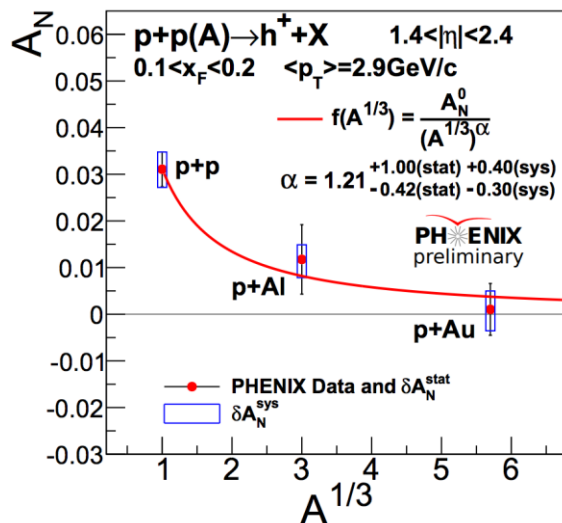
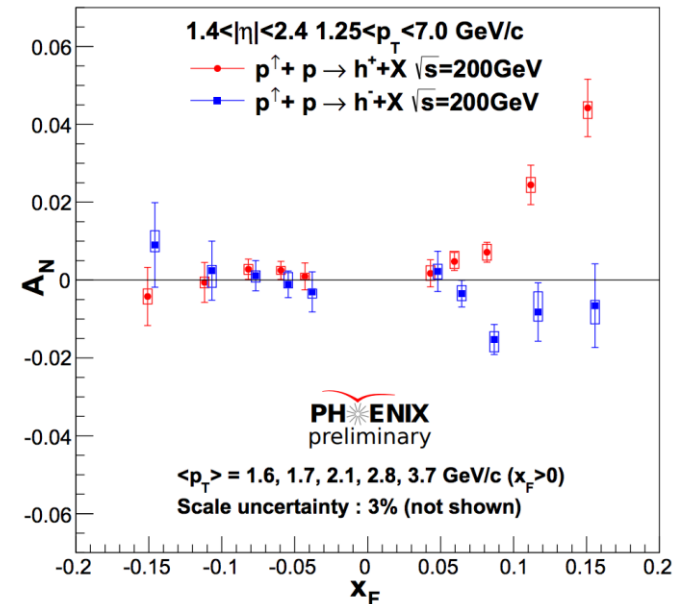


No suppression can be observed so far.

# Charged Hadrons (Forward)

- Hadrons are main background for muon measurement
- $1.4 < \eta < 2.4$
- Mixture of mostly pions and Kaons
- $x_F$  dependence very similar to BRAHMS ( $\pi^\pm$ ) and other neutral mesons
- Shown at DIS 2018 (J. Bok)

PHENIX



$$x_F = 2p_L/\sqrt{s}$$

- A dependence observed for  $0.1 < x_F < 0.2$

$$A_N(A) = A_N^{p+p} \cdot (A^{1/3})^\alpha$$

$$\alpha = 1.21^{+1.00+0.40}_{-0.42-0.30}$$

- More detailed in  $N_{coll}^{avg}$

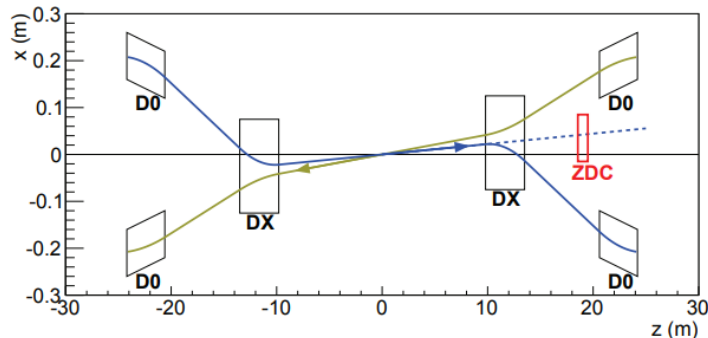


# Neutrons (Very Forward)

Phys. Rev. Lett. 120, 022001 (2018)

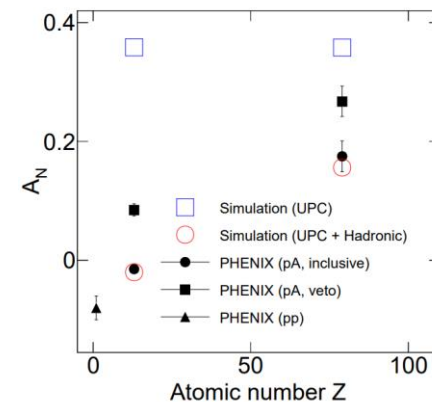
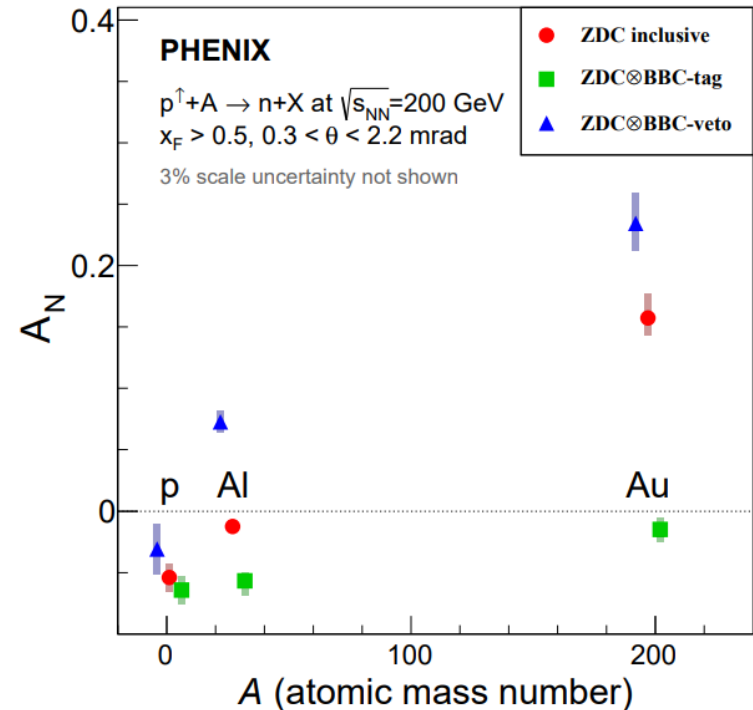
- Zero Degree Calorimeters

