

# Mono-**X** searches for dark matter with the CMS detector

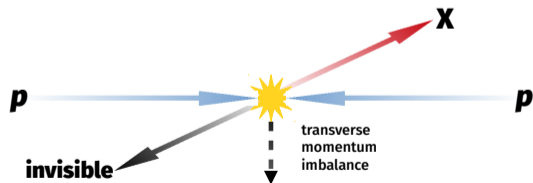
Siddharth Narayanan, on behalf of the CMS Collaboration



CIPANP, Palm Springs CA  
30/05/2018

- ▶ By definition, dark matter candidates couple weakly to a general-purpose LHC detector
- ▶ Not much point in producing DM if we can't see it!
- ▶ Introduce: the mono-**X** class of searches
  - ▶ DM produced in association with one or more SM particles (**X**)

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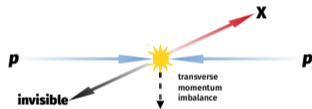


- ▶ **X** creates a transverse momentum imbalance ( $p_T^{\text{miss}}$ )
- ▶ Large  $p_T^{\text{miss}}$  + conservation of momentum  $\Rightarrow$  invisible particles!
- ▶ In certain cases, can trigger on **X**

# Compact Muon Solenoid



- ▶ CMS records proton collisions from the LHC
  - ▶ Today:  $\sqrt{s} = 13$  TeV results
- ▶  $pp$  events are messy, so replace:

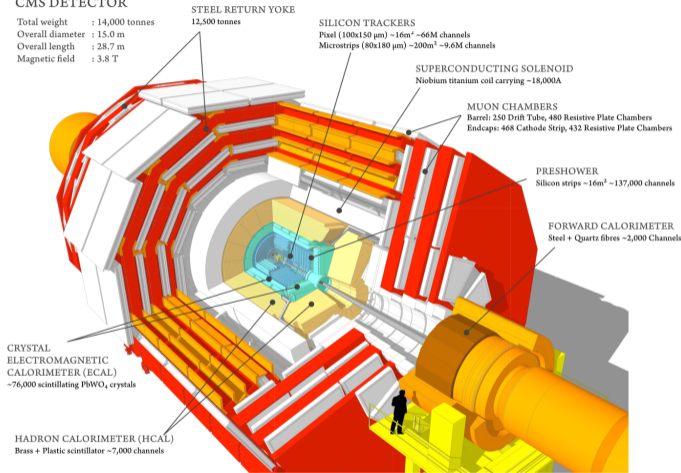


- ▶ with:

$$\vec{p}_T^{\text{miss}} = - \left( \sum_{i \in \text{particles}} \vec{p}_i \right)_T$$

## CMS DETECTOR

Total weight : 14,000 tonnes  
 Overall diameter : 15.0 m  
 Overall length : 28.7 m  
 Magnetic field : 3.8 T



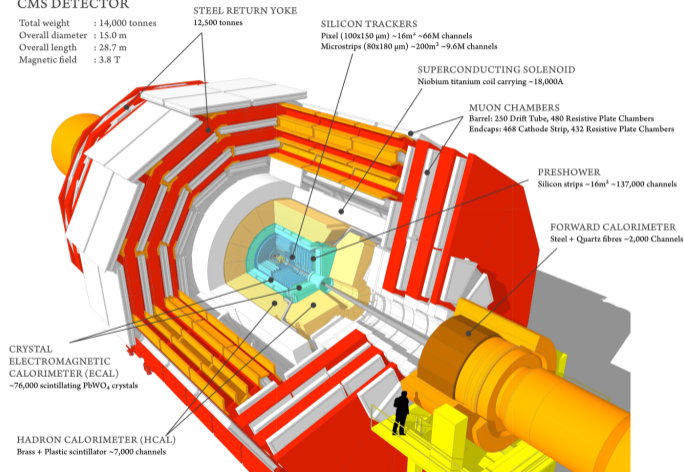
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all subdetectors help measure  $p_T^{\text{miss}}$ !

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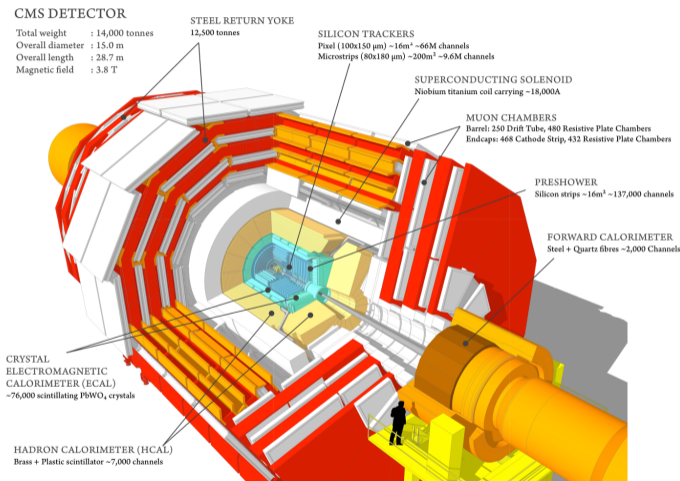


# Compact Muon Solenoid



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- ▶ Solenoidal magnet
  - ▶ 3.8 T B field
- ▶ Silicon tracker
  - ▶ Charged particles'  $\vec{p}$
  - ▶ Track vertices

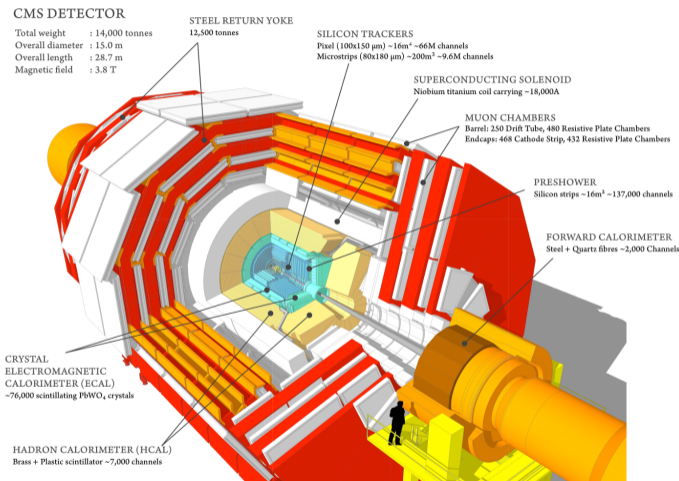


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- ▶ Calorimeters
  - ▶ EM and hadronic
  - ▶ Good energy resolution
  - ▶ Large coverage

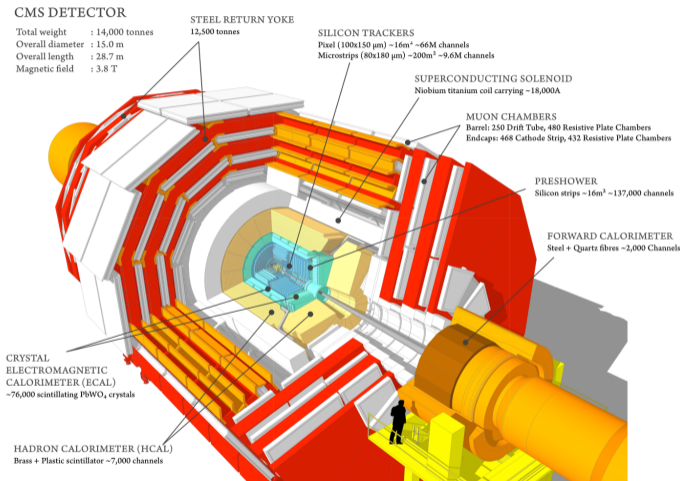


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  - ▶ Large coverage
- ▶ Muon chambers
  - ▶ ID muons
  - ▶ Help measure  $\vec{p}$





