

# PEN experiment: a precise test of lepton universality

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# Known and measured pion and muon decays

Decay	BR	
$\pi^+ \rightarrow \mu^+ \nu$	0.9998770 (4)	( $\pi_{\mu 2}$ )
$\mu^+ \nu \gamma$	$2.00 (25) \times 10^{-4}$	( $\pi_{\mu 2 \gamma}$ )
$e^+ \nu$	$1.230 (4) \times 10^{-4}$	( $\pi_{e 2}$ )
$e^+ \nu \gamma$	$7.39(5) \times 10^{-7}$	( $\pi_{e 2 \gamma}$ )
$\pi^0 e^+ \nu$	$1.036 (6) \times 10^{-8}$	( $\pi_{e 3}, \pi_{\beta}$ )
$e^+ \nu e^+ e^-$	$3.2 (5) \times 10^{-9}$	( $\pi_{e 2 e e}$ )
$\pi^0 \rightarrow$		
$\gamma \gamma$	0.98798 (32)	
$e^+ e^- \gamma$	$1.198 (32) \times 10^{-2}$	(Dalitz)
$e^+ e^- e^+ e^-$	$3.14 (30) \times 10^{-5}$	
$e^+ e^-$	$6.2 (5) \times 10^{-8}$	
$\mu^+ \rightarrow$		
$e^+ \nu \bar{\nu}$	$\sim 1.0$	(Michel)
$e^+ \nu \bar{\nu} \gamma$	0.014 (4)	(RMD)
$e^+ \nu \bar{\nu} e^+ e^-$	$3.4 (4) \times 10^{-5}$	

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The electronic ( $\pi_{e2}$ ) decay:



$$BR \sim 10^{-4}$$



- ▶ Early evidence for  $V - A$  nature of weak interaction.

$$R_{e/\mu}^\pi = \frac{\Gamma(\pi \rightarrow e\bar{\nu}(\gamma))}{\Gamma(\pi \rightarrow \mu\bar{\nu}(\gamma))} = \frac{g_e^2 m_e^2 (1 - m_e^2/m_\mu^2)^2}{g_\mu^2 m_\mu^2 (1 - m_\mu^2/m_\pi^2)^2} (1 + \delta R_{e/\mu})$$

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**WHY SHOULD WE CARE?**



# Reach of $\pi_{e2}$ decay beyond the SM (New Physics)

$$\mathcal{L}_{\text{NP}} = \left[ \pm \frac{\pi}{2\Lambda_V^2} \bar{u}\gamma_\alpha d \pm \frac{\pi}{2\Lambda_A^2} \bar{u}\gamma_\alpha\gamma_5 d \right] \bar{e}\gamma^\alpha(1 - \gamma_5)\nu$$
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At  $\Delta R_{e/\mu}^\pi / R_{e/\mu}^\pi = 10^{-3}$ ,  $\pi_{e2}$  decay is directly sensitive to:

$$\boxed{\Lambda_P \leq 1000 \text{ TeV}} \quad \text{and} \quad \boxed{\Lambda_A \leq 20 \text{ TeV}},$$

and indirectly, through loop effects to  $\boxed{\Lambda_S \leq 60 \text{ TeV}}$ .

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In general multi-Higgs models with charged-Higgs couplings

$\lambda_{e\nu} \approx \lambda_{\mu\nu} \approx \lambda_{\tau\nu}$ , at 0.1% precision,  $R_{e\mu}^\pi$  probes  $m_{H^\pm} \leq 400 \text{ GeV}$ .



# Lepton universality (and neutrinos)

From:

$$R_{e/\mu} = \frac{\Gamma(\pi \rightarrow e\bar{\nu}(\gamma))}{\Gamma(\pi \rightarrow \mu\bar{\nu}(\gamma))} = \frac{g_e^2}{g_\mu^2} \frac{m_e^2}{m_\mu^2} \frac{(1 - m_e^2/m_\mu^2)^2}{(1 - m_\mu^2/m_\pi^2)^2} (1 + \delta R_{e/\mu})$$

$$R_{\tau/\pi} = \frac{\Gamma(\tau \rightarrow e\bar{\nu}(\gamma))}{\Gamma(\pi \rightarrow \mu\bar{\nu}(\gamma))} = \frac{g_\tau^2}{g_\mu^2} \frac{m_\tau^3}{2m_\mu^2 m_\pi} \frac{(1 - m_\pi^2/m_\tau^2)^2}{(1 - m_\mu^2/m_\pi^2)^2} (1 + \delta R_{\tau/\pi})$$

one can evaluate:

$$\left(\frac{g_e}{g_\mu}\right)_\pi = 0.9996 \pm 0.0012 \quad \text{and} \quad \left(\frac{g_\tau}{g_\mu}\right)_{\pi\tau} = 1.0030 \pm 0.0034.$$

For comparison,

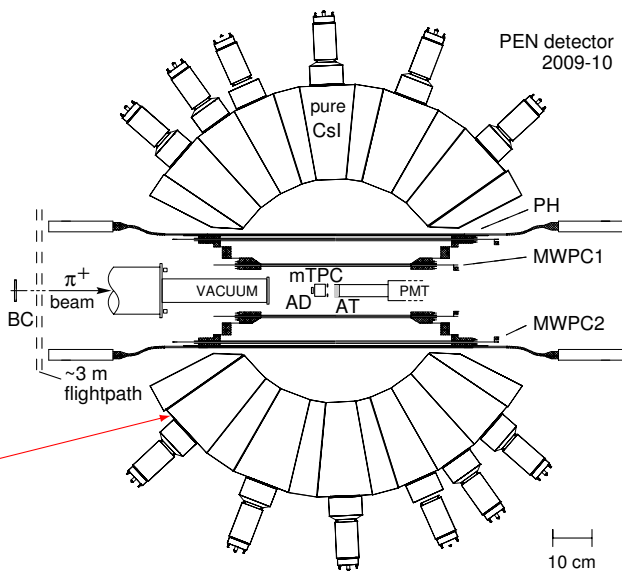
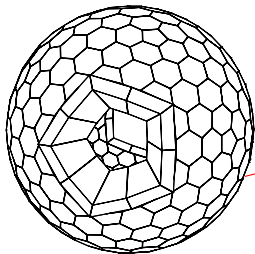
$$\left(\frac{g_e}{g_\mu}\right)_W = 0.999 \pm 0.011 \quad \text{and} \quad \left(\frac{g_\tau}{g_e}\right)_W = 1.029 \pm 0.014.$$

- ▶ significant consequences in the **neutrino sector**;
- ▶ interesting limits on **MSSM extension observables**.