- $0.3 < \theta < 2.2$  mrad



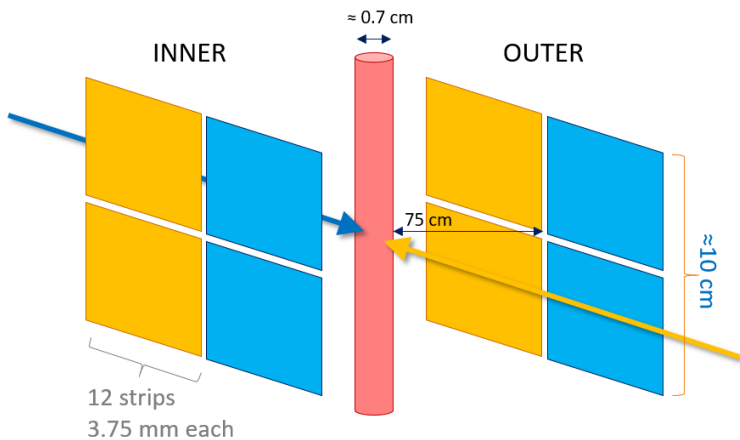
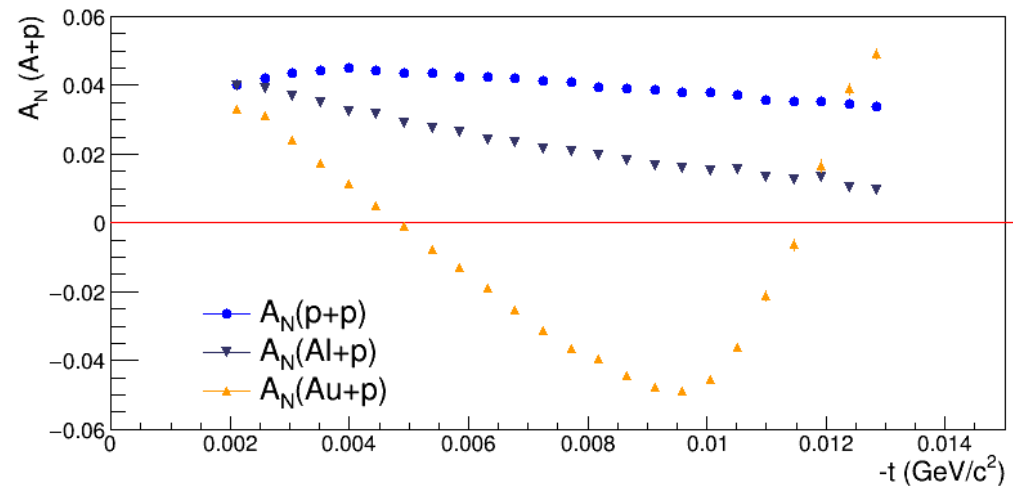
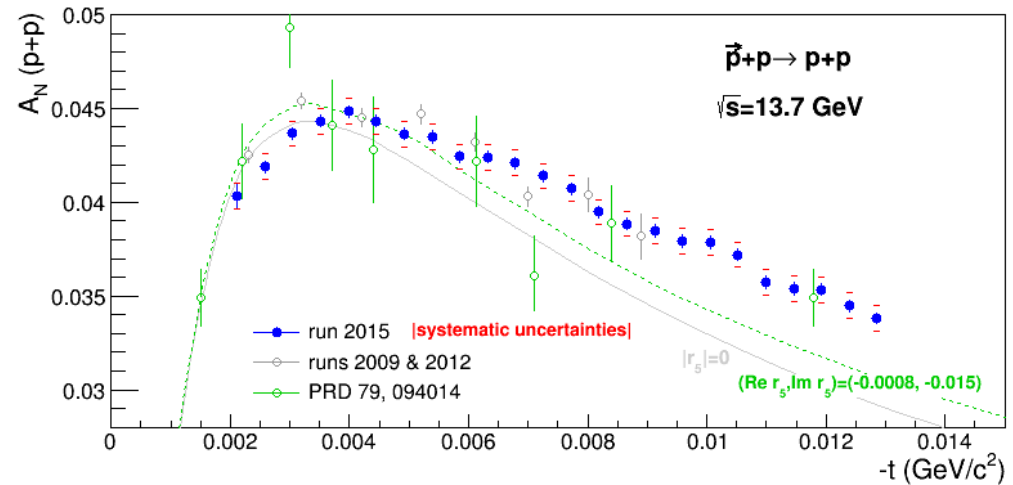
- G. Mitsuka, Phys. Rev. C95, 044908 (2017)

- Monte Carlo simulation of ultraperipheral collisions (photon-proton scattering)
  - Virtual photon flux from heavy ion: STARLIGHT
  - $\gamma^* + p^\uparrow$  scattering: MAID 2007



# Elastic $p + p$ Scattering

- Polarized atomic hydrogen jet target
- $p_{beam} = 100 \text{ GeV}$
- $\sqrt{s} = 13.6 \text{ GeV}$
- $P_{target} = 96\%$
- $R_{H_2} = 3\%$  (by mass)



# Summary

- The RHIC transverse spin program has led to surprising discoveries in the past.
- Transverse spin asymmetries are continuing to contribute to our understanding of
  - Factorization,
  - Evolution,
  - Universality
 in Quantum Chromodynamics.

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## Expect more results...