# A broad spectrum of DM models and $\mathbf{X}$



	Spin-1 mediated	Spin-0 mediated	Fermion portal DM	Non- thermal DM	ADD	2HDM	Baryonic $Z'$
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All signatures characterized by high  $p_{\text{T}}^{\text{miss}}$ , but choice of  $\mathbf{X}$  necessitates different reconstruction and background estimation strategies

<sup>1</sup> $x$  refers to one of many fermion or gauge boson choices

# A broad spectrum of DM models and $\mathbf{X}$



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$\mathbf{X}=q, g$	Red	Red	Dark Gray	Dark Gray	Dark Gray	Dark Gray	Dark Gray
$qq'$	Red	Red	Dark Gray	Dark Gray	Dark Gray	Dark Gray	Dark Gray
$V(q\bar{q}')$	Red	Red	Dark Gray	Dark Gray	Dark Gray	Dark Gray	Dark Gray
$\gamma$	Red	Red	Dark Gray	Dark Gray	Dark Gray	Dark Gray	Dark Gray
$Z(\ell^+\ell^-)$	Red	Red	Dark Gray	Dark Gray	Dark Gray	Dark Gray	Dark Gray
$t\bar{t}$	Red	Red	Dark Gray	Dark Gray	Dark Gray	Dark Gray	Dark Gray
$b/b\bar{b}$	Red	Red	Dark Gray	Dark Gray	Dark Gray	Dark Gray	Dark Gray
$t$	Red	Red	Dark Gray	Dark Gray	Dark Gray	Dark Gray	Dark Gray
$H(x\bar{x})^2$	Red	Red	Dark Gray	Dark Gray	Dark Gray	Dark Gray	Dark Gray

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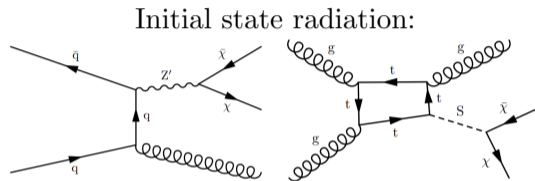
# A case study: mono-jet



- ▶ Why start here?
  - ▶ Sensitive to many models
  - ▶ In many cases, has the strongest collider limits
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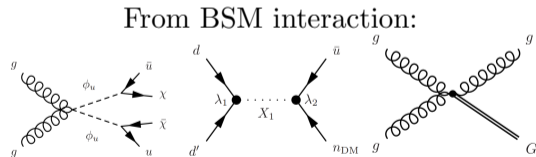
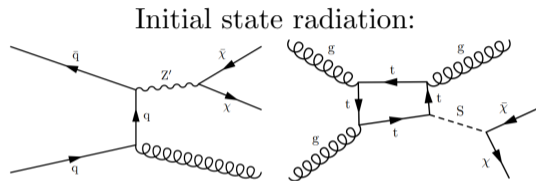
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- ▶ ISR independent of specific BSM model  
⇒ simplified model
  - ▶ Spin-1 (0) mediator and DM fermion
  - ▶ 6 free parameters



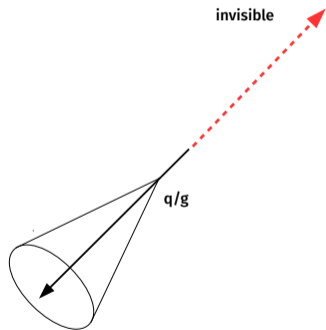
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  - ▶ 6 free parameters
- ▶ Jet(s) and DM from BSM vertex
  - ▶ Fermion-portal to DM
  - ▶ Non-thermal DM
  - ▶ ADD gravitons



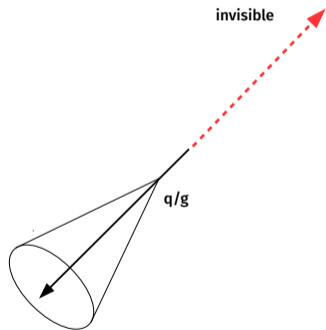
# Two experimental challenges

Reconstructed  
mono-**jet** event



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Challenge 1: Triggering

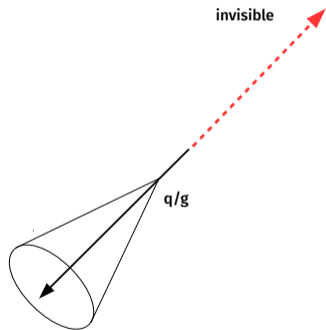
Online: particle flow  $p_T^{\text{miss}}$   
with threshold  $\sim 100$  GeV.

Offline: threshold  $\sim 250$  GeV

Challenges: maintain low  
threshold and rate with good  
 $p_T^{\text{miss}}$  resolution

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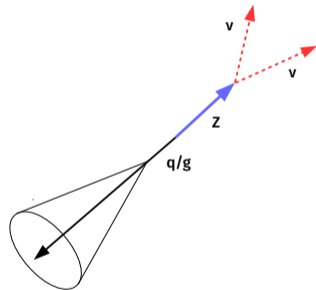
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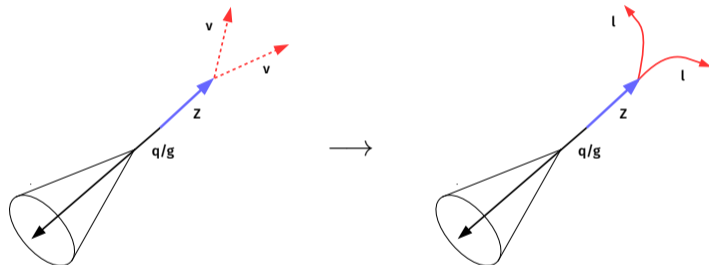
Challenge 2: SM backgrounds



Looks just like signal!



Estimate invisible backgrounds using visible processes in control data



- ▶  $Z \rightarrow \nu\nu$  spectra are analogous to  $Z \rightarrow ll$ , modulo lepton ID
- ▶  $p_T^{\text{miss}} \approx p_T^{Z \rightarrow \nu\nu}$

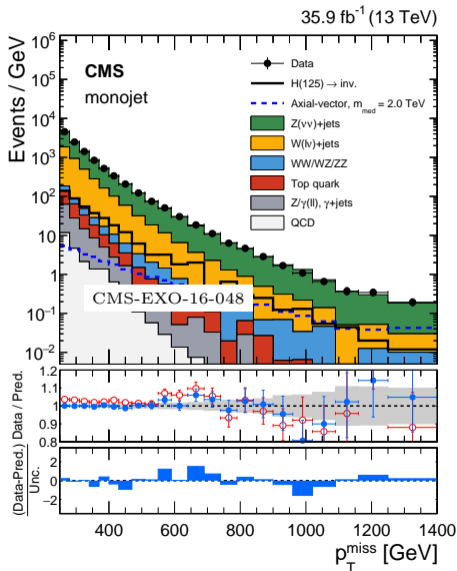
# $p_T^{\text{miss}}$ and hadronic recoil