# The PEN/PIBETA apparatus

- stopped  $\pi^+$  beam
- active target counter
- 240-detector, spherical pure CsI calorimeter
- central tracking
- beam tracking
- digitized waveforms
- stable temp./humidity



# The PEN/PIBETA apparatus

## • **PEN Goal:**

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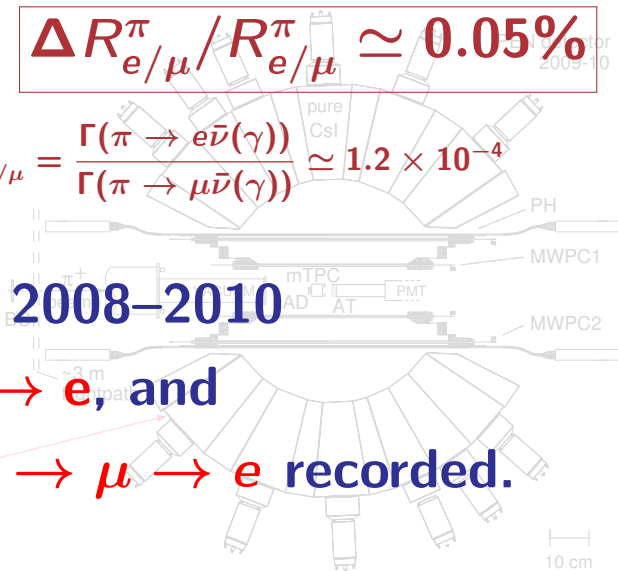
$$\Delta R_{e/\mu}^{\pi} / R_{e/\mu}^{\pi} \simeq 0.05\%$$

Recall that  $R_{e/\mu}^{\pi} = \frac{\Gamma(\pi \rightarrow e\bar{\nu}(\gamma))}{\Gamma(\pi \rightarrow \mu\bar{\nu}(\gamma))} \simeq 1.2 \times 10^{-4}$

**PEN runs: 2008–2010**

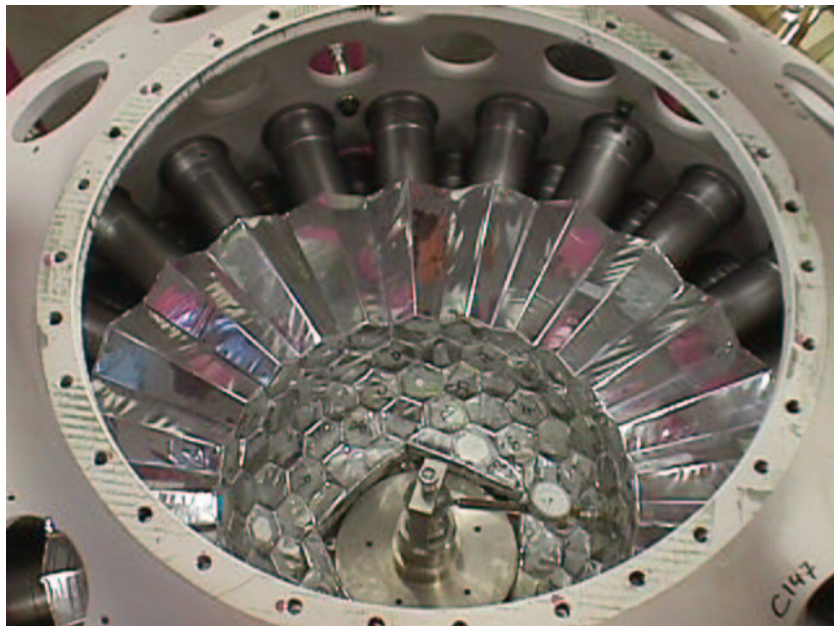
**> 22M  $\pi \rightarrow e$ , and**

**> 200M  $\pi \rightarrow \mu \rightarrow e$  recorded.**

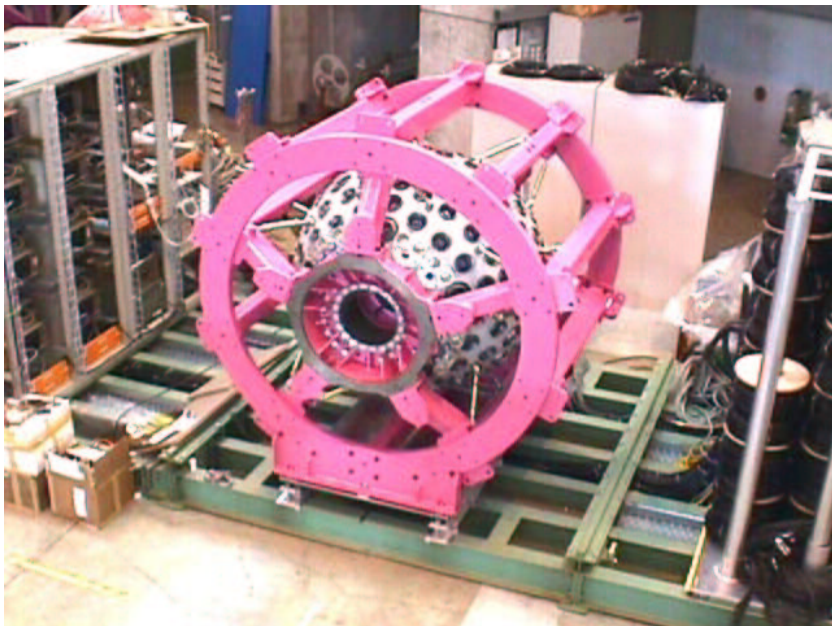




# PIBETA Detector Assembly



# PIBETA Detector on Platform



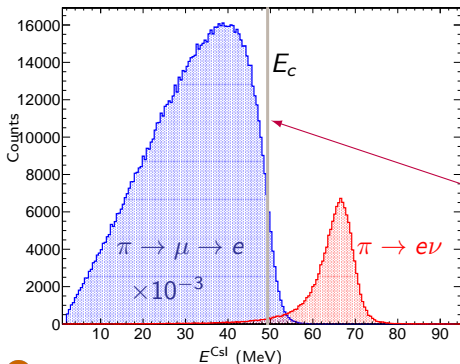
# Experimental branching ratio ( $R_{e/\mu}^{\pi\text{-exp}}$ )

