



# Combined Measurement of the *CP* Violating Angle $\beta$ by the BaBar and Belle Experiments

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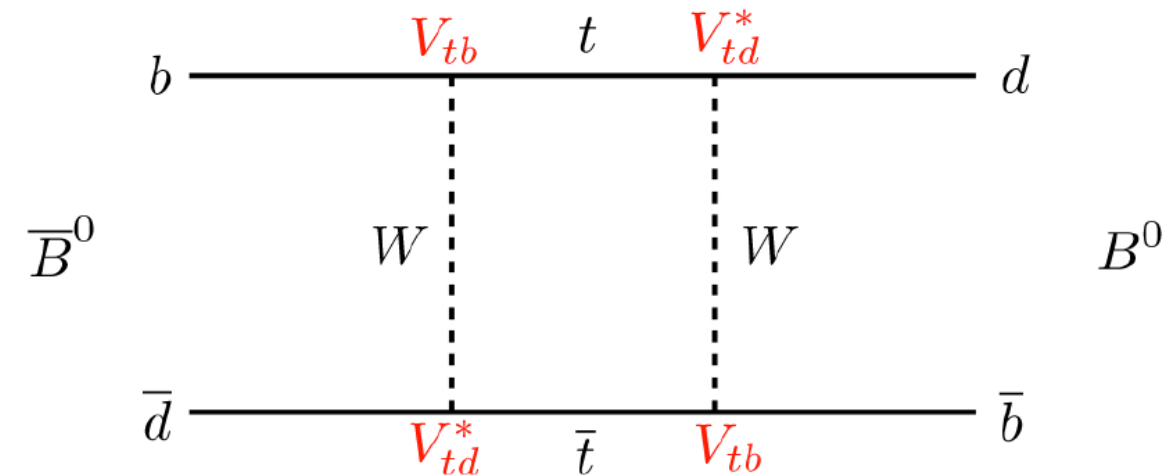


# CP Violation in the Standard Model

- Within the Standard Model (SM), *CP* violation is accounted for by a single weak phase in the Cabibbo-Kobayashi-Maskawa (CKM) quark mixing matrix:

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

The CKM Matrix



Neutral *B* Meson Mixing Diagram

- CKM matrix describes weak coupling constants between quarks
- Under the Wolfenstein parameterization, we approximate the CKM matrix as:

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

where  $\lambda \approx 0.22$  and  $A \approx 0.83$

- We have *CP* violation if  $\eta \neq 0$



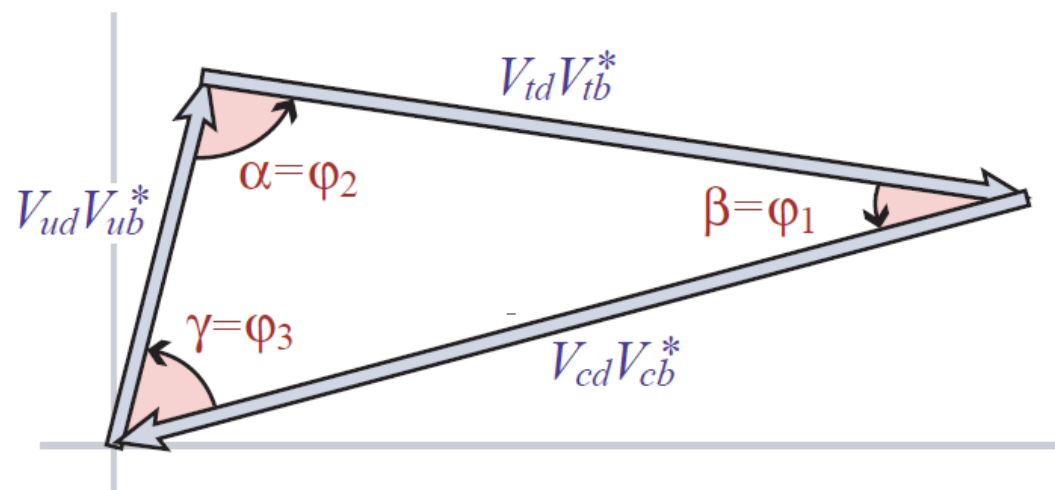
# CP Violation in the Standard Model

- Unitarity of the CKM matrix ( $V^\dagger V = I$ ) leads to 6 independent constraints on sums of cross-terms ( $V_{q_1 q_2} V_{q_3 q_4}^*$ )
- These constraints can be represented as triangles in the complex plane
- All but two are severely elongated:

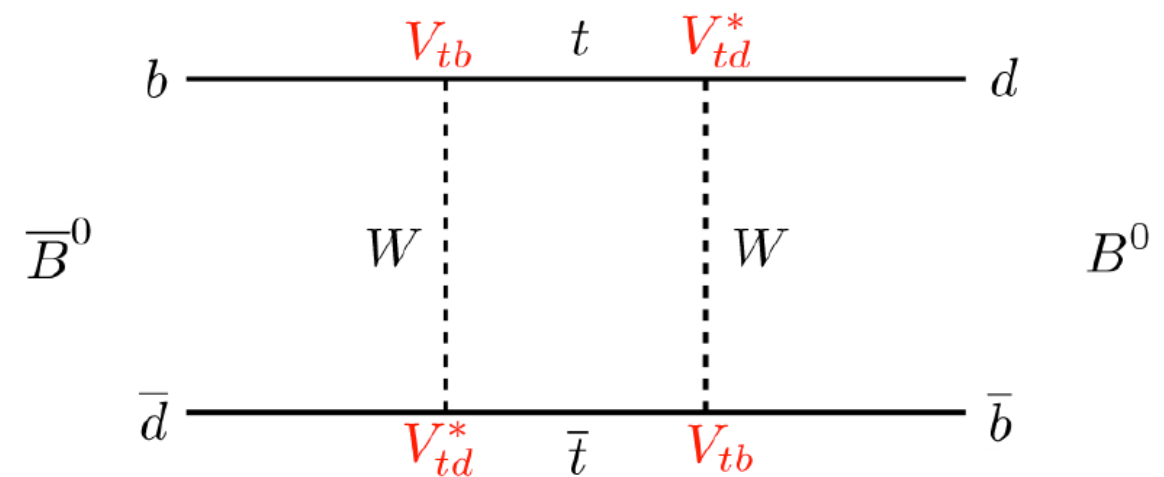
$$V_{td} V_{ud}^* + V_{ts} V_{us}^* + V_{tb} V_{ub}^* = 0$$