- ▶ Variable of interest in SR is  $p_T^{\text{miss}}$
- ▶ For primary backgrounds:

$$p_T^{\text{miss}} = p_T^V \otimes \text{jet scale \& resolution}$$

- ▶  $p_T^V$  in control events does not model jet effects



# $p_T^{\text{miss}}$ and hadronic recoil



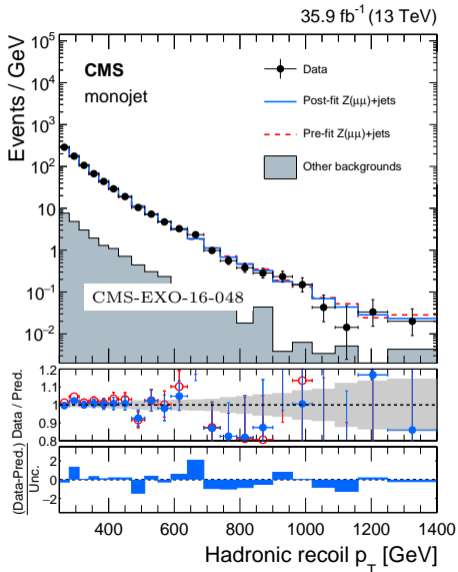
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- ▶ Solution: measure hadronic recoil  $U$

$$\vec{U} = \vec{p}_T^{\text{miss}} + \sum_{i=\ell, \gamma} \vec{p}_T^i$$

- ▶  $U$  = “missing energy without leptons or photons”



# Additional control regions



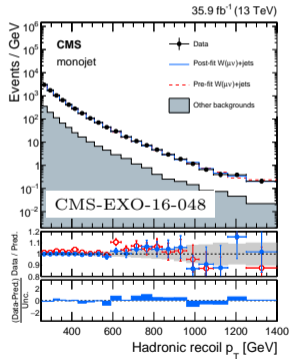
- ▶ Very few  $Z \rightarrow \mu\mu$  events above  $U > 1$  TeV
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- ▶ Additionally, non-negligible  $W \rightarrow \ell\nu$  component in SR

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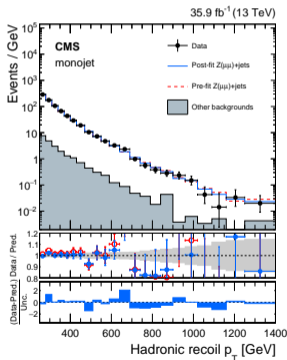


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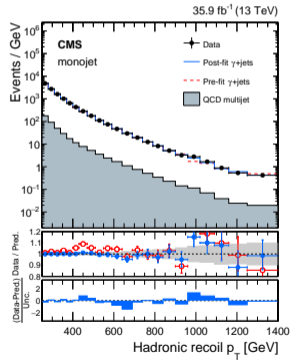
### $W$ CR to estimate $W$



### $Z$ CR (c.f. last slide)



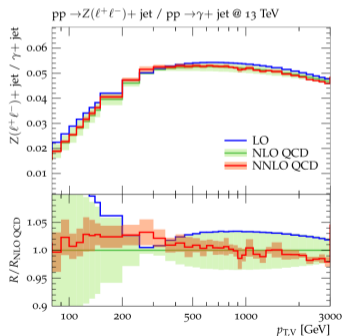
### $\gamma$ CR as proxy for $Z$



# Theoretical uncertainties



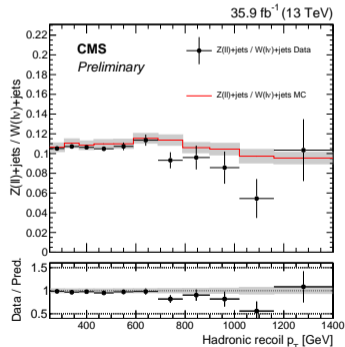
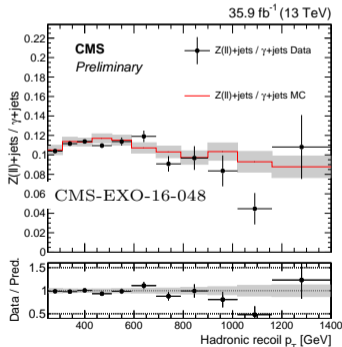
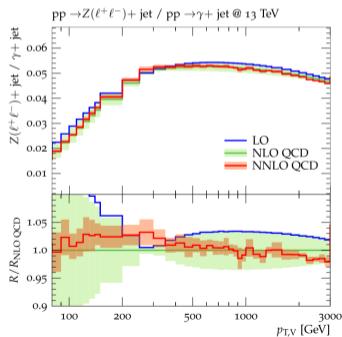
- ▶ Extrapolation from  $W$  or  $\gamma$  to  $Z$  is not perfectly understood
- ▶ Prediction is corrected to NLO QCD+EWK [EPJC (2017) 77:829]
- ▶ Higher-order uncertainties modeled as variations on differential ratios  $\gamma/Z$  and  $W/Z$



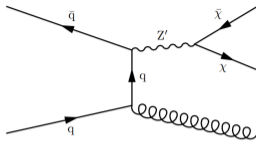
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- ▶ Ratio prediction & uncertainties are validated using control data



# Mono-jet results: spin-1 simplified model

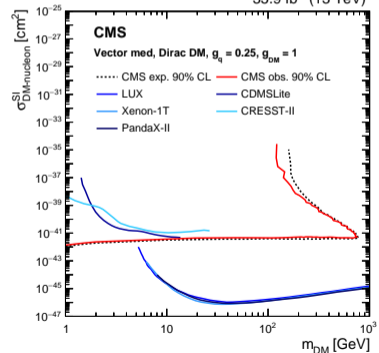
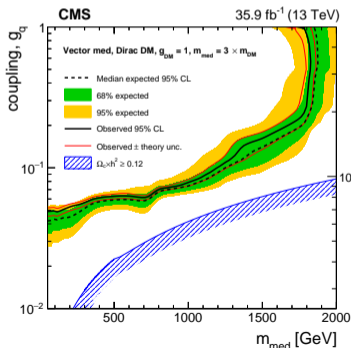
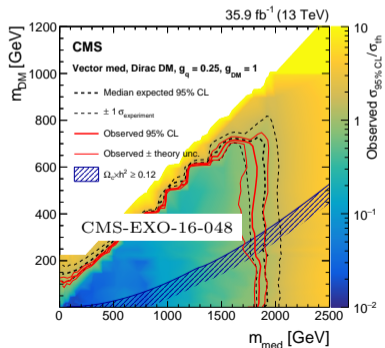


$m_{Z'}$  vs  $m_\chi$

6 free parameters:  $m_{Z'}$ ,  $m_\chi$ ,  $g_q^{V,A}$ ,  $g_\chi^{V,A}$   
 Showing vector-like results only here

$m_\chi$  vs  $g_q^V$

$m_\nu$  vs  $\sigma_{\nu-N}$





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  - ▶ In some cases, these will be irreducible backgrounds
  - ▶ Even when not irreducible, large  $\sigma$  means they dominate

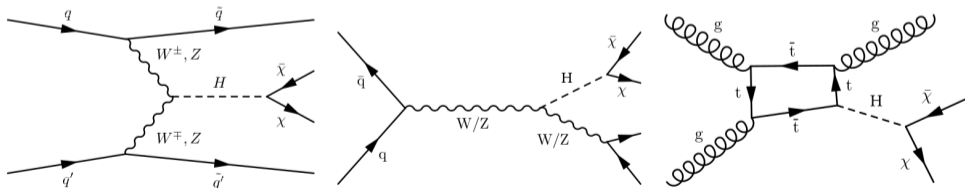
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- ▶ Theme 2: Estimate invisible  $Z+\mathbf{X}$  ( $W+\mathbf{X}$ ) using visible  $Z+\mathbf{X}$  ( $W+\mathbf{X}$ )
  - ▶  $Z \rightarrow \ell\ell$  has small branching fraction, so correlate with other processes
  - ▶ Theoretical predictions of differential ratios are key