$p^\uparrow + p$	200 GeV	40 pb <sup>-1</sup>
$p^\uparrow + Al$	200 GeV	1.0 pb <sup>-1</sup>
$p^\uparrow + Au$	200 GeV	0.45 pb <sup>-1</sup>
$p^\uparrow + p$	510 GeV	350 pb <sup>-1</sup>

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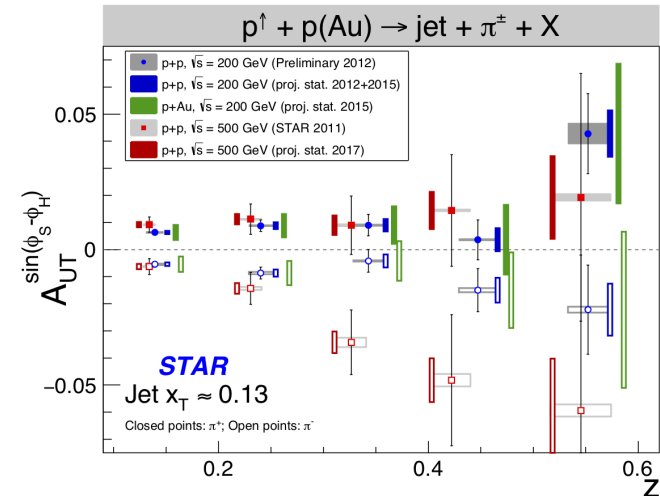
# Spin Dependent Fragmentation

- Hadron in jet
  - STAR measured at midrapidity

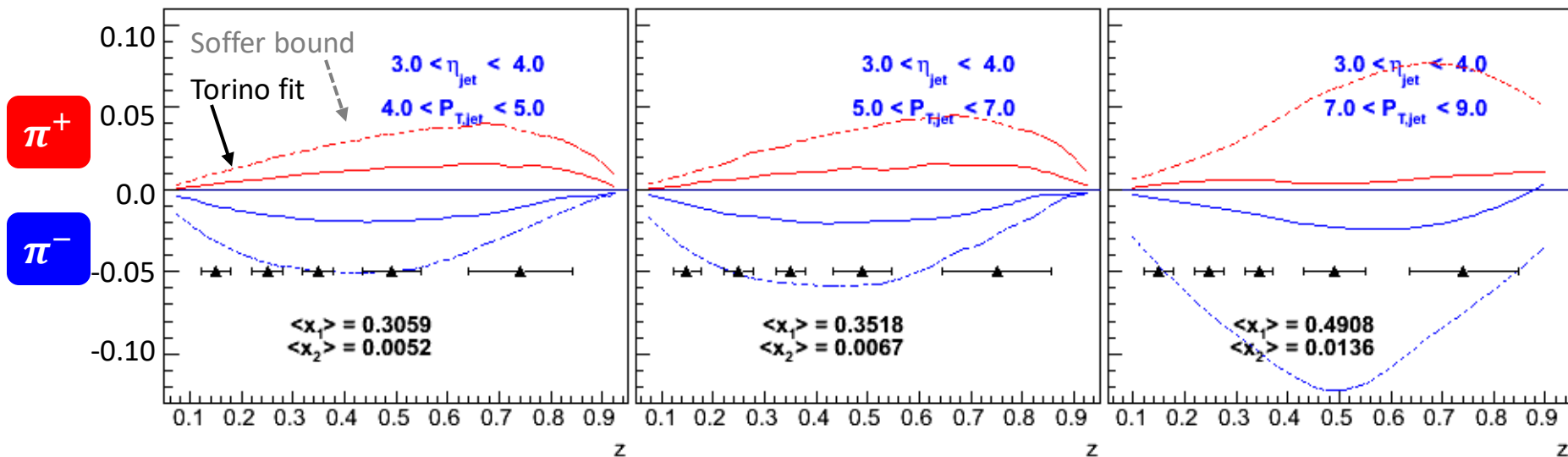
- Move to higher  $x$

$$\delta q = \int_0^1 [\delta q(x) - \delta \bar{q}(x)] dx$$

- Multi-dimensional binning



$\sqrt{s} = 500 \text{ GeV}, 268 \text{ pb}^{-1} \text{ sampled}$



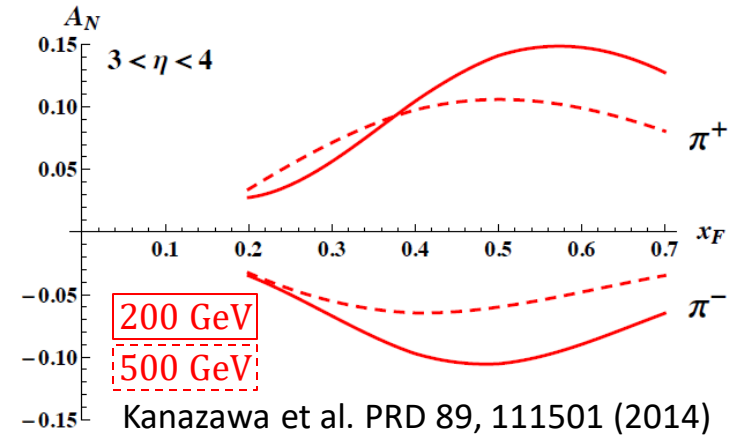
# Other Hadron / Jet Observables

- Suggested large spin dependent effects in quark fragmentation

- Collinear quark-gluon-quark correlators

$$\widehat{H}_{FU}^{\mathcal{S}}(z, z_z)$$

- Flavor dependence
- Evolution effects of ETQS distribution functions

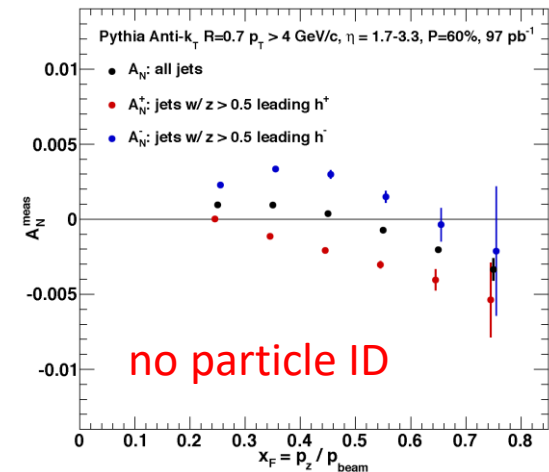
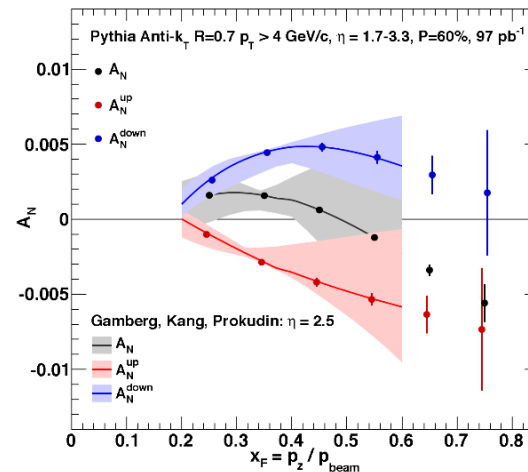