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

- The second of these relates to quark transitions involved in  $B_d$  mixing



The Unitarity Triangle

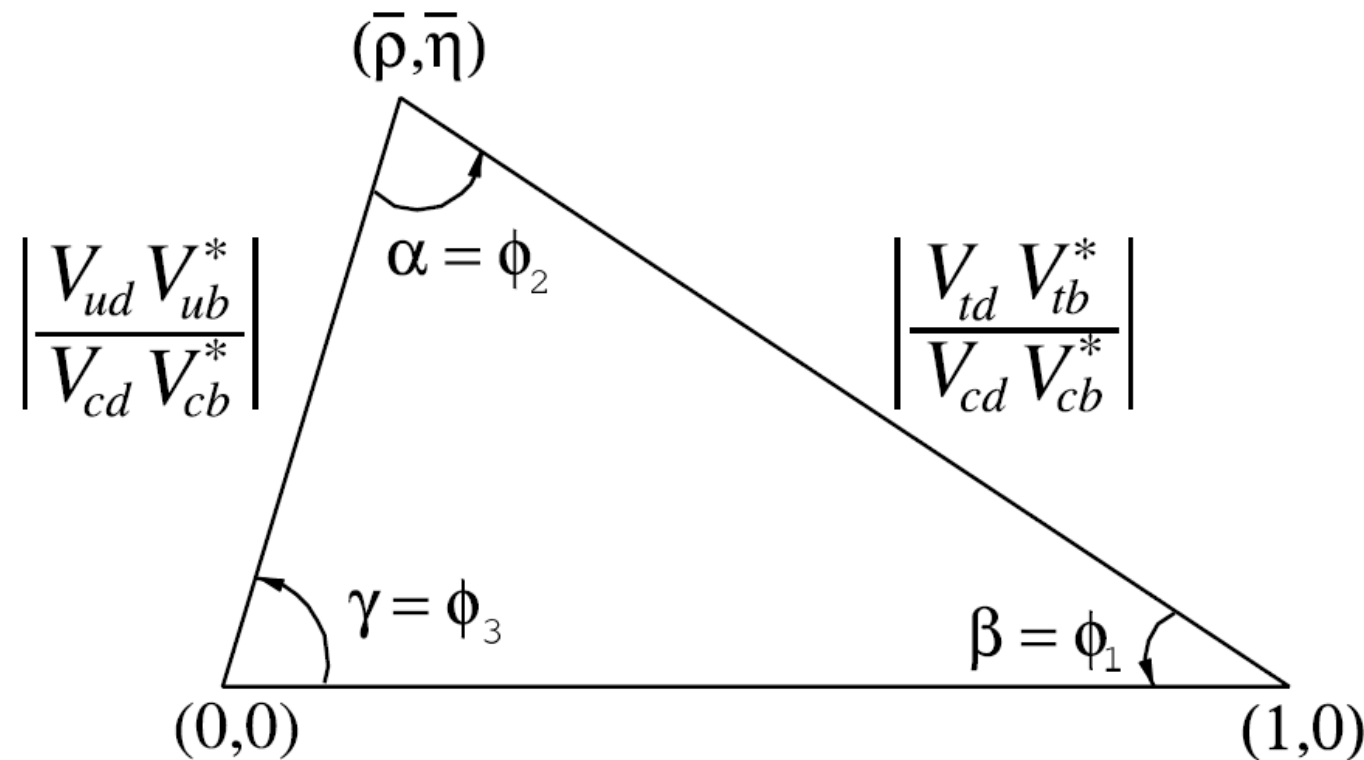


Neutral  $B$  Meson Mixing Diagram



# The Unitarity triangle

- Dividing each side of the triangle by the best-known one ( $V_{cd}V_{cb}^*$ ), we get:



$$\beta = \phi_1 = \arg \left( -\frac{V_{cd} V_{cb}^*}{V_{td} V_{tb}^*} \right)$$

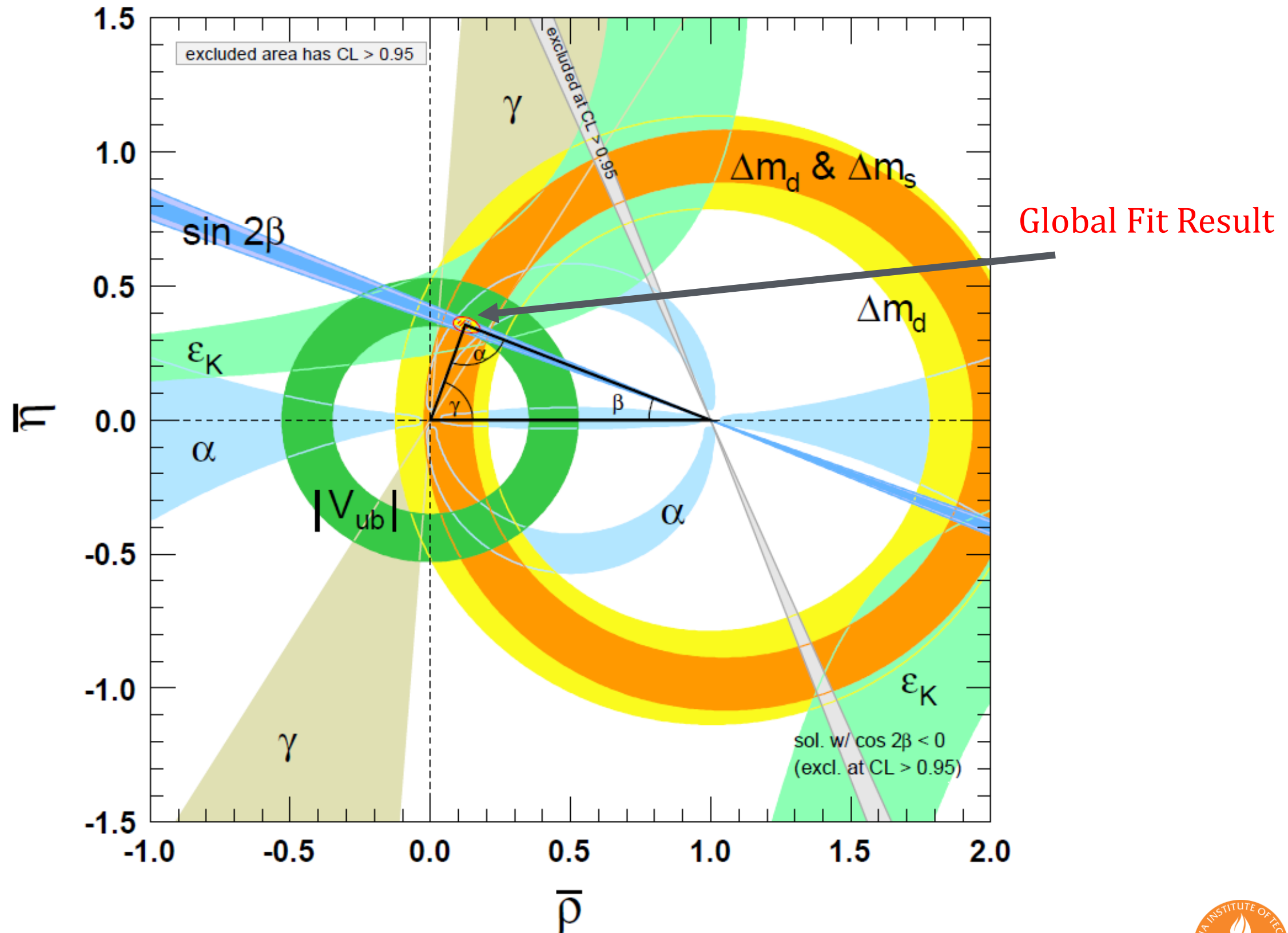
$$\alpha = \phi_2 = \arg \left( -\frac{V_{td} V_{tb}^*}{V_{ud} V_{ub}^*} \right)$$