Given that:

- ▶ timing gates affect number of  $\pi_{e2}$  and  $\pi \rightarrow \mu \rightarrow e$  observations, and
- ▶ MWPC efficiency depends on energy,

$$\text{we have: } R_{e/\mu}^{\pi\text{-exp}} = \frac{N_{\pi \rightarrow e\nu}^{\text{peak}} (1 + \epsilon_{\text{tail}})}{N_{\pi \rightarrow \mu\nu}} \frac{f_{\pi \rightarrow \mu \rightarrow e}(T_e)}{f_{\pi \rightarrow e\nu}(T_e)} \frac{\epsilon(E_{\mu \rightarrow e\nu\bar{\nu}})_{\text{MWPC}}}{\epsilon(E_{\pi \rightarrow e\nu})_{\text{MWPC}}} \frac{A_{\pi \rightarrow \mu \rightarrow e}}{A_{\pi \rightarrow e\nu}}$$

$r_f$   $r_\epsilon$   $r_A$



$E_c$  = cutoff energy

$N$  = number of events

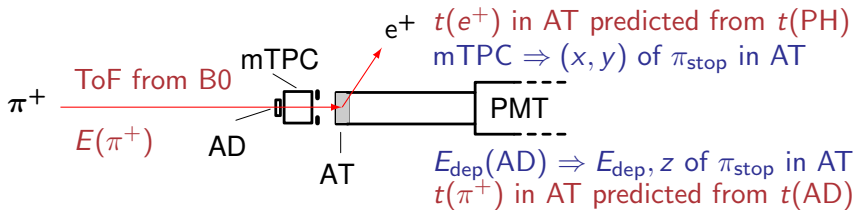
$A$  = acceptance

$\epsilon_{\text{tail}}(E_c)$  = tail to peak ratio

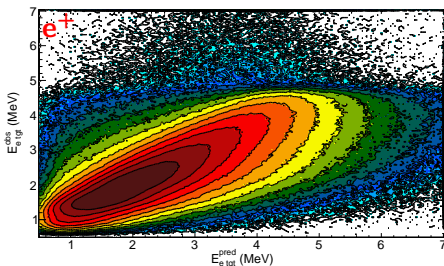
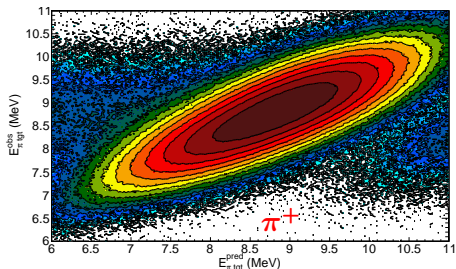
$\epsilon(E)_{\text{MWPC}}$  = efficiency of MWPC

$f(T_e)$  = probability from time

# Discriminating $\pi_{e2}$ and $\pi_{\mu2}$ in TGT

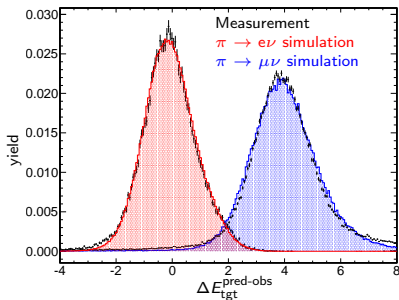
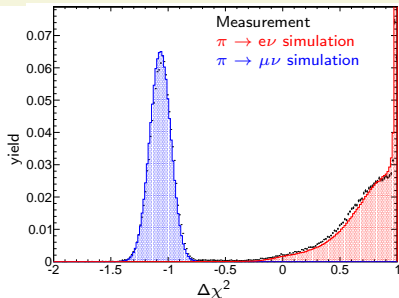
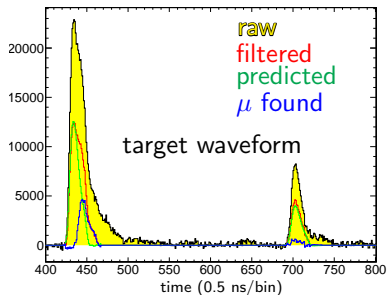


Predicted  $\pi^+$  and  $e^+$  energies agree VERY well with observations:



$\Rightarrow E$  and  $t$  predictions are used for  $\pi_{e2}/\pi_{\mu2}$  discrimination.