- Test origin of large transverse asymmetries

- Compare direct photons and jets

$$-\int d^2 k_{\perp} \frac{|k_{\perp}^2|}{M} f_{1T}^{\perp q}(x, k_{\perp}^2) = T_{q,F}(x, x)$$

- Cancellation of  $u$  &  $d$  quark Sivers
- Bias from high- $z$  charged pion



# Outlook

Year	$\sqrt{s}$ (GeV)	Delivered Luminosity	Scientific Goals	Observable	Required Upgrade
2021	$p^{\dagger}p @ 510$	$1.1 \text{ fb}^{-1}$ 10 weeks	TMDs at low and high $x$	$A_{UT}$ for Collins observables, i.e. hadron in jet modulations at $\eta > 1$	Forward instrum. ECal+HCal+Tracking
2021	$p^{\dagger}p @ 510$	$1.1 \text{ fb}^{-1}$ 10 weeks	$\Delta g(x)$ at small $x$	$A_{LL}$ for jets, di-jets, h/ $\gamma$ -jets at $\eta > 1$	Forward instrum. ECal+HCal
2023	$p^{\dagger}p @ 200$	$300 \text{ pb}^{-1}$ 8 weeks	Subprocess driving the large $A_N$ at high $x_F$ and $\eta$	$A_N$ for charged hadrons and flavor enhanced jets	Forward instrum. ECal+HCal+Tracking
2023	$p^{\dagger}\text{Au} @ 200$	$1.8 \text{ pb}^{-1}$ 8 weeks	What is the nature of the initial state and hadronization in nuclear collisions  Clear signatures for Saturation	$R_{pAu}$ direct photons and DY  Dihadrons, $\gamma$ -jet, h-jet, diffraction	Forward instrum. ECal+Hcal+Tracking
2023	$p^{\dagger}\text{Al} @ 200$	$12.6 \text{ pb}^{-1}$ 8 weeks	A-dependence of nPDF,  A-dependence for Saturation	$R_{pAl}$ : direct photons and DY  Dihadrons, $\gamma$ -jet, h-jet, diffraction	Forward instrum. ECal+HCal+Tracking



**BACK UP**



# Gluon Linear Polarization

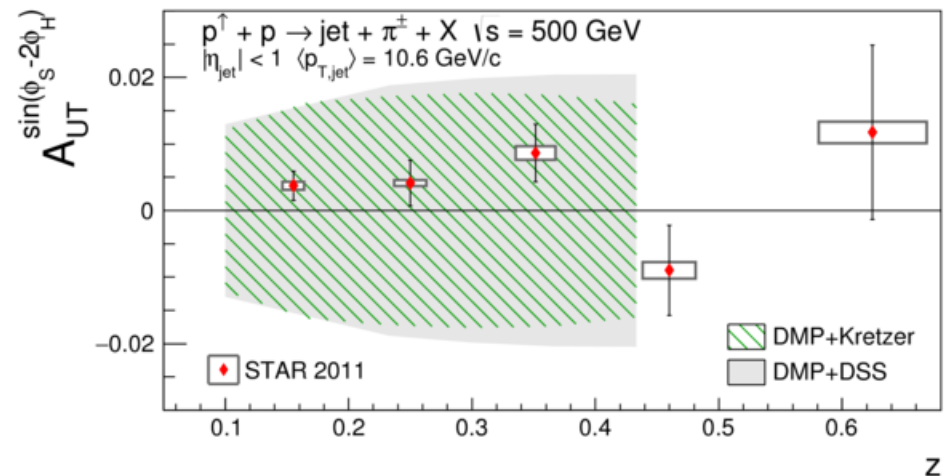
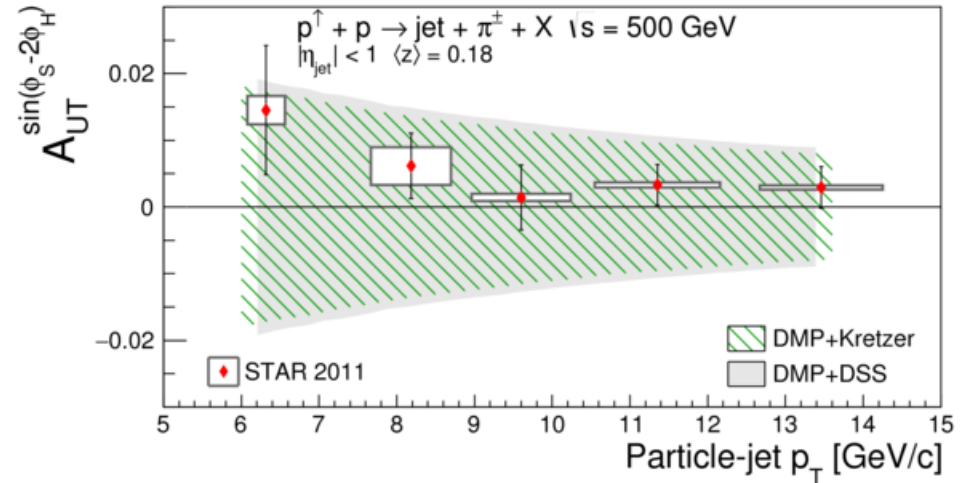
- Collins-like fragmentation

$$d\sigma^\uparrow - d\sigma^\downarrow \propto A_{UT} \cdot \cos(\phi_S - 2\phi_h)$$

- Expected to be small but completely unconstrained
- First measurement!