# Invisible Higgs

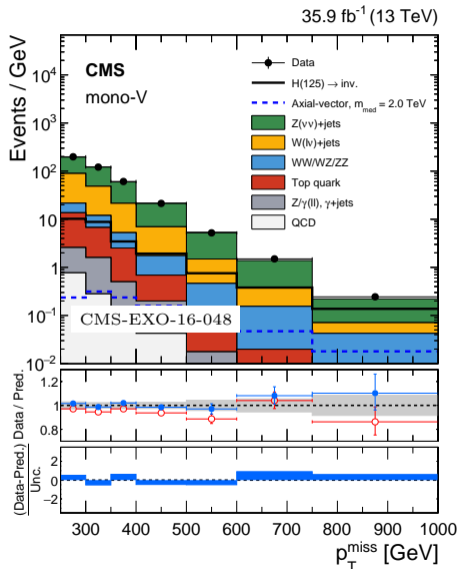
- ▶ DM fermion could be given mass through Higgs mechanism
- ▶ If  $2m_\chi < m_H$ , should observe  $H \rightarrow \chi\bar{\chi}$
- ▶ Mono-**jet** targets  $gg \rightarrow H + \text{ISR}$
- ▶ Production mode  $\Rightarrow$  mono-**X** channels
- ▶  $VH \Rightarrow$  mono-**V** ( $qq'$ ) and mono-**Z** ( $\ell\ell$ )
- ▶ VBF  $\Rightarrow$  VBF +  $p_T^{\text{miss}}$



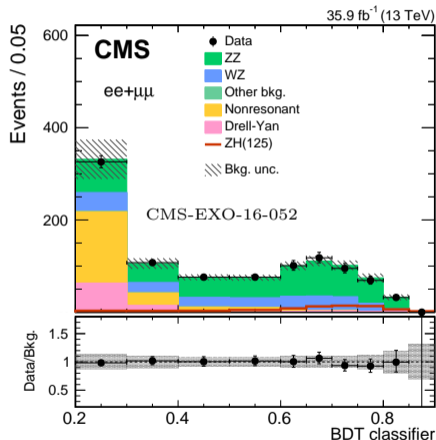
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- ▶ VBF  $\Rightarrow$  VBF +  $p_T^{\text{miss}}$
- ▶ Mono-**V** is a category of mono-**jet**
  - ▶ Look for **V** as single large-cone jet
  - ▶ Jet substructure (N-subjettiness and soft-drop mass) to reject backgrounds
  - ▶ Background estimation as described before



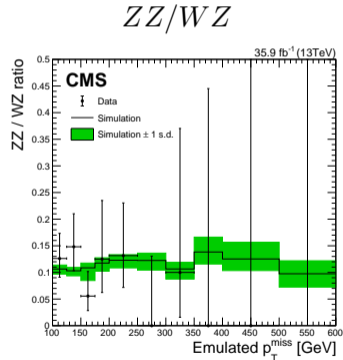
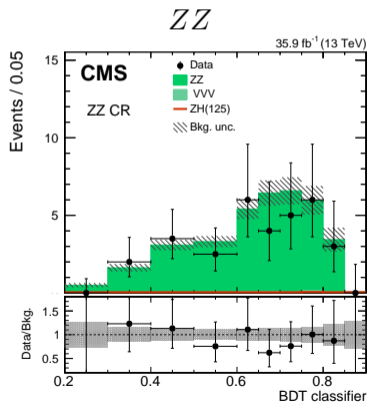
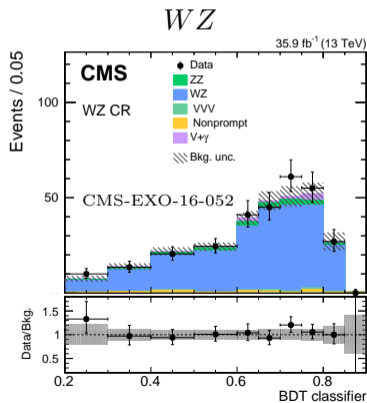
- ▶ Basic selection:
  - ▶ High  $p_T^{\text{miss}}$
  - ▶  $e^+e^-$  or  $\mu^+\mu^-$  consistent with  $Z$  mass
- ▶ BDT classifier for Higgs-specific topology
  - ▶ Also provided is a  $p_T^{\text{miss}}$ -based analysis for other signals
- ▶ BDT uses kinematics of leptons and  $p_T^{\text{miss}}$  system
  - ▶ e.g.  $|m_{\ell\ell} - m_Z|$ ,  $m_T(p_T^{\ell_1}, p_T^{\text{miss}})$ ,  $\cos\theta_{l_1}^{\text{CS}}$ , ...
- ▶ Primary backgrounds are  $Z(\nu\nu)Z(\ell\ell)$  and  $W(\ell\nu)Z(\ell\ell)$



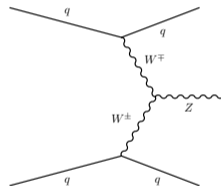
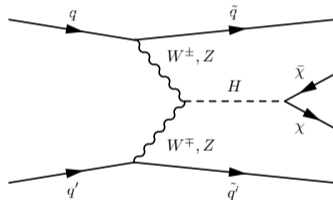
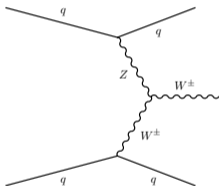
# Mono- $Z(\ell\ell)$ background estimation



- ▶ As with mono- $\text{jet}$ , estimate invisible processes with visible analogues
- ▶  $3\ell$  ( $WZ$ ) and  $4\ell$  ( $ZZ$ ) control regions to estimate  $2\ell$   $WZ$  and  $ZZ$
- ▶  $p_T^{\text{miss}}$  is an input to the BDT observables
  - ▶ Emulated in CRs by adding lepton(s) back into  $p_T^{\text{miss}}$



- ▶ Two forward, energetic jets with large  $p_T^{\text{miss}}$
- ▶ Backgrounds are similar to inclusive  $p_T^{\text{miss}}$  + jets search, but also sensitive to EW  $V$  + jets diagrams



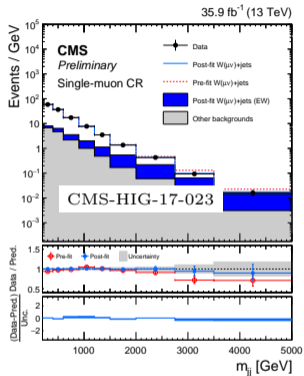
- ▶ Use dijet kinematics to focus phase space
  - ▶  $m_{jj}, \Delta\eta_{jj}$  - suppress QCD  $V$  + jets
  - ▶  $\Delta\phi_{jj}$  - sensitive to spin of boson



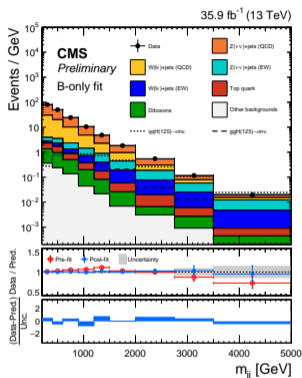
# VBF + $p_T^{\text{miss}}$ signal extraction