# Discrimination and waveforms



$\Delta\chi^2$  uses predicted and observed timings and energies

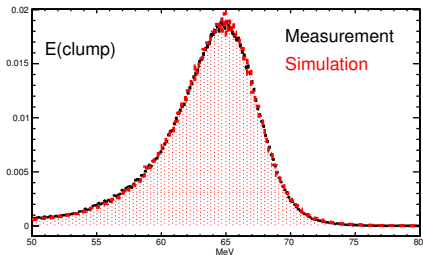
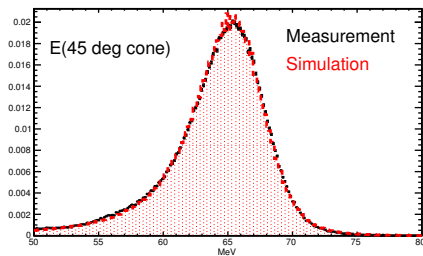
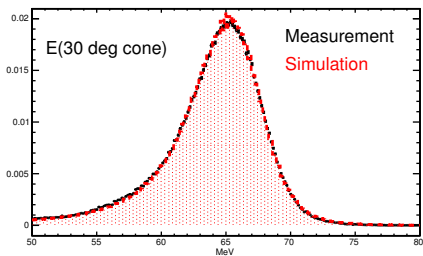
Evaluate 2 peak fit  $\Rightarrow \chi_2^2$

Evaluate 3 peak fit  $\Rightarrow \chi_3^2$

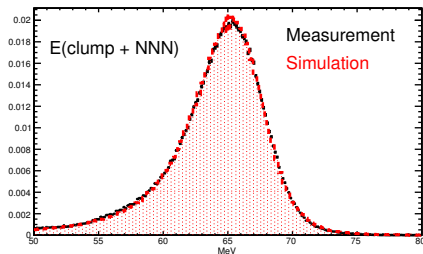
$\Delta\chi^2 = \chi_2^2 - \chi_3^2$  (normalized)

$\pi \rightarrow \mu\nu$  and  $\pi \rightarrow e\nu$  will be used to train a likelihood analysis.

# Gain matching the 240 calorimeter modules



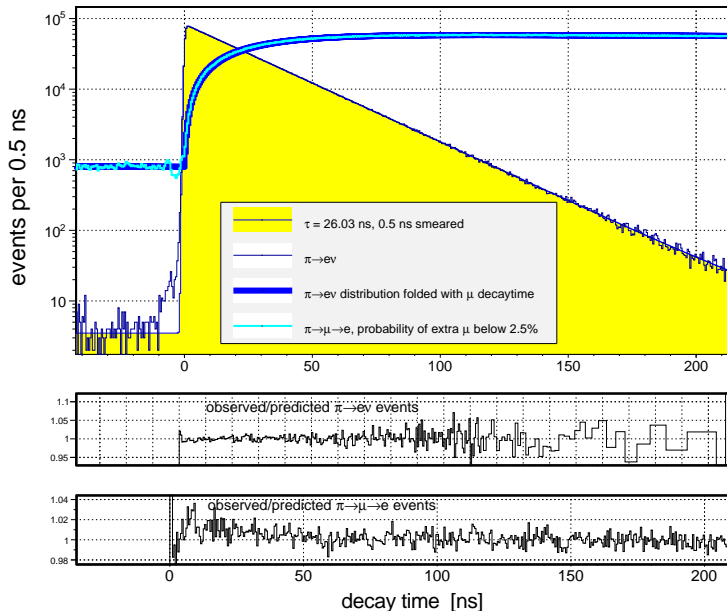
NNN = next to nearest neighbors



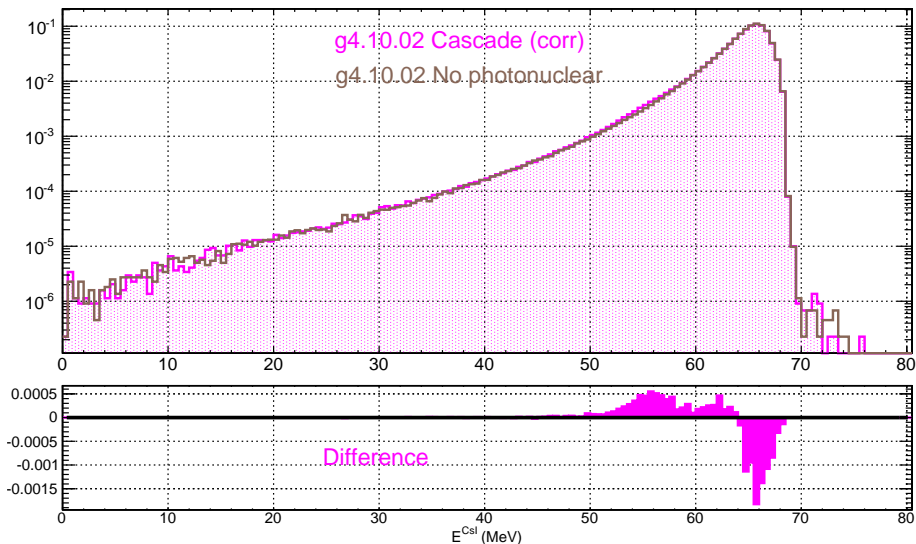
(C. Glaser)



# Agreement with predictions (2010 data subset)



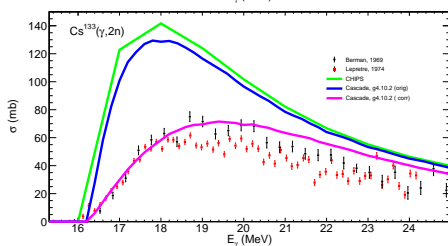
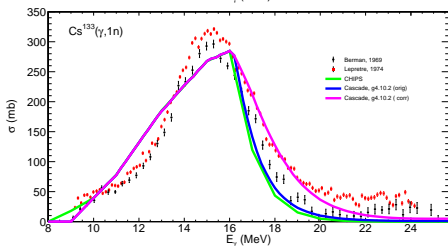
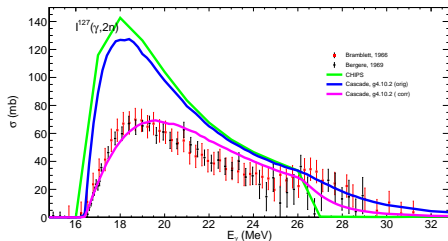
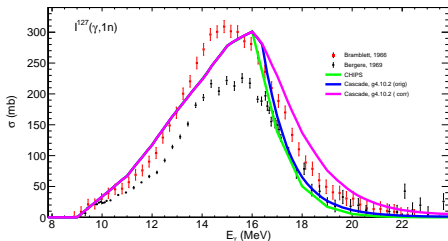
# Low $E$ "tail" response in MC simulation



Getting the photonuclear processes right is a challenge.