$$\gamma = \phi_3 = \arg \left( -\frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} \right)$$

- By measuring 3 angles and 2 sides, one can overconstrain the apex of the unitarity triangle



# Unitarity Triangle Constraints



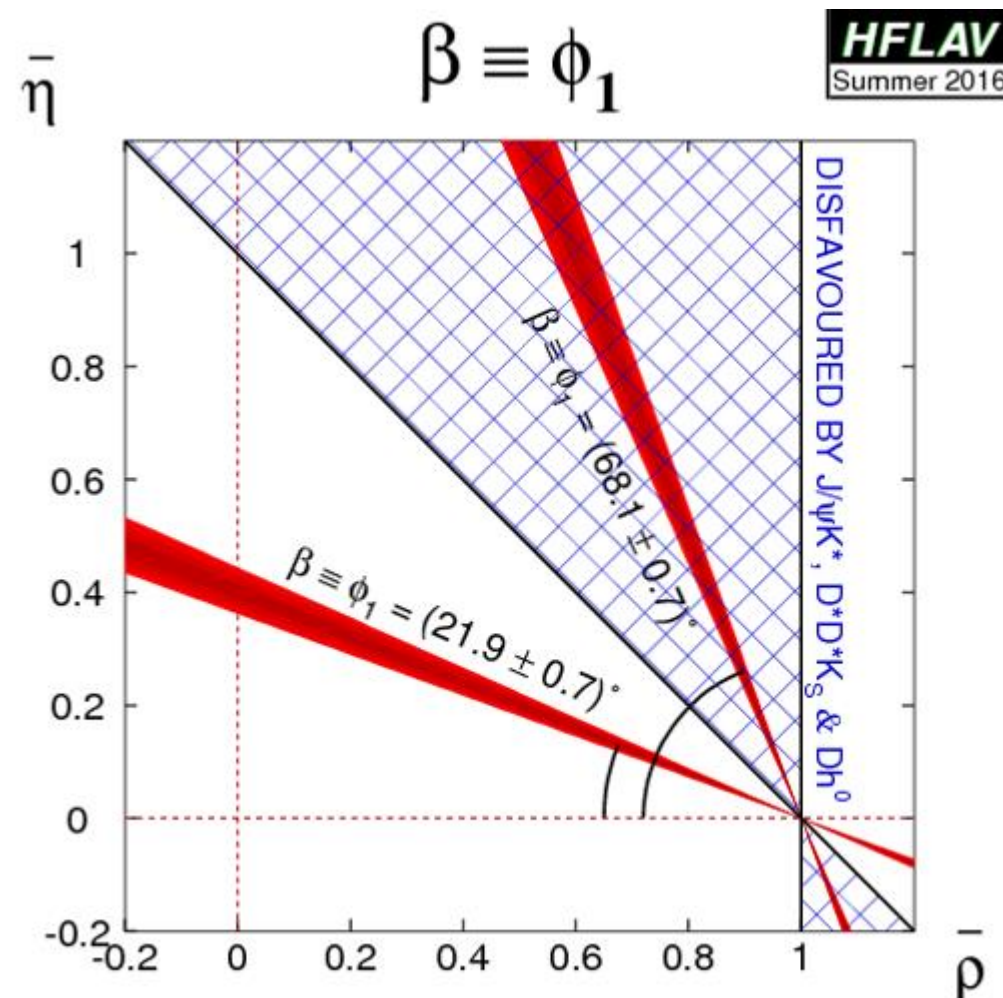
Constraints on the Unitarity Triangle





# Measuring $\beta$

- Traditionally, time-dependent  $CP$  violation measurements in the “golden mode”  $B^0 \rightarrow J/\psi K_S^0$  and other decays mediated by  $b \rightarrow \bar{c}c\bar{s}$  transitions have been used to extract  $\sin 2\beta$  and thus  $\beta$
- However, measurements of  $\sin 2\beta$  suffer from a trigonometric 2-fold ambiguity between  $2\beta$  and  $\pi - 2\beta$ :



- This ambiguity can be resolved by measuring  $\cos 2\beta$
- So far, there has been no conclusive determination of the sign of  $\cos 2\beta$  (Previous measurements had large uncertainties)