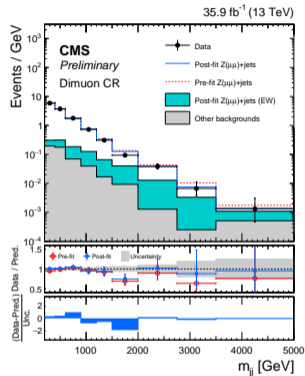
W



Signal

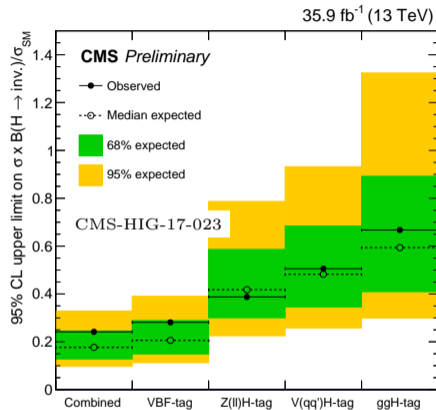


Z

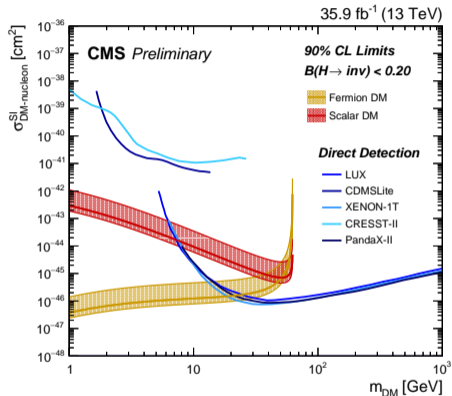


- Fit the  $m_{jj}$  spectra
- Control regions estimate both QCD and EW contributions to  $V$ +jets background

# Upper limits on $\mathcal{B}(H \rightarrow \text{inv})$



Combination of channels has observed (expected) limit at  $\mathcal{B} < 0.24$  (0.18)

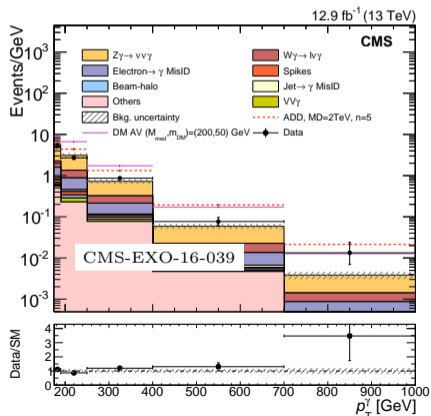
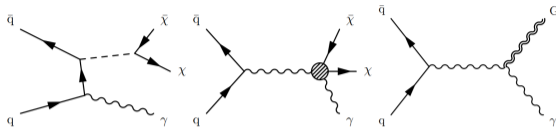


Also re-cast the result as a limit on  $\sigma_{\text{DM-N}}$ .  
Best sensitivity at low masses.

# Mono-photon

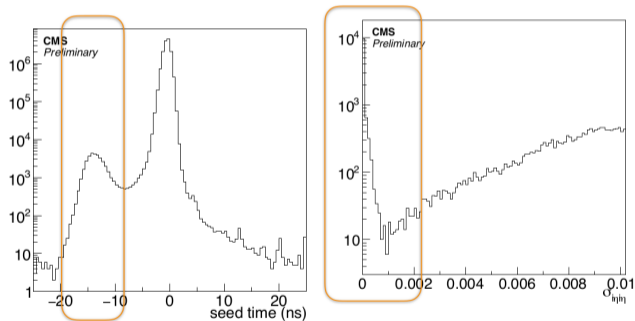


- ▶ Signal models and production mechanism similar to those already discussed
- ▶ Primary SM backgrounds are  $Z/W + \gamma$ 
  - ▶ Measured with 2/1 lepton events, respectively
- ▶ Jet/ $e$  mis-ID'd as  $\gamma$ 
  - ▶ Data-driven estimate of fake rate
- ▶ Non-collision backgrounds
  - ▶ Beam halo
  - ▶ Detector noise spikes

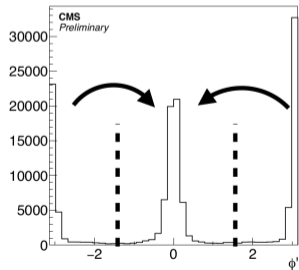
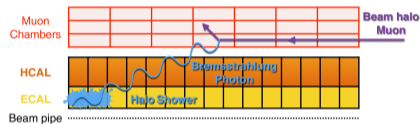


# Non-collision backgrounds

- ▶ Spikes: single APD has a fake signal, resulting in anomalous response and very narrow “shower”



- ▶ Beam halo: particles travelling parallel to beam, hit ECAL from side

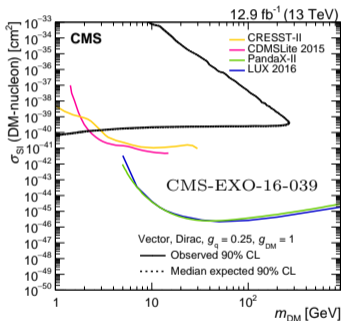


# Summary of vector-mediated DM results

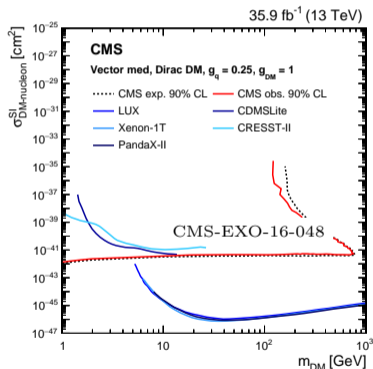


vector couplings  $\Leftrightarrow$  spin-independent DM-nucleon interaction

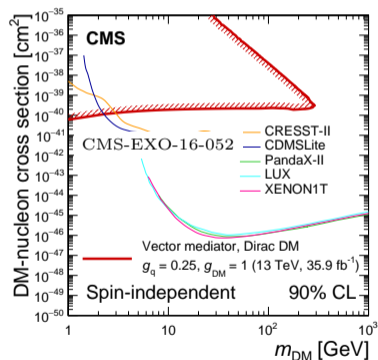
mono-photon



mono-jet



mono-Z( $l\bar{l}$ )



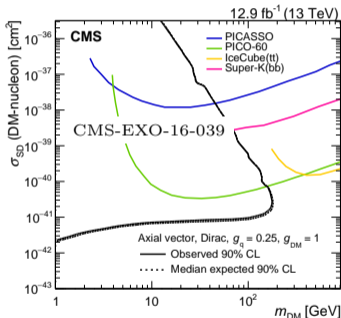
Collider searches contribute at low  $m_{DM}$

# Summary of axial vector-mediated DM results

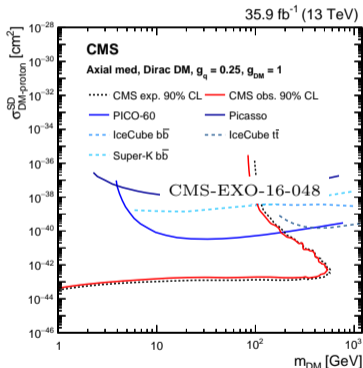


axial couplings  $\Leftrightarrow$  spin-dependent DM-nucleon interaction

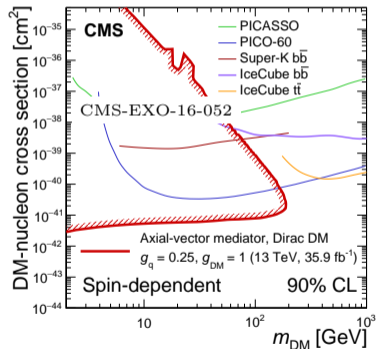
mono-photon



mono-jet

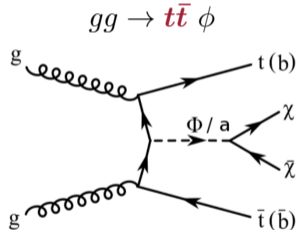
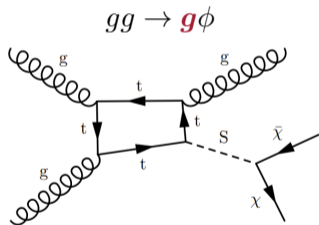


mono-Z( $l\bar{l}$ )