Phys. Rev. D97, 032004 (2018)

Comparison with  
Phys. Lett. B773, 300-306 (2017)



# Ideally...

## Drell-Yan Production

$$p^\uparrow + p \rightarrow \gamma^* \rightarrow l^+ + l^-$$

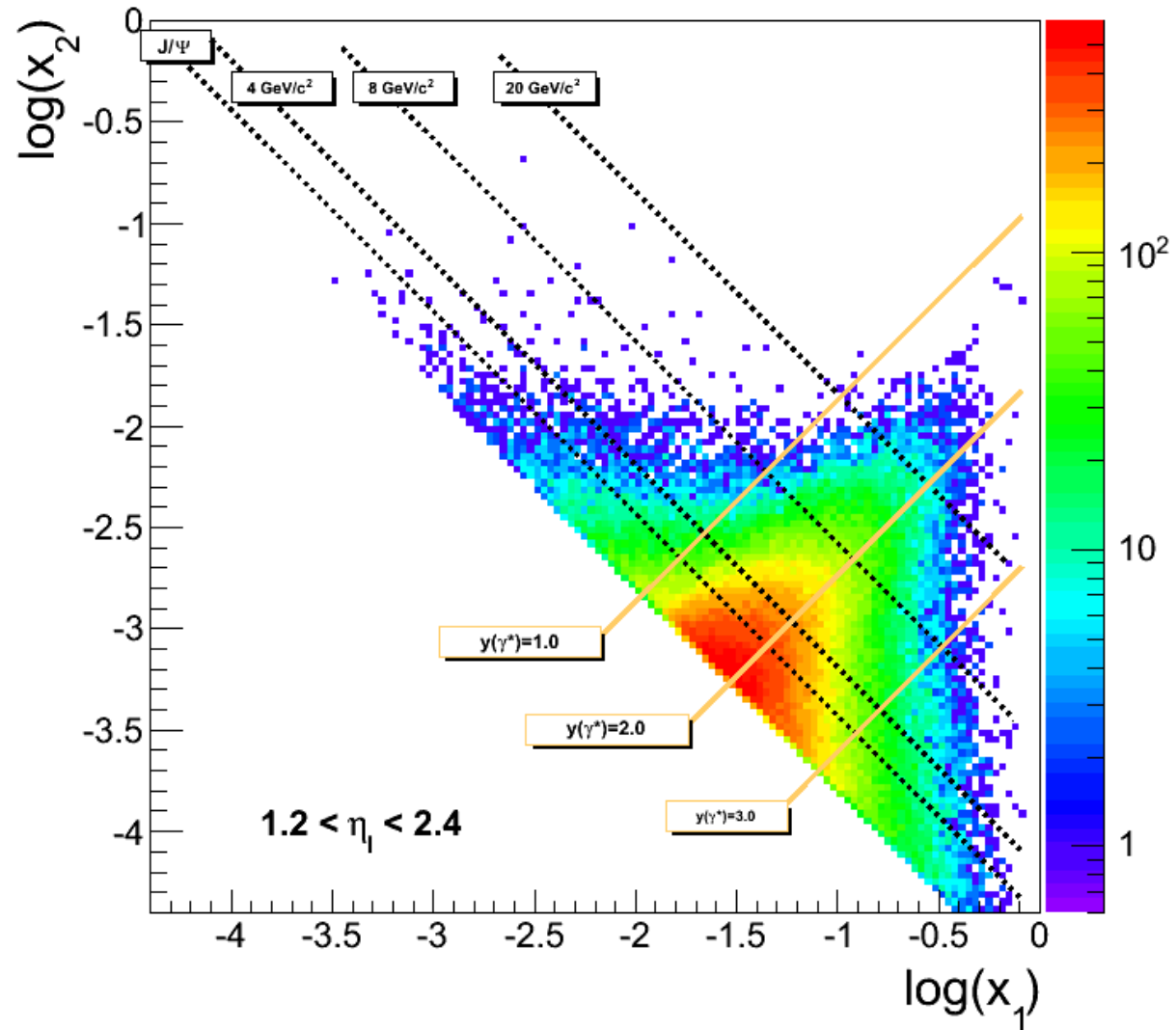
$$\sqrt{s} = 500 \text{ GeV}$$

$$Q^2 = M^2 \gg p_T^2$$

Get rid of background

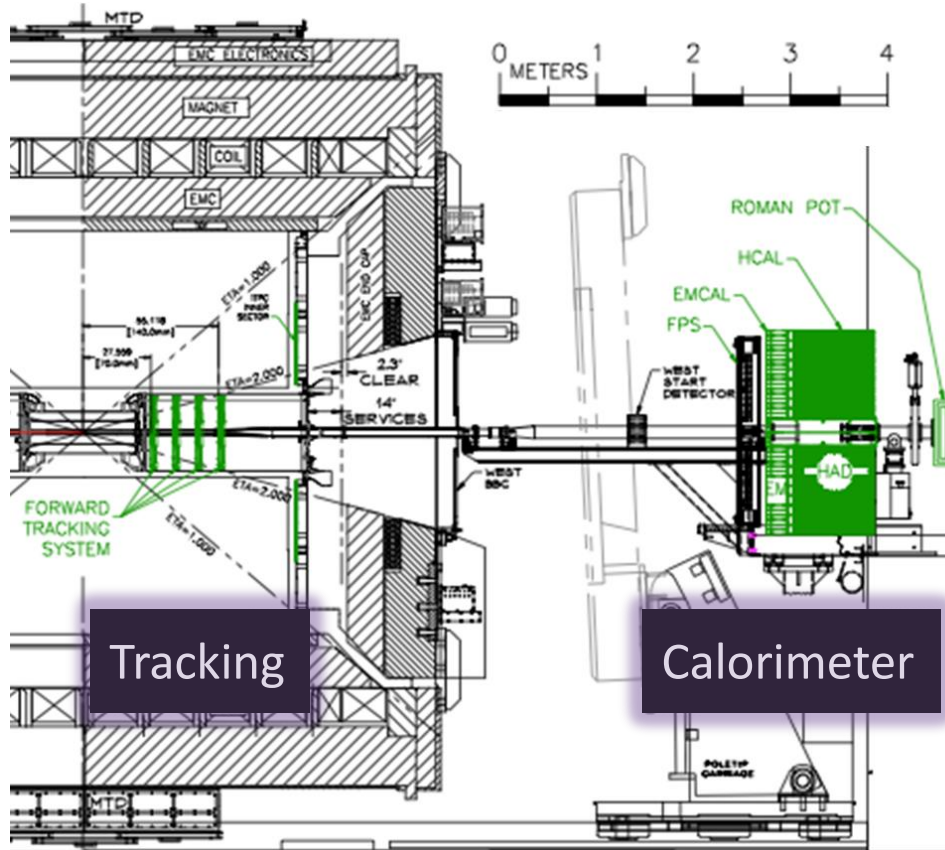
Scan  $x$  with rapidity

Accumulate a few  $\text{fb}^{-1}$