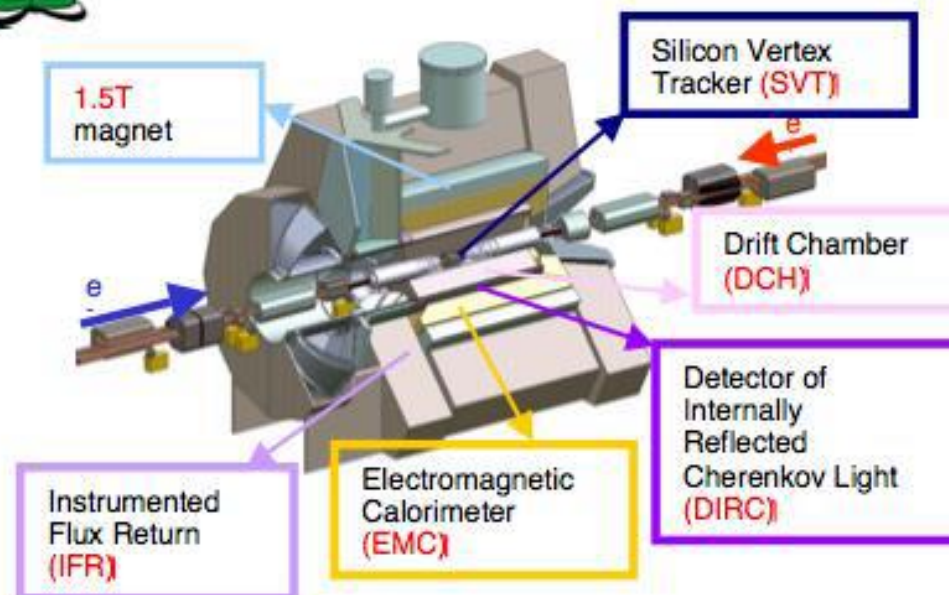


# The *B* Factories

- This analysis was performed using data collected by both the BaBar and Belle experiments



BaBar experiment,  
PEP-II, SLAC



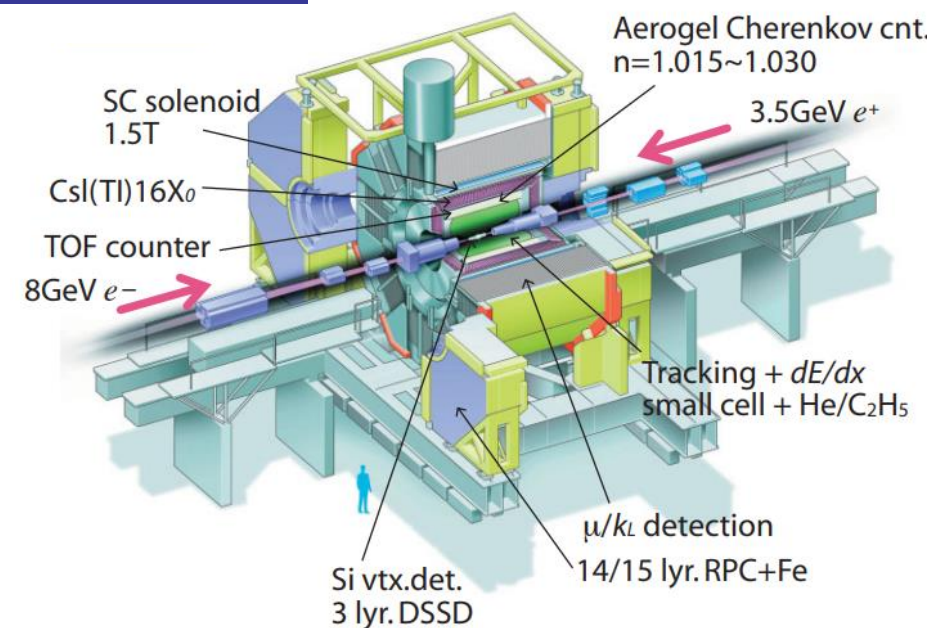
3.1 GeV  $e^+$  & 9 GeV  $e^-$  beams

$$L = 1.2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

$$\int L dt = 530 \text{ fb}^{-1} @ Y(4S) + \text{off} (\sim 10\%)$$



Belle experiment,  
KEKB, KEK, Japan



3.5 GeV  $e^+$  & 8 GeV  $e^-$  beams

$$L = 2.11 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1} \text{ (world record)}$$