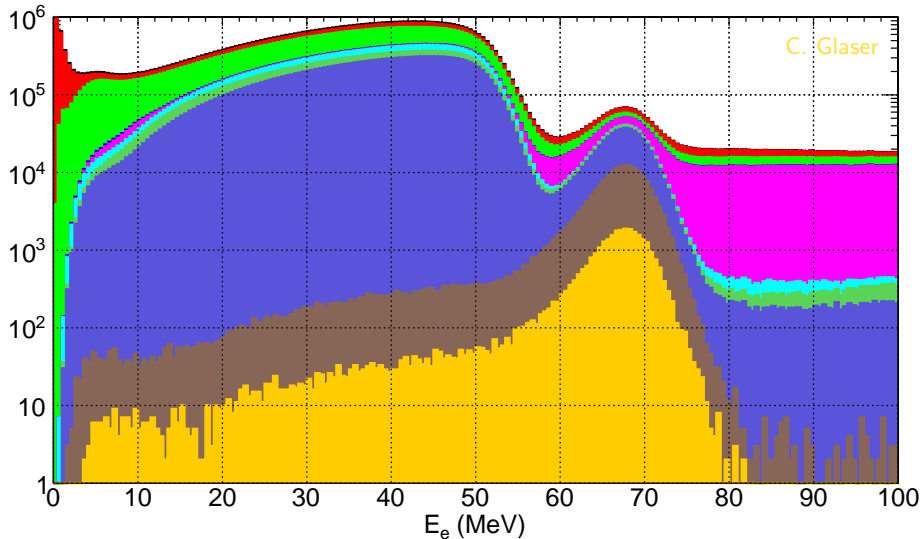
# Photonuclear cross sections and models



(V. Baranov, Dubna)

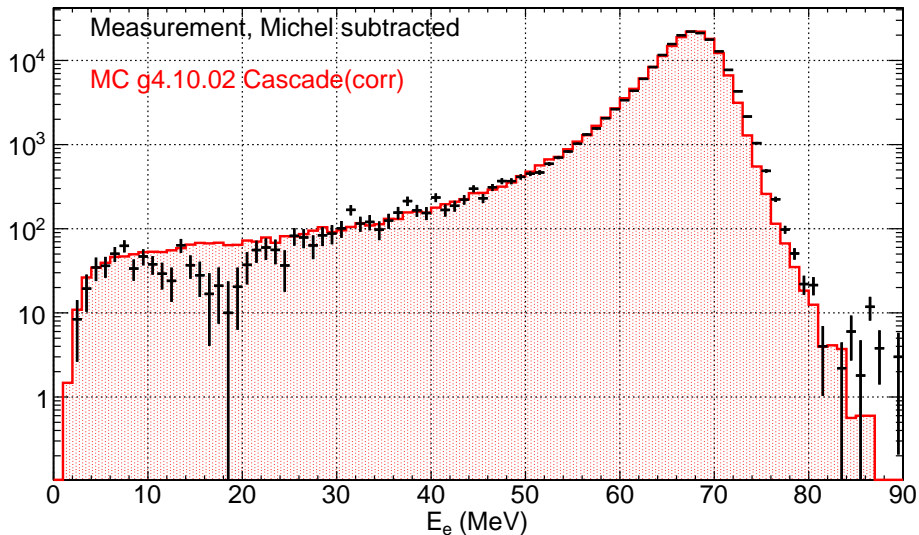


## Low energy "tail" in positron response (measured, 2010 data)



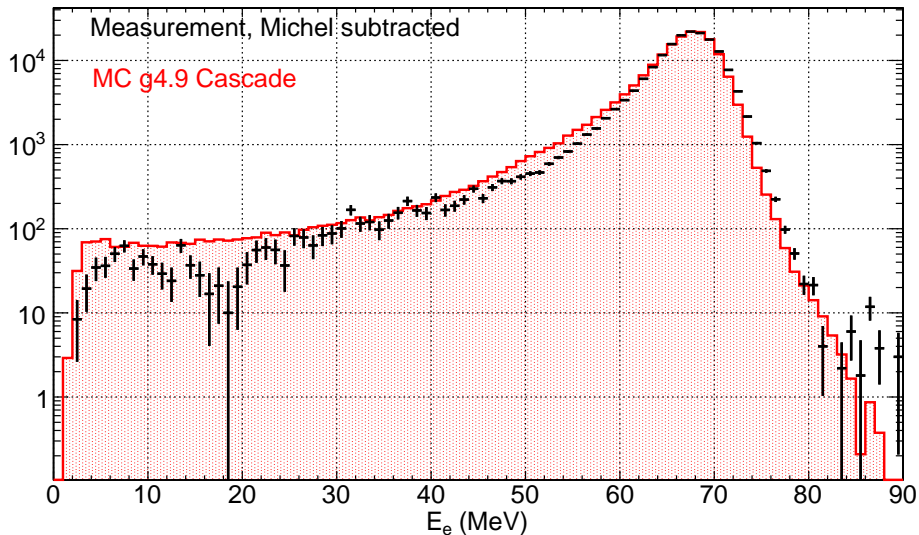
Analysis of a dedicated "tail" trigger designed to suppress early  $\pi \rightarrow \mu \rightarrow e$  events ( $t \leq 50$  ns). Successive cuts add restrictions.