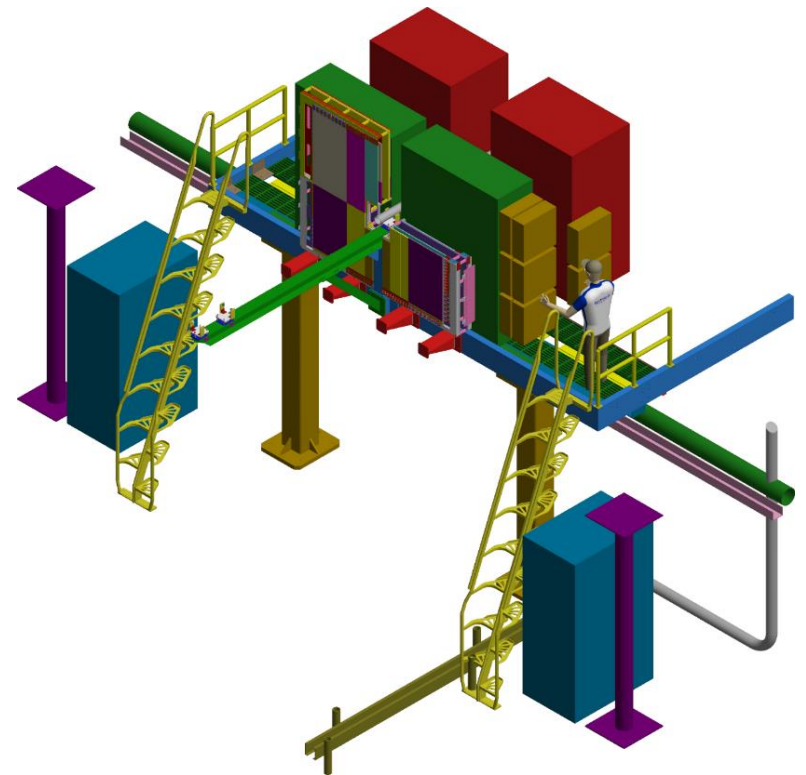


# Forward Detector Upgrade

$$2.5 < \eta < 4.0$$



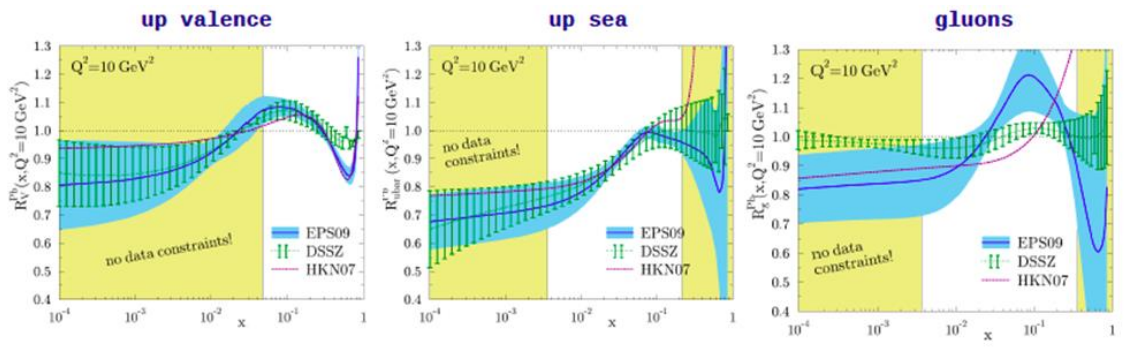
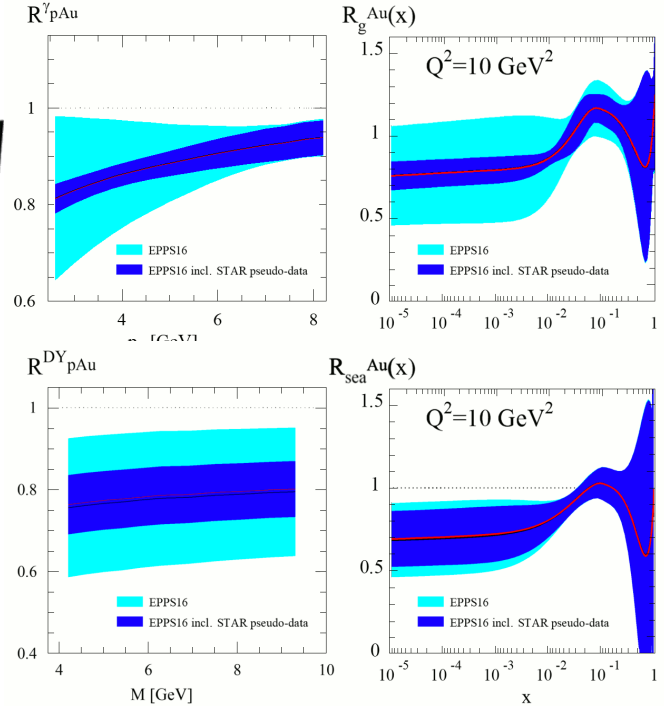
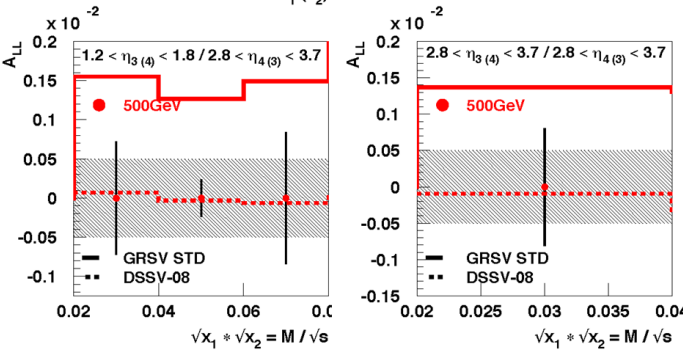
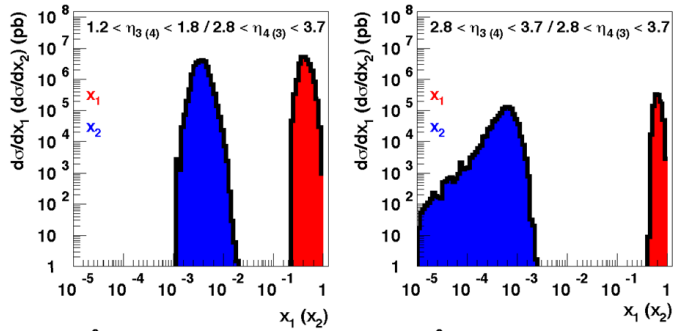
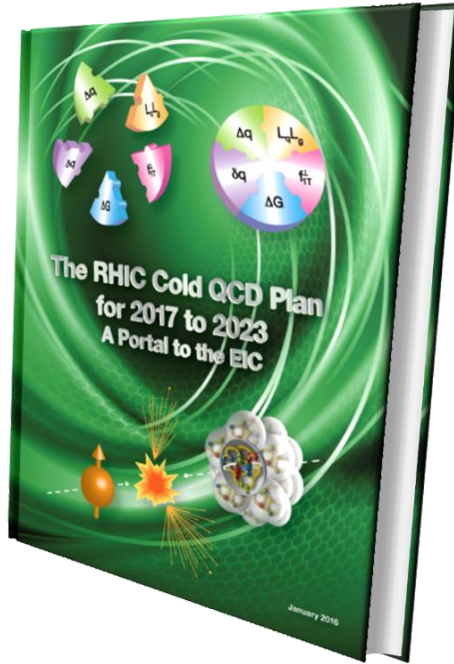
	p+p / p+A	A+A
ECAL	$\approx 10\%/\sqrt{E}$	$\approx 20\%/\sqrt{E}$
HCAL	$\approx 60\%/\sqrt{E}$	n/a