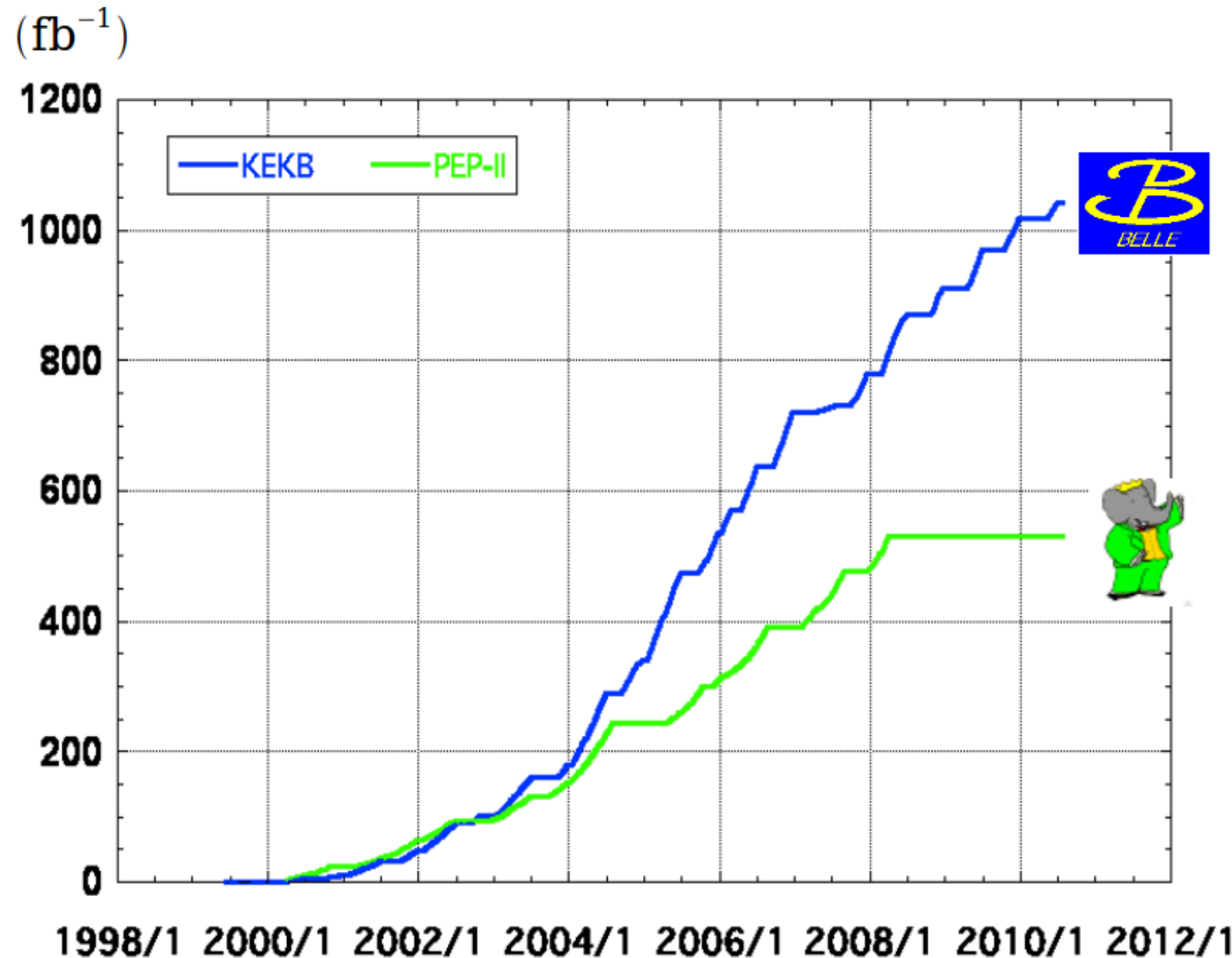
$$\int L dt \sim 850 \text{ fb}^{-1} @ Y(4S) + \text{off} (\sim 10\%)$$

- Designed as “*B* factories” operating primarily at the  $\Upsilon(4S)$  resonance ( $\sqrt{s} \approx 10.58 \text{ GeV}/c^2$ )
- 1.24 billion  $B\bar{B}$  pairs recorded



# The $B$ Factories - Luminosity

- Integrated Luminosity of the  $B$  Factories:



**> 1 ab<sup>-1</sup>**

**On resonance:**

$\Upsilon(5S)$ : 121 fb<sup>-1</sup>

$\Upsilon(4S)$ : 711 fb<sup>-1</sup>

$\Upsilon(3S)$ : 3 fb<sup>-1</sup>

$\Upsilon(2S)$ : 25 fb<sup>-1</sup>

$\Upsilon(1S)$ : 6 fb<sup>-1</sup>

**Off reson./scan:**

~ 100 fb<sup>-1</sup>

**~ 550 fb<sup>-1</sup>**

**On resonance:**

$\Upsilon(4S)$ : 433 fb<sup>-1</sup>

$\Upsilon(3S)$ : 30 fb<sup>-1</sup>

$\Upsilon(2S)$ : 14 fb<sup>-1</sup>

**Off resonance:**

~ 54 fb<sup>-1</sup>

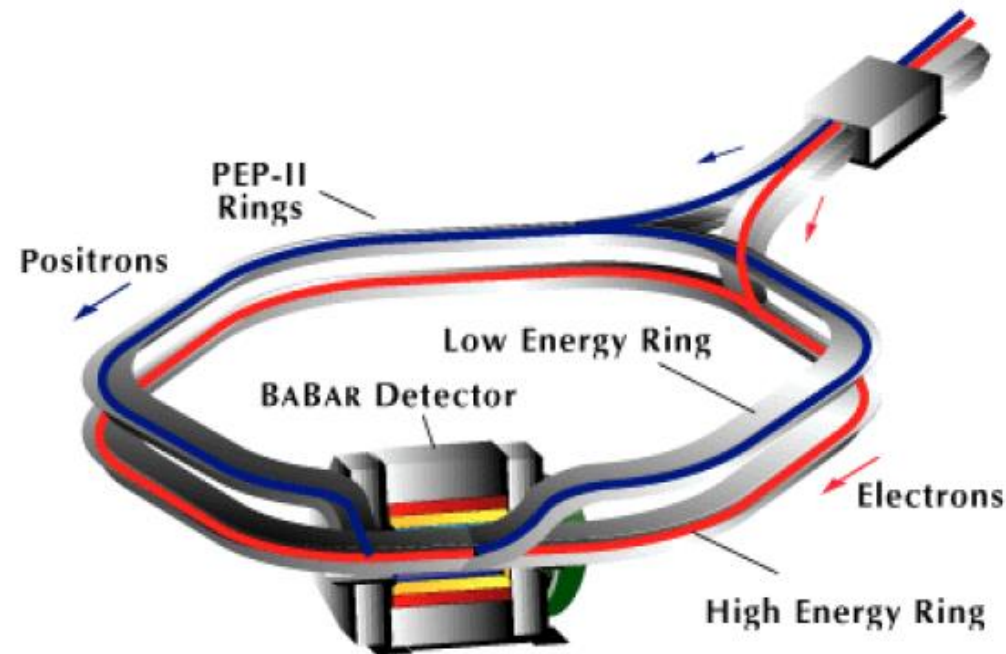
- Also operated at other  $\Upsilon$  resonances as well as “Off resonance” ( $\approx 40$  MeV/c<sup>2</sup> below the  $\Upsilon(4S)$ )





# The *B* Factories - Boost

- A key feature of the *B* factories is that they used asymmetric energy electron and positron beams
- 9 GeV electrons and 3.1 GeV positrons at BaBar
- 8 GeV electrons and 3.5 GeV positrons at Belle