# LE tail: comparison simulation vs. measurement (2010 subset)



(C. Glaser, V.A Baranov)

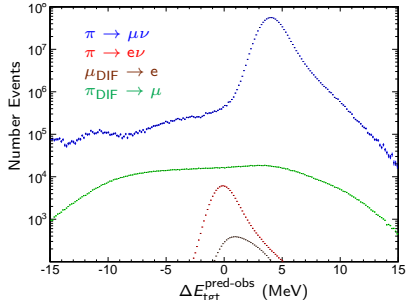
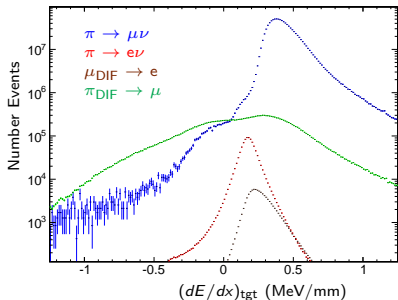
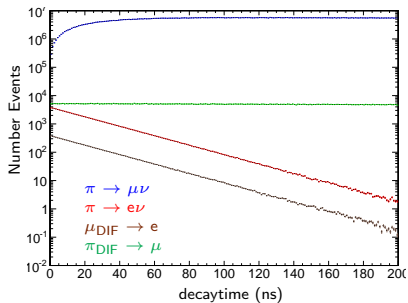
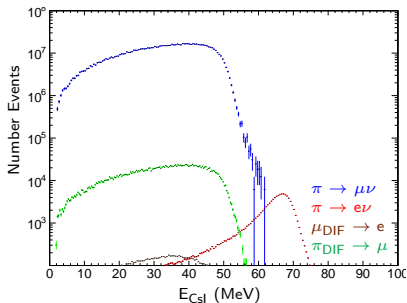
# LE tail: comparison simulation vs. measurement (2010 subset)



(C. Glaser, V.A Baranov)



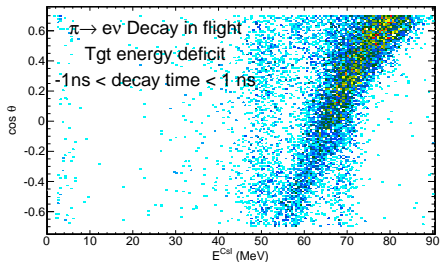
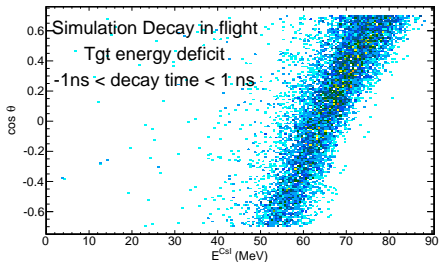
# Decay in flight (DIF) Observables



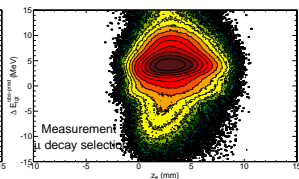
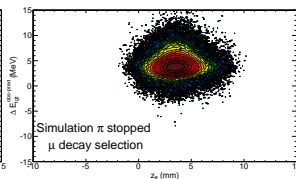
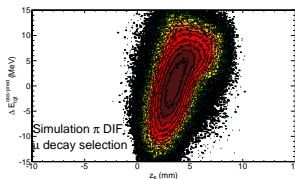
# Decays in flight: simulation vs. measurement

$\pi_{\text{DIF}} \rightarrow e\nu$  decays:

(C. Glaser)



$\pi_{\text{DIF}} \rightarrow \mu_{\text{STOPPED}} \rightarrow e$ :



# Uncertainty Budget

$$R_{e/\mu}^{\pi\text{-exp}} = \frac{N_{\pi \rightarrow e\nu}^{\text{peak}} (1 + \epsilon_{\text{tail}})}{N_{\pi \rightarrow \mu\nu}} \underbrace{\frac{f_{\pi \rightarrow \mu \rightarrow e}(T_e)}{f_{\pi \rightarrow e\nu}(T_e)}}_{r_f} \underbrace{\frac{\epsilon(E_{\mu \rightarrow e\nu\bar{\nu}})_{\text{MWPC}}}{\epsilon(E_{\pi \rightarrow e\nu})_{\text{MWPC}}}}_{r_\epsilon} \underbrace{\frac{A_{\pi \rightarrow \mu \rightarrow e}}{A_{\pi \rightarrow e\nu}}}_{r_A}$$

Type	Observable	Value	$\Delta R_{e/\mu}^{\pi} / R_{e/\mu}^{\pi}$
Systematic:	$\Delta \epsilon_{\text{tail}}$	$\simeq 0.025$	$\left\{ \begin{array}{l} \simeq 0.001^{\text{exp}} \\ 2 \times 10^{-4}  _{\text{goal}}^{\text{MC}} \end{array} \right.$
	$r_f$	0.046	$1.8 \times 10^{-4}$
	$r_\epsilon$	$\simeq .99$	$< 10^{-4}$
	$r_A$	$\simeq 1$	$\simeq 10^{-4}$
	$N_{\pi_{\text{DIF}} \rightarrow e\nu} / N_{\pi \rightarrow e\nu}$	$< 2 \times 10^{-3}$	$10^{-6} - 10^{-5}$
	$N_{\pi_{\text{DIF}} \rightarrow \mu\nu} / N_{\pi \rightarrow \mu\nu}$	$2.3 \times 10^{-3}$	$10^{-6} - 10^{-5}$
	$N_{\mu_{\text{DIF}} \rightarrow e\nu\bar{\nu}} / N_{\mu \rightarrow \nu\bar{\nu}}$	$1.4 \times 10^{-4}$	$10^{-6} - 10^{-5}$
Statistical:	$\Delta N_{\pi \rightarrow e\nu} / N_{\pi \rightarrow e\nu}$		$\simeq 2.9 \times 10^{-4}$
Overall	goal		$5 \times 10^{-4}$

# Summary: studies of pion (and muon) allowed decays

- ▶ A **significant experimental effort** is under way (in PEN, PiENU and other experiments) to make use of the **unparalleled theoretical precision** in the weak interactions of the lightest particles.
- ▶ Information obtained is **complementary to collider results**, and therefore valuable for their proper interpretation.
- ▶ **Notable improvements in precision** for
  - $\pi \rightarrow e\nu$  branching ratio,
  - $\pi \rightarrow e\nu\gamma$  ( $F_V$ ,  $F_T^{\text{ul}}$ ), and
  - $\mu \rightarrow e\nu\bar{\nu}\gamma$ ,

await in the near future.