	p+p / p+A	A+A
Tracking	charge separation photon suppression	$\frac{\delta p}{p} \approx 20 - 30\%$ at $0.2 < p_T < 2.0 \text{ GeV}/c$

# More Cold QCD at RHIC

- Extend  $x$ -range for gluon helicity with dijets
- Nuclear parton distributions
- Nuclear suppression  $R_{pA}$ 
  - Drell-Yan  $\rightarrow$  sea quarks
  - Direct photons  $\rightarrow$  gluons



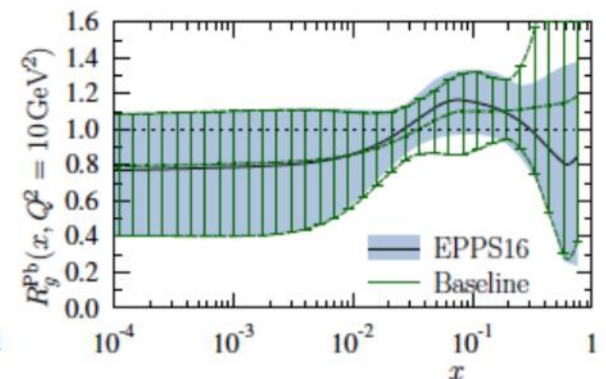
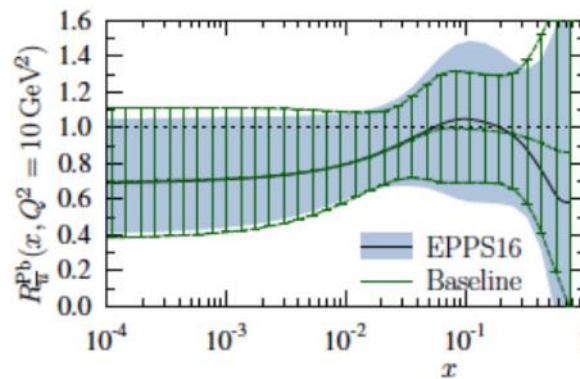
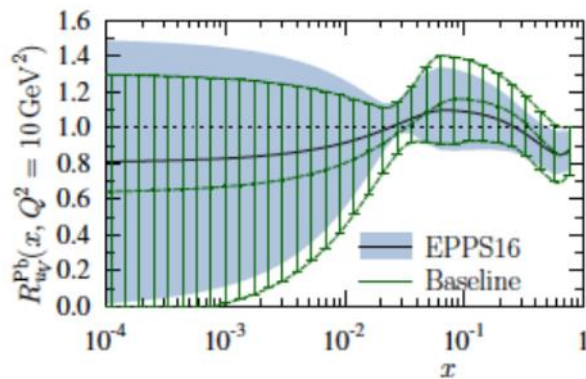
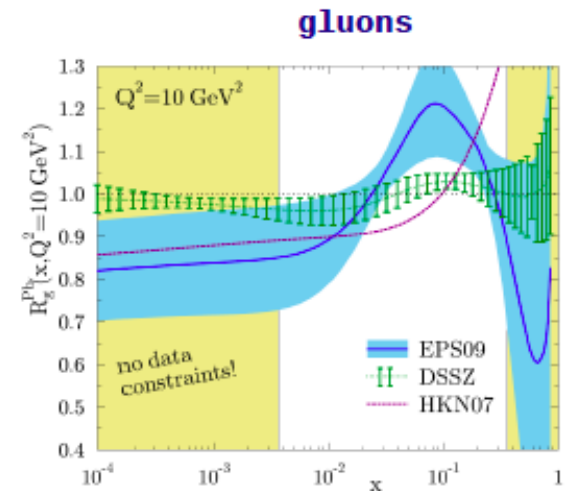
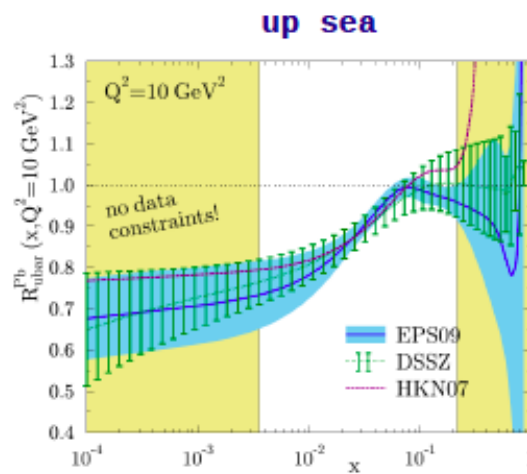
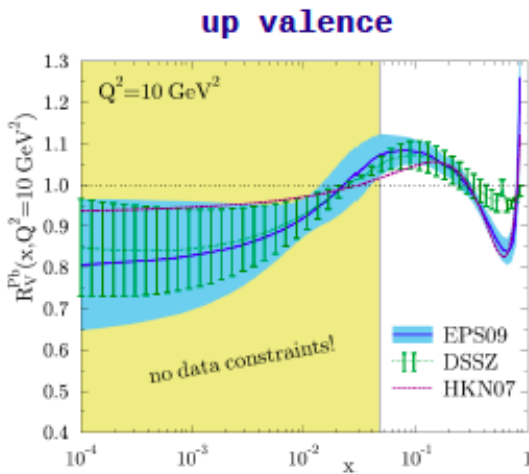