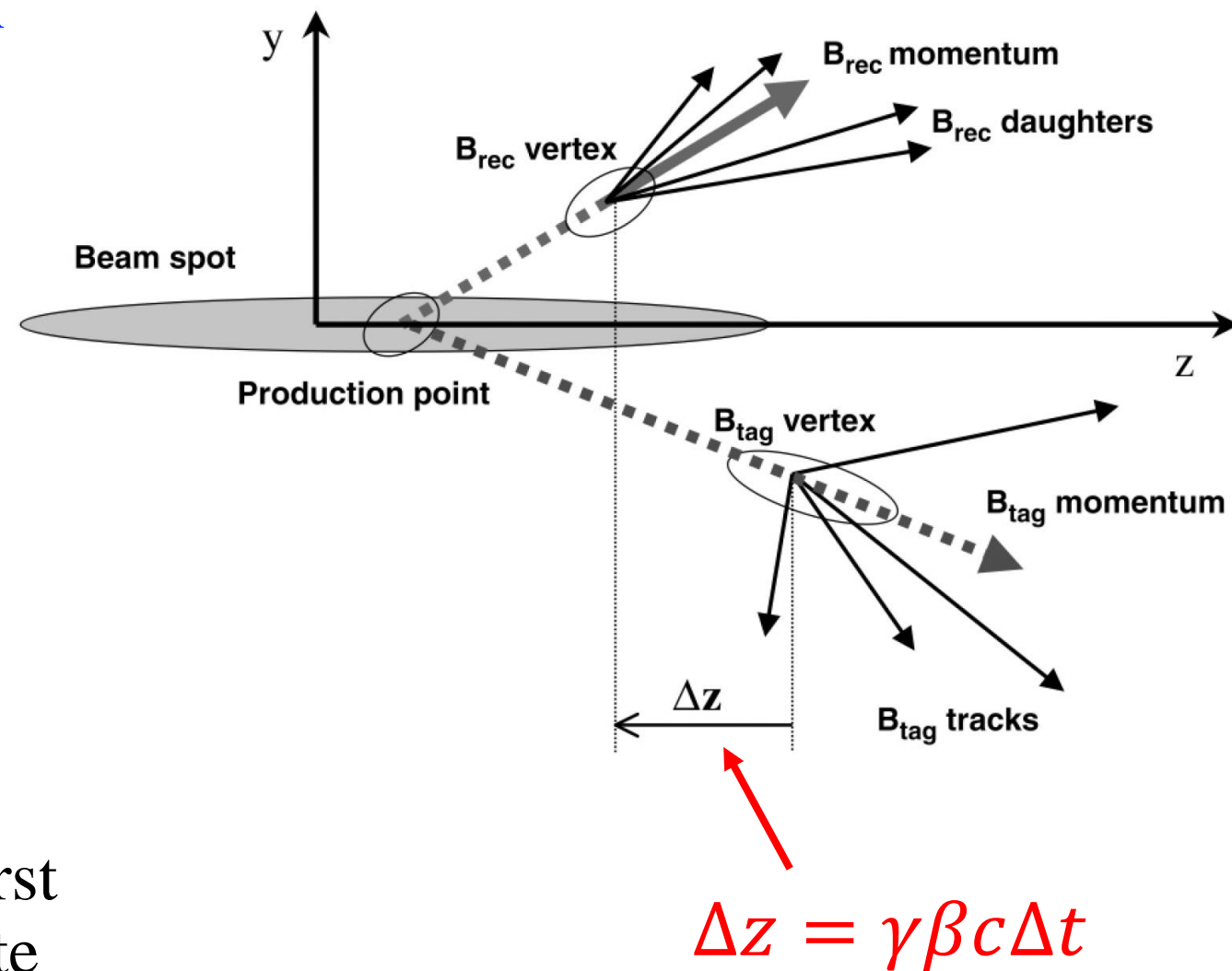
The PEP – II Storage Rings at SLAC

- The asymmetric energy beams caused the  $e^+e^-$  CM to be boosted in the lab frame
- $\beta\gamma \approx 0.56$  at BaBar and  $\beta\gamma \approx 0.43$  at Belle



# The $B$ Factories – Measuring $\Delta t$

- Due to the boost, the distance between the  $B$  decay vertices can be used to calculate the time between  $B$  and  $\bar{B}$  decays
- Fully reconstruct the decay of one  $B$  meson ( $B_{\text{rec}}^0$ ) and use the decay of the other  $B$  meson ( $B_{\text{tag}}^0$ ) to determine its flavor
- The  $B$  mesons are produced as entangled pairs and evolve coherently, so at the moment the first  $B$  decays, the other  $B$  is the opposite flavor
- Define  $\Delta t \equiv t_{\text{rec}} - t_{\text{tag}}$  as the time between the decays of the two mesons (based on their separation  $\Delta z$  along the boost direction)



# Time-Dependent DP Analysis

- We use a time-dependent Dalitz Plot analysis to extract both  $\sin 2\beta$  and  $\cos 2\beta$

- Signal mode is  $B^0 \rightarrow D^{(*)}h^0$  where  $D^0 \rightarrow K_S^0\pi^+\pi^-$

- Our signal decay rate is proportional to:
 
$$\frac{e^{-|\Delta t|/\tau_{B^0}}}{2} \left\{ \begin{aligned} & [|\mathcal{A}_{\bar{D}^0}|^2 + |\mathcal{A}_{D^0}|^2] \\ & - q (|\mathcal{A}_{\bar{D}^0}|^2 - |\mathcal{A}_{D^0}|^2) \cos(\Delta m_d \Delta t) \\ & + 2q\eta_{h^0} (-1)^L \text{Im} (e^{-2i\beta} \mathcal{A}_{D^0} \mathcal{A}_{\bar{D}^0}^*) \sin(\Delta m_d \Delta t) \end{aligned} \right\}$$

where the  $\beta$ -dependence in the last term can be rewritten as:

$$\text{Im} (e^{-2i\beta} \mathcal{A}_{D^0} \mathcal{A}_{\bar{D}^0}^*) = \text{Im} (\mathcal{A}_{D^0} \mathcal{A}_{\bar{D}^0}^*) \cos(2\beta) - \text{Re} (\mathcal{A}_{D^0} \mathcal{A}_{\bar{D}^0}^*) \sin(2\beta)$$