DD searches are much less sensitive to SD DM  $\Rightarrow$  CMS results are complementary

- ▶ Assume we have a spin-0 mediator with Yukawa-like couplings to fermions only
- ▶ Then, we should see two signatures:



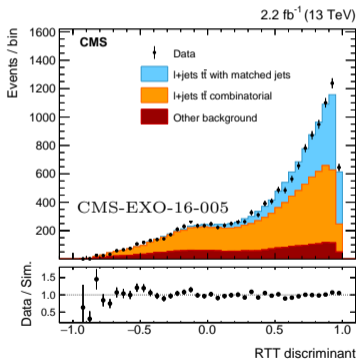
- ▶ Natural to complement mono-**jet** search with search for top quark pairs plus DM

# $t\bar{t}$ +DM signal extraction

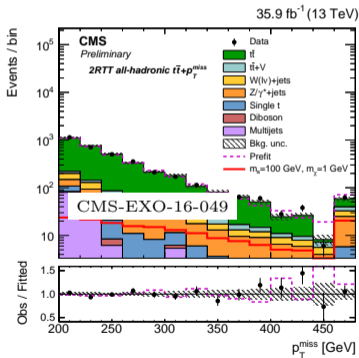


- ▶ Three channels, categorized by  $t$  decay: all-hadronic, semi-leptonic, and all-leptonic
- ▶ BDT classifier to identify trijet systems consistent with top quark decay

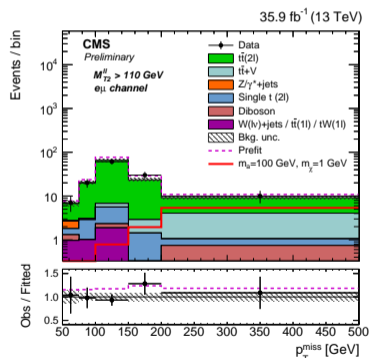
## Resolved top tagger



## All-hadronic



## All-leptonic

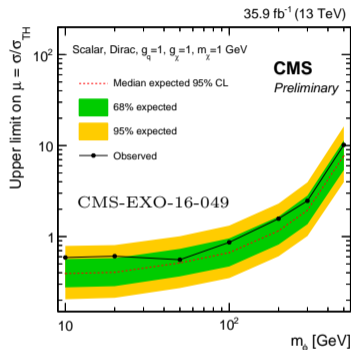




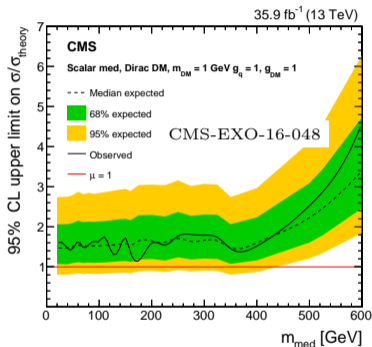
# Summary of scalar-mediated DM results



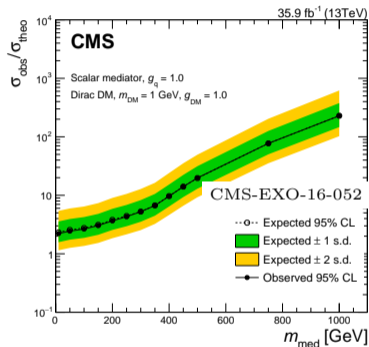
$t\bar{t}$  +DM



mono-**jet**



mono-**Z**( $l\bar{l}$ )

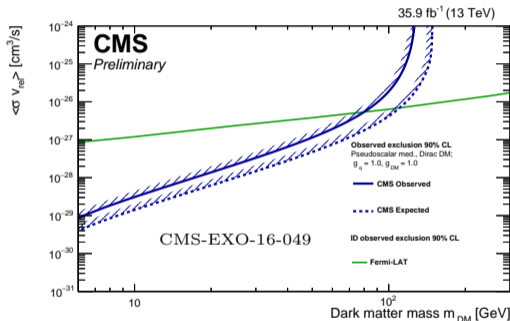


Only  $t\bar{t}$  +DM is able to exclude scalars with  $m_\phi \lesssim 100$  GeV.  
Mono-**jet** is more sensitive than  $t\bar{t}$  +DM at high  $m_\phi$ .

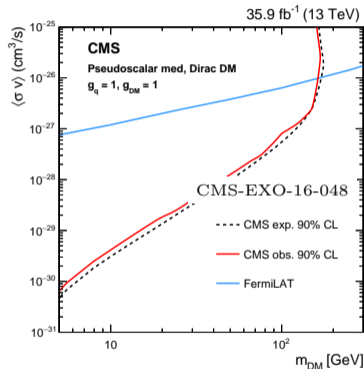
# Summary of pseudoscalar-mediated DM results



$t\bar{t}$  +DM

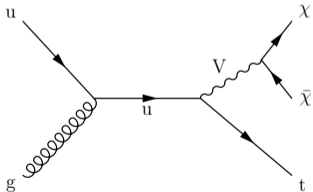


mono-jet



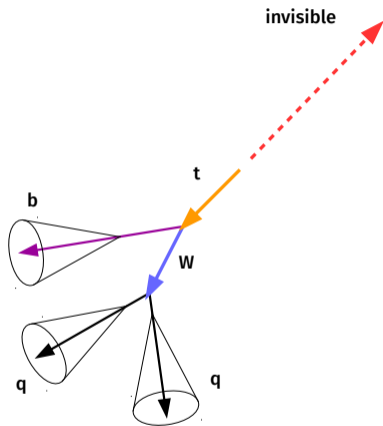
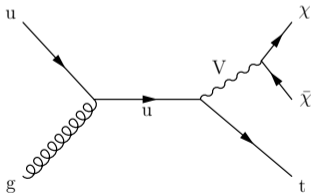
Presented as upper limits on velocity-averaged DM annihilation cross section.  
Significantly extend limits from FermiLAT.

- ▶  $t + p_T^{\text{miss}}$  heavily suppressed in SM
  - ▶  $\sigma(tZ(\rightarrow \nu\nu)q) = 0.14 \text{ pb}$
- ▶ Enhanced mono-**top** implies:
  - ▶ DM candidate
  - ▶ Flavor-violating new physics
- ▶ Benchmark model: spin-1 simplified model with FCNC



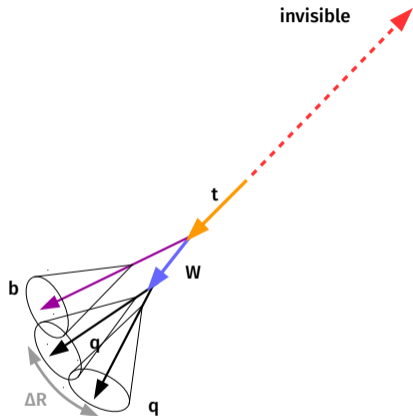
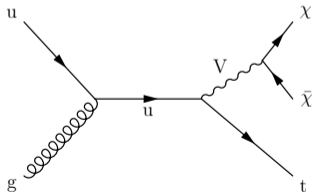
# Mono-**top**

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Hadronic decay  $\Rightarrow$  larger BR, no  $p_T^{\text{miss}}$