Home pages: <http://pibeta.phys.virginia.edu>  
<http://pen.phys.virginia.edu>

Review: Počanić, Frlež, van der Schaaf, J.Phys.G. **41** (2014) 114002; (arXiv:1407.2865)





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A.S. Korenchenko<sup>b</sup>, S.M. Korenchenko<sup>b</sup>, M. Korolija<sup>d</sup>, T. Kozlowski<sup>e</sup>,  
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D. Počanić<sup>a\*</sup>, B. Ritchie<sup>h</sup>, S. Ritt<sup>a,c</sup>, P. Robmann<sup>g</sup>, O.A. Rondon-Aramayo<sup>a</sup>,  
A.M. Rozhdestvensky<sup>b</sup>, T. Sakhelashvili<sup>f</sup>, P.L. Slocum<sup>a</sup>, L.C. Smith<sup>a</sup>, R.T. Smith<sup>a</sup>,  
N. Soić<sup>d</sup>, U. Straumann<sup>g</sup>, I. Supek<sup>d</sup>, P. Truöl<sup>g</sup>, Z. Tsamalaidze<sup>f</sup>, A. van der Schaaf<sup>g\*</sup>,  
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<sup>c</sup>*PSI*, Switzerland

<sup>e</sup>*Swierk*, Poland

<sup>g</sup>Univ. *Zürich*, Switzerland

<sup>b</sup>*JINR, Dubna*, Russia

<sup>d</sup>*IRB, Zagreb*, Croatia

<sup>f</sup>*IHEP, Tbilisi*, Georgia

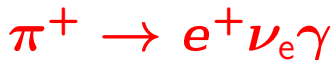
<sup>h</sup>*Arizona State Univ.*, USA

Home pages: <http://pibeta.phys.virginia.edu>  
<http://pen.phys.virginia.edu>

# Additional slides



Radiative electronic ( $\pi_{e2\gamma}$ ) decay:



$$BR_{\text{non-IB}} \sim 10^{-7}$$

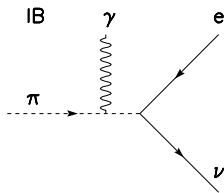
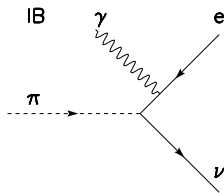
(Essential “companion” to  $\pi \rightarrow e\nu$  decay)



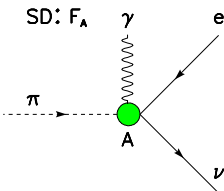
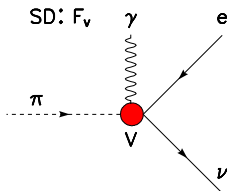
# Physics of

$\pi^+ \rightarrow e^+ \nu \gamma$  (RPD):

QED IB terms:

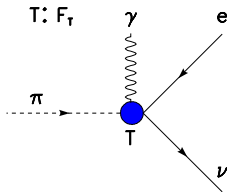


and SD  $V$ ,  $A$  terms:



SM

A tensor interaction,  
too?



Exchange of  $S=0$  leptoquarks

P Herczeg, PRD 49 (1994) 247



# The $\pi \rightarrow e\nu\gamma$ amplitude and FF's

The IB amplitude (QED **uninteresting!**):

$$M_{\text{IB}} = -i \frac{eG_F V_{ud}}{\sqrt{2}} f_\pi m_e \epsilon^{\mu*} \bar{e} \left( \frac{k_\mu}{kq} - \frac{p_\mu}{pq} + \frac{\sigma_{\mu\nu} q^\nu}{2kq} \right) \times (1 - \gamma_5) \nu.$$

The structure-dependent amplitude (**interesting!**):

$$M_{\text{SD}} = \frac{eG_F V_{ud}}{m_\pi \sqrt{2}} \epsilon^{\nu*} \bar{e} \gamma^\mu (1 - \gamma_5) \nu \times [F_V \epsilon_{\mu\nu\sigma\tau} p^\sigma q^\tau + iF_A (g_{\mu\nu} pq - p_\nu q_\mu)].$$

The SM branching ratio ( $x = 2E_\gamma/m_\pi$ ;  $y = 2E_e/m_\pi$ ),

$$\begin{aligned} \frac{d\Gamma_{\pi e 2\gamma}}{dx dy} = & \frac{\alpha}{2\pi} \Gamma_{\pi e 2} \left\{ IB(x, y) + \left( \frac{m_\pi^2}{2f_\pi m_e} \right)^2 \right. \\ & \times [ (F_V + F_A)^2 SD^+(x, y) + (F_V - F_A)^2 SD^-(x, y) ] \\ & \left. + \frac{m_\pi}{f_\pi} [ (F_V + F_A) S_{\text{int}}^+(x, y) + (F_V - F_A) S_{\text{int}}^-(x, y) ] \right\}. \end{aligned}$$



$$|F_V| \stackrel{\text{CVC}}{=} \frac{1}{\alpha} \sqrt{\frac{2\hbar}{\pi \tau_{\pi^0} m_\pi}} = 0.0255(3) .$$

---

$F_A \times 10^4$

reference

---

**106 ± 60** Bolotov et al. (1990)

**135 ± 16** Bay et al. (1986)

**60 ± 30** Piilonen et al. (1986)

**110 ± 30** Stetz et al. (1979)

**116 ± 16** world average (PDG 2004)

---

# Pre-2004 data on pion form factors

$$|F_V| \stackrel{\text{CVC}}{=} \frac{1}{\alpha} \sqrt{\frac{2\hbar}{\pi \tau_{\pi^0} m_\pi}} = 0.0255(3) .$$