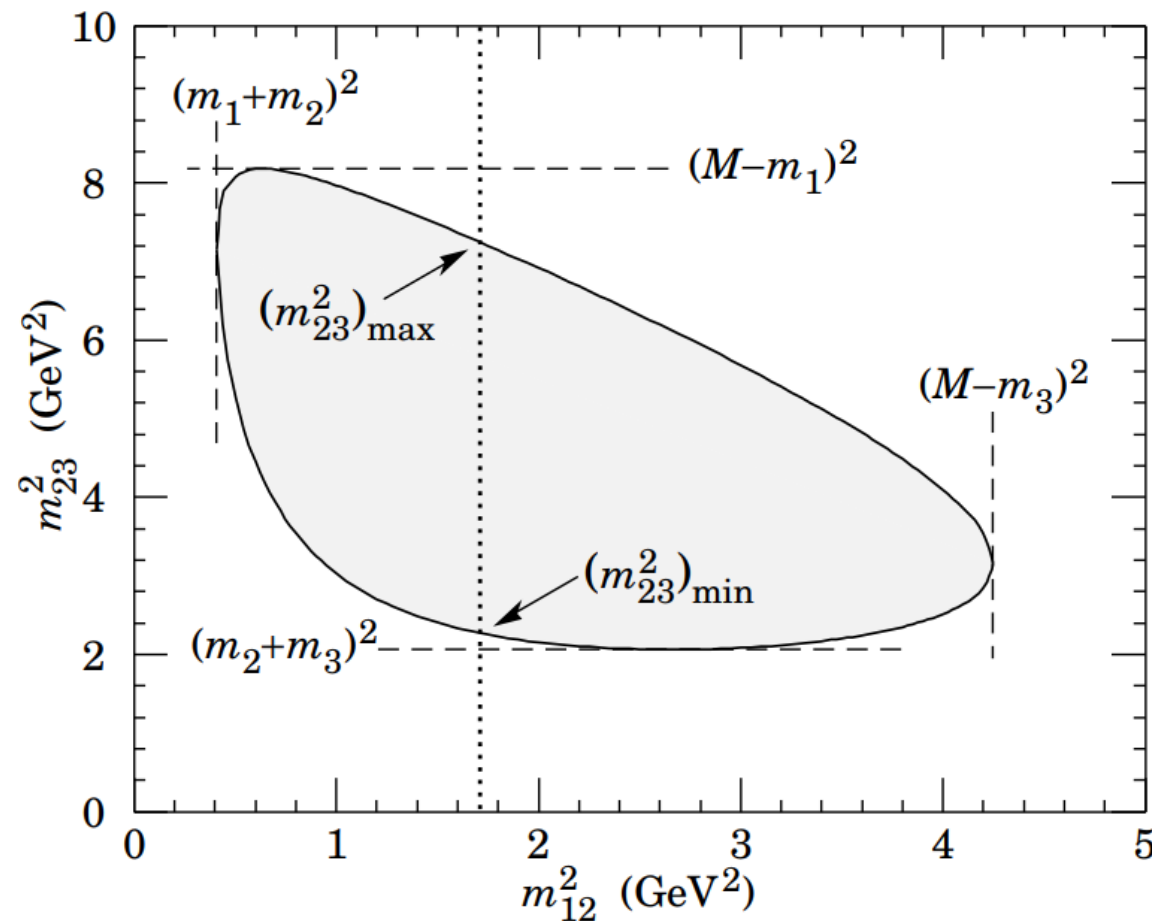
- The interference between  $D^0$  and  $\bar{D}^0$  and the variations across the DP allow the extraction of the  $CP$ -violating weak phase  $2\beta$

$$|M_{B^0}(\Delta t)|^2 = \left[ \begin{array}{l} \left[ \text{DP} \times \cos(\Delta m \Delta t / 2) - ie^{+2i\beta} \times \text{DP} \times \sin(\Delta m \Delta t / 2) \right]^2 \\ \left[ \text{DP} \times \cos(\Delta m \Delta t / 2) - ie^{-2i\beta} \times \text{DP} \times \sin(\Delta m \Delta t / 2) \right]^2 \end{array} \right]$$

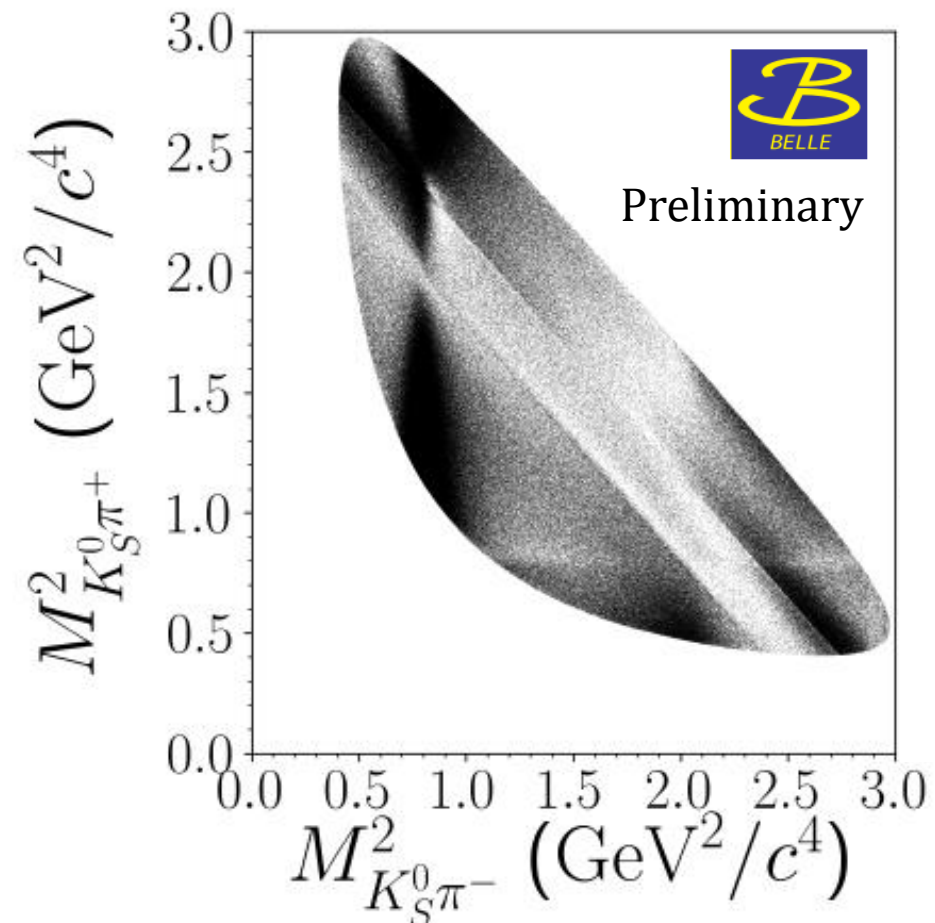


# Dalitz Plot Parameterization

- The kinematics of a spin-0 particle decaying into three spin-0 particles are fully described by two parameters
  - Typically, we use the squared invariant masses of two pairs of final state particles
  - This allows easy determination of intermediate state masses, and phase space decays are uniform in these variables
  - Parameterization referred to as “Dalitz Plot” (DP)