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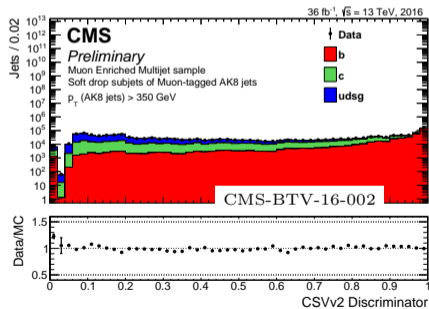
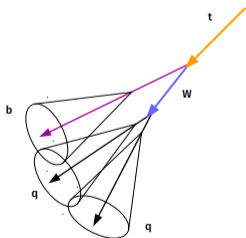
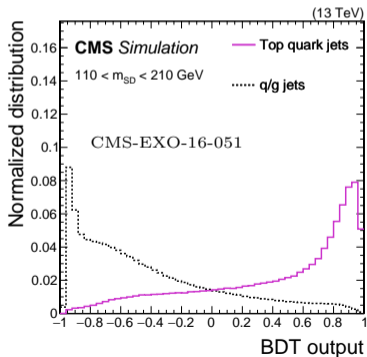


Trigger requirements  $\Rightarrow$  large  $p_T^{\text{miss}}, p_T^t$   
 Large  $p_T^t \Rightarrow$  decay products collimate

# Boosted hadronic top quark identification



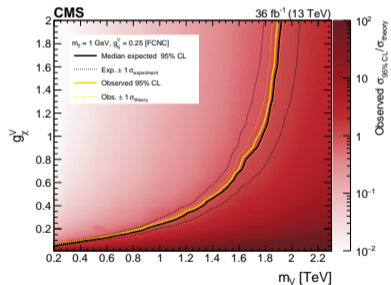
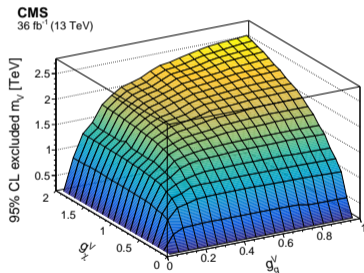
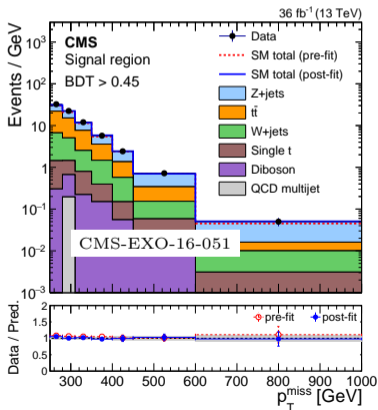
- ▶ Large cone jets have many combinatorial backgrounds
- ▶ Reject using jet substructure and flavor-tagging
- ▶ Novel experimental use of energy correlation function basis [JHEP (2016) 153]



# FCNC constraints



- ▶ Constrain large range of FCNC masses and coupling strengths
  - ▶ As low as  $g \sim 0.05$ , as high as  $m_V \sim 2.5$  TeV
- ▶  $m_V \lesssim 200$  GeV excluded from measurements of  $\Gamma_t$



# Summary and outlook



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  - ▶ Increased luminosity and cross-sections
  - ▶ New techniques to estimate backgrounds and identify **X**



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- ▶ Triggering becomes harder as instantaneous luminosity and pile-up increase
  - ▶ Mono-**X** signature has relatively few trigger handles
  - ▶  $p_T^{\text{miss}}$  depends on online jet resolution

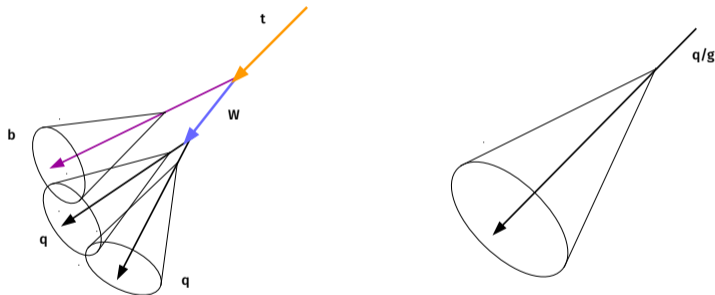
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    - ▶ Among limiting factors for **VBF**+ $p_T^{\text{miss}}$ , mono-**top**, mono-**Higgs**
  - ▶  $VV$  ratios  $\Rightarrow$  mono-**Z**( $\ell\ell$ ) and mono- $\gamma$
  - ▶  $t\bar{t} V$  prediction  $\Rightarrow$  dileptonic  $t\bar{t}$  +DM

# BACKUP

- ▶ Top quark  $\rightarrow 3q \Rightarrow$  top jet has 3 “prongs”

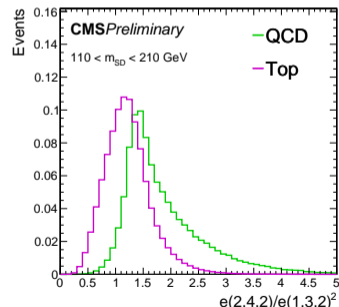
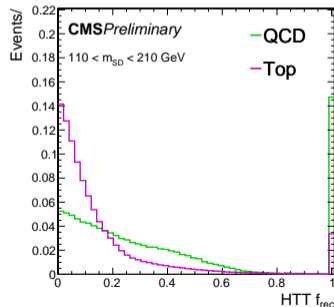
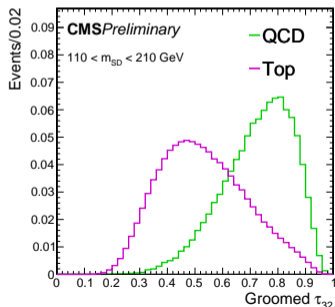


- ▶ **Substructure** observables are sensitive to such features

# Useful substructure observables



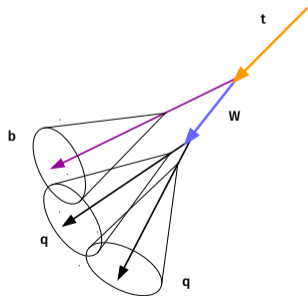
- ▶  $N$ -subjettiness [Thaler *et al*, arXiv:1011.2268]
  - ▶  $\tau_N$ : compatibility of jet with  $N$ -axis hypothesis
- ▶ HEPTopTagger [Anders *et al*, arXiv:1312.1504]
  - ▶ Reconstruct  $W$  and  $t$  decay products inside jet
- ▶ Energy correlation functions [Moult *et al*, arXiv:1609.07473]
  - ▶  $e(\alpha, N, a)$  sensitive to  $N$ -point correlations in the jet





ECFs are  $\mathbf{N}$ -point distance-weighted correlation functions among particles of the jet

$$e(a, \mathbf{N}, \alpha) \sim \sum_{\mathbf{N} \text{ particles} \in J} \left[ \prod_{p \in \text{particles}} \frac{E_p}{E_J} \right] \times \min \left\{ \prod_{p, q \in \text{particles}}^a \theta(p, q) \right\}^\alpha$$



- ▶ Top jet:  $\mathbf{N} = 3$  correlations are strong,  $\mathbf{N} = 4$  are weak
  - ▶ Can't pick 4 energetic particles that are **far apart**
  - ▶  $e(\mathbf{N} = 4)/e(\mathbf{N} = 3) \rightarrow 0$
- ▶  $q/g$  jets:  $\mathbf{N} = 3$  and  $\mathbf{N} = 4$  are both weak
  - ▶  $e(\mathbf{N} = 4)/e(\mathbf{N} = 3) > 0$