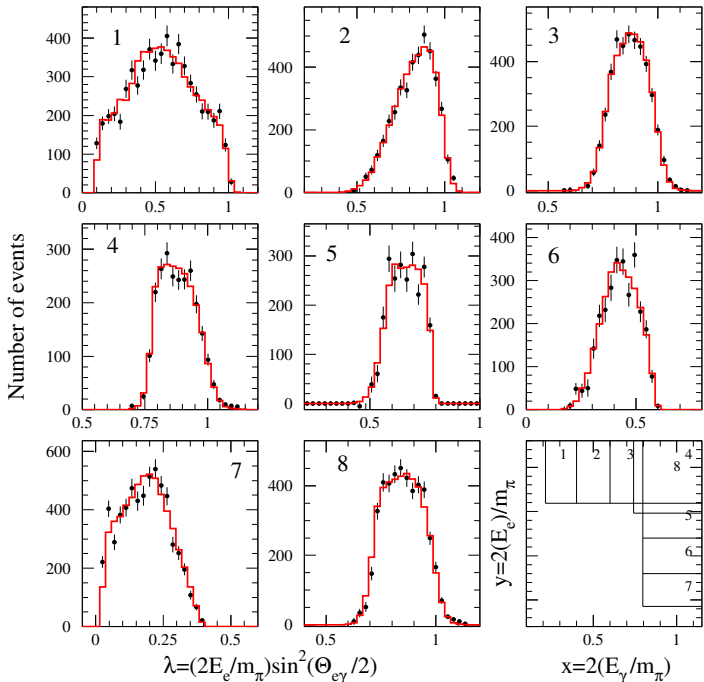
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$F_A \times 10^4$	reference	note
$106 \pm 60$	Bolotov et al. (1990)	$(F_T = -56 \pm 17)$
$135 \pm 16$	Bay et al. (1986)	
$60 \pm 30$	Piilonen et al. (1986)	
$110 \pm 30$	Stetz et al. (1979)	
$116 \pm 16$	world average (PDG 2004)	

---



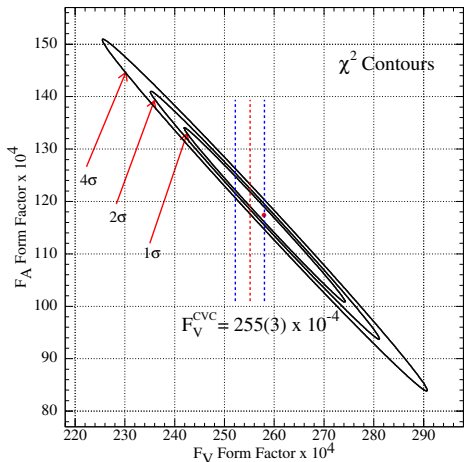
PIBETA  $\pi e 2\gamma$   
 differential  
 distributions  
 (2009 analysis)





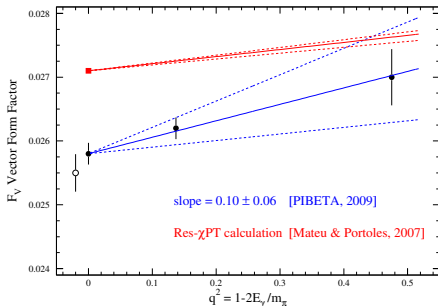
# PIBETA results for $\pi \rightarrow e\nu\gamma$

Best values of pion Form Factor Parameters:



Combined analysis of all PIBETA data sets

[Bychkov et al., PRL **103**, 051802 (2009)]



$$F_V = 0.0258 \pm 0.0017 \quad (8\times)$$

$$F_A = 0.0119 \pm 0.0001^{\text{exp}}_{(F_V^{\text{CVC}})} \quad (16\times)$$

$$a = 0.10 \pm 0.06 \quad (q^2 \text{ dep of } F_V) \quad (\infty)$$

$$-5.2 \times 10^{-4} < F_T < 4.0 \times 10^{-4} \quad 90\% \text{ C.L.}$$

$$B_{\pi_{e2\gamma}}(E_\gamma > 10 \text{ MeV}, \theta_{e\gamma} > 40^\circ) = 73.86(54) \times 10^{-8} \quad (17\times)$$

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Above results will improve with the new PEN data analysis!



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**Above results will improve with the new PEN data analysis!**

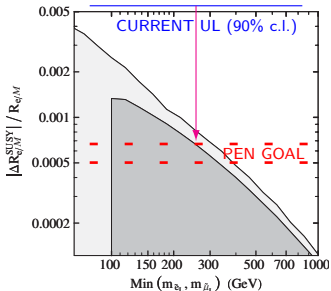
At L.O. ( $I_9 + I_{10}$ ),  $F_A$ ,  $F_V$  are related to pion polarizability and  $\pi^0$  lifetime

$$\alpha_E^{\text{LO}} = -\beta_M^{\text{LO}} = (2.783 \pm 0.023_{\text{exp}}) \times 10^{-4} \text{ fm}^3$$

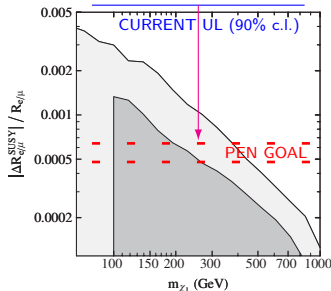
$$\tau_{\pi^0} = (8.5 \pm 1.1) \times 10^{-17} \text{ s} \quad \left\{ \begin{array}{l} \text{current PDG avg: } 8.52(12) \\ \text{PrimEx PRL '10: } 8.32(23) \end{array} \right.$$



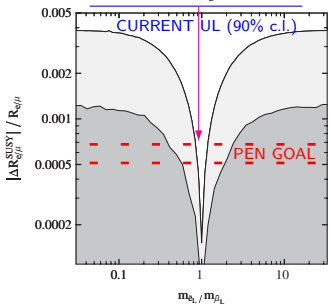
minimal  
selectron,  
smuon  
masses:



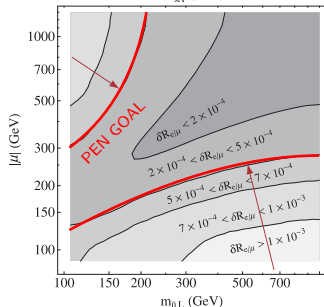
lowest  
mass  
chargino:



slepton  
mass de-  
generacy:



Higgsino  
mass  
param's.  
 $\mu$ ,  $m_{\tilde{U}_L}$ :



(R parity violating scenario constraints also discussed.)