# Nuclear Parton Distributions

- Initial conditions for heavy ion collisions (here  $Pb$ )
  - Largely unconstrained
  - LHC Run I  $p + Pb$  data at very high  $Q^2$

H. Paukkunen, DIS (2014)

K.J. Eskola et al. EPJ C77, 163 (2017)



# Nuclear Modification: $R_{pA}(\gamma_{dir})$

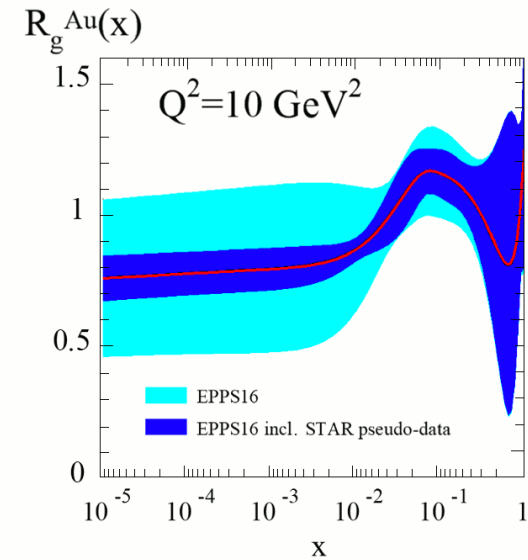
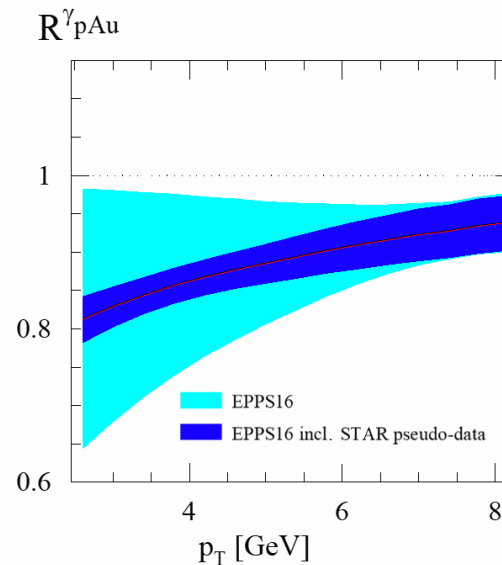
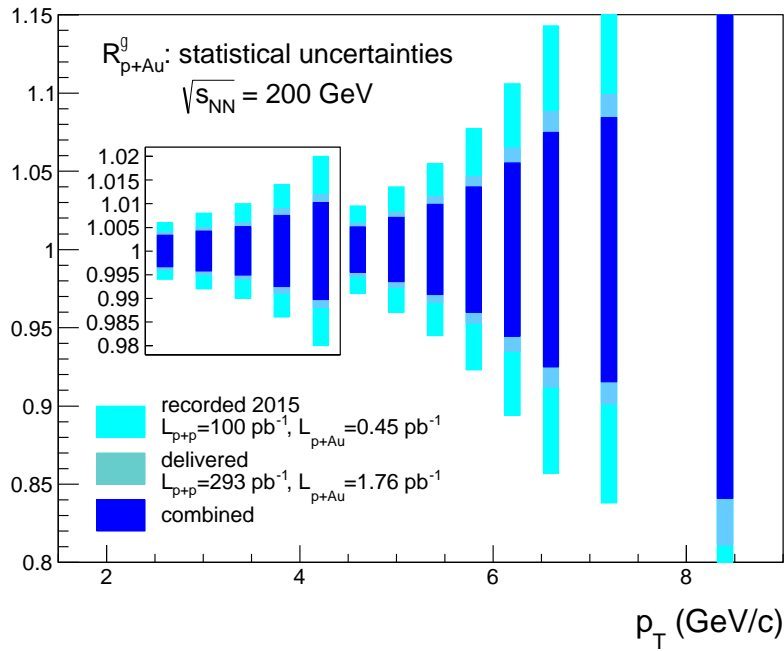
## Direct photons

- $2.5 < \eta_\gamma < 4.0$
- Moderate  $Q^2$
- Medium to low  $x$

$$R_{pA} = \frac{1}{\langle N_{coll} \rangle} \frac{dN^{pA}}{dN^{pp}}$$

RHIC 2015

- $p + Al, L_{int} = 1.0 \text{ pb}^{-1}$
- $p + Au, L_{int} = 0.45 \text{ pb}^{-1}$



# Nuclear Modification: $R_{pA}(\gamma_{DY}^*)$

## Drell-Yan production

- $2.5 < \eta_{\gamma^*} < 4.5$
- Moderate-high  $Q^2 = M_{\gamma^*}^2$
- Medium  $x$

- Drell-Yan at forward  $\eta$
- 2017:  $p + p @ \sqrt{s} = 500$  GeV
- 2023:  $p + p/Al/Au @ \sqrt{s_{NN}} = 200$  GeV