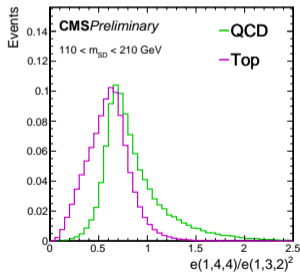
# Non-trivial ECF ratios



- ▶ Interesting ratios do not depend on jet's momentum:

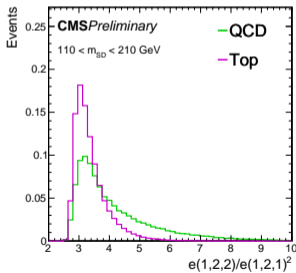
$$\frac{e(a, \mathbf{N}, \alpha)}{e(b, \mathbf{M}, \beta)^x}, \text{ where } M \leq N \text{ and } x = \frac{a\alpha}{b\beta}$$

- ▶ Turns out many correlation function ratios can separate signal and background



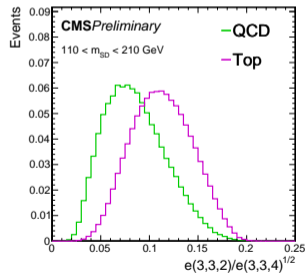
$$e(\mathbf{N} = 4)/e(\mathbf{N} = 3)$$

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$$e(\mathbf{N} = 2)/e(\mathbf{N} = 2)$$

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$$e(\mathbf{N} = 3)/e(\mathbf{N} = 3)$$

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- ▶ Extension of original ECFs to allow for different angular orders:

$$e(o, N, \beta) \equiv {}_o e_N^\beta = \sum_{i_1 < i_2 < \dots < i_N \in J} \left[ \prod_{1 \leq k \leq j} z_{i_k} \right] \times \min \left\{ \prod_{k, l \in \text{pairs}\{i_1, \dots, i_N\}} \Delta R_{kl}^\beta \right\}$$

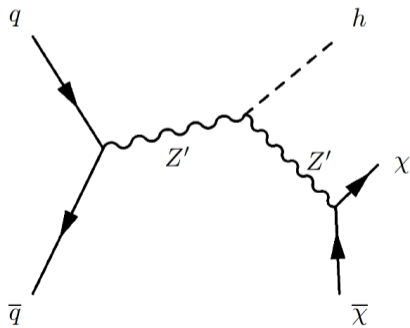
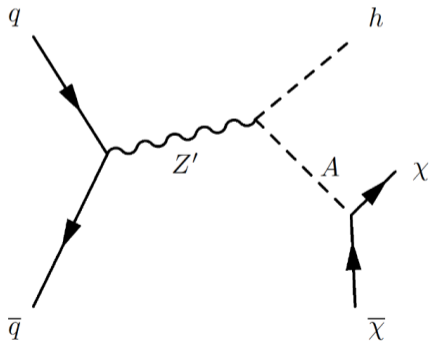
- ▶ e.g.

$$2e_3^1 = \sum_{a < b < c \in J} z_a z_b z_c \times \min \{ \Delta R_{ab} \Delta R_{ac}, \Delta R_{ab} \Delta R_{bc}, \Delta R_{bc} \Delta R_{ac} \}$$

- ▶ Summary of parameters:

- ▶  $N$  = order of the correlation function. An  $N$ -pronged jet should have  $e_N \gg e_M$ , for  $N < M$
- ▶  $o$  = order of the angular factor.
- ▶  $\beta$  = angular power

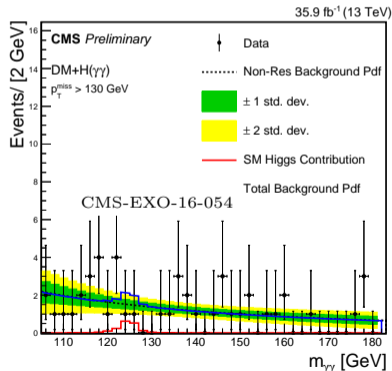
- ▶ Signature arises from a more specific set of models
  - ▶ Cannot rely on simplified models for interpretation
- ▶ Still, can focus on “minimal” extensions to SM:
  - ▶ Two-Higgs doublet models
  - ▶ Addition of baryonic  $Z'$  to SM



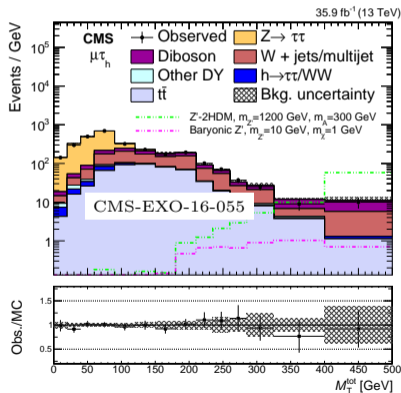
# Mono-Higgs( $\gamma\gamma/\tau\tau$ )



- ▶  $m_{\gamma\gamma}$  fit using smooth power law
- ▶ SM  $H$  included as background
- ▶ Events are categorized by  $p_T^{\text{miss}}$



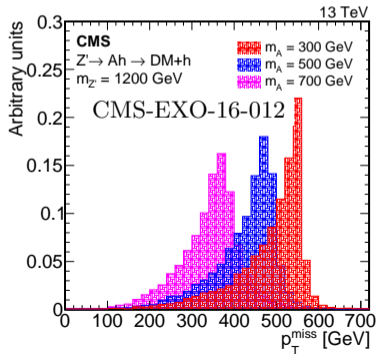
- ▶  $M_T^{\text{tot}}$  used as proxy for  $m_H$
- ▶ Events are categorized by  $\tau$  decay mode



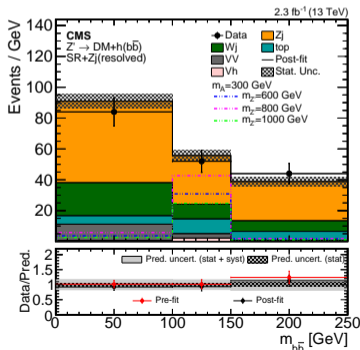
# Mono-Higgs( $bb$ )



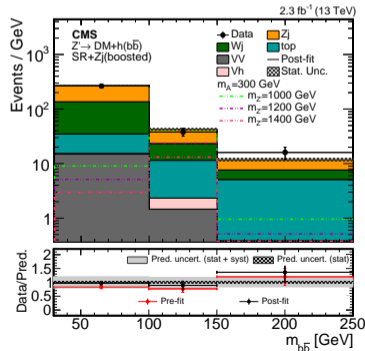
- ▶ Different reconstruction used based on  $m_{Z'}$  hypothesis
- ▶ Low  $m_{Z'} \Rightarrow$  low  $p_T^H$ 
  - ▶ Resolve the  $H$  decay as dijet system
- ▶ High  $m_{Z'} \Rightarrow$  high  $p_T^H$ 
  - ▶ Reconstruct  $H$  as a single large jet



S. Narayanan (MIT)



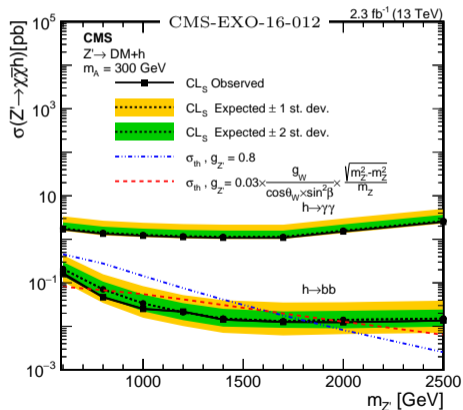
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$\gamma\gamma + bb$  (2.3/fb)



$\gamma\gamma + \tau\tau$  (36/fb)