Dalitz Plot (DP)



Example  $D^0/\bar{D}^0 \rightarrow K_S^0 \pi^+ \pi^-$  DP





# Analysis Steps

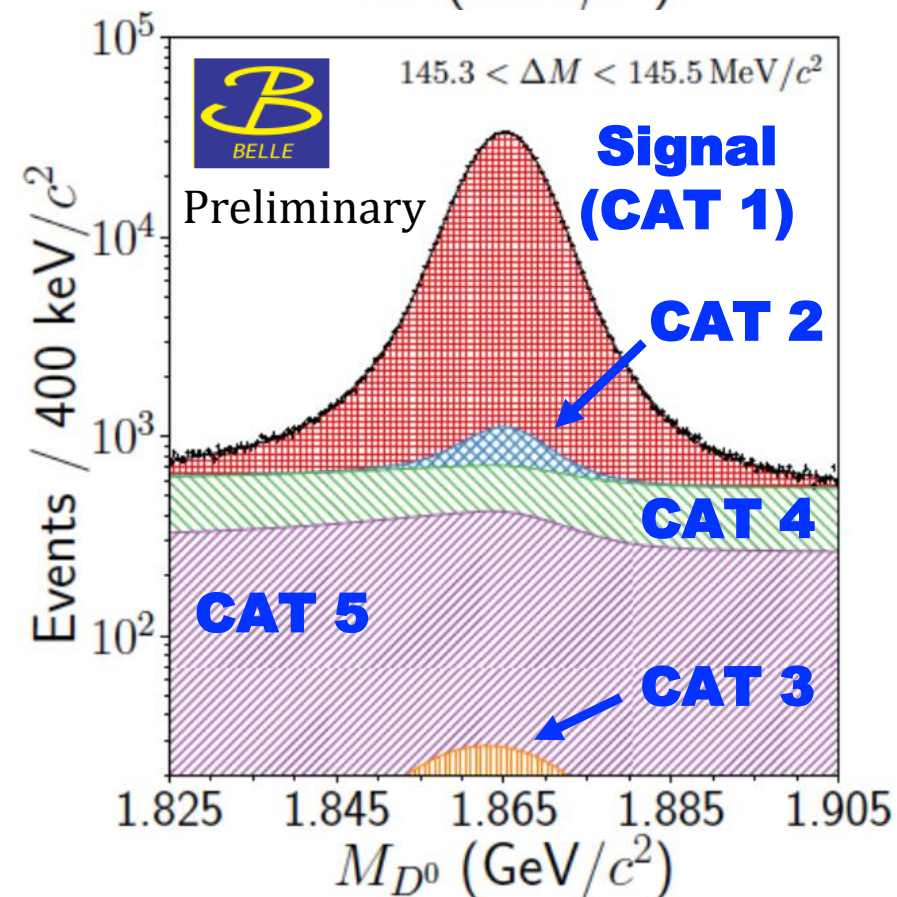
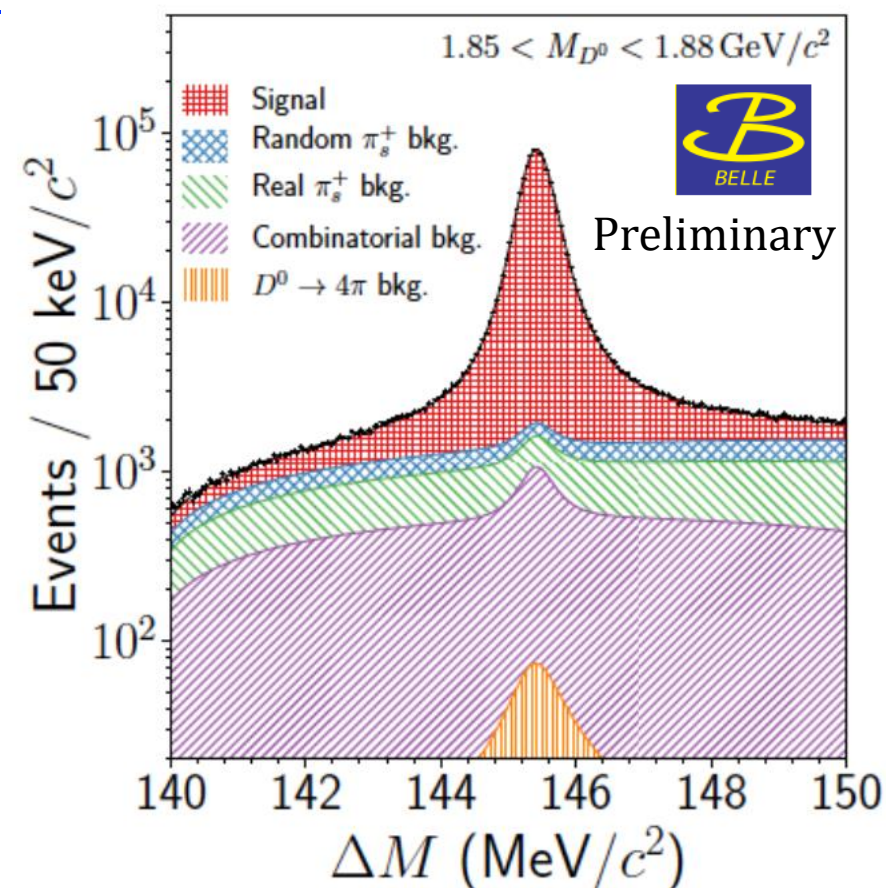
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- (1) Perform a 2D fit to Belle  $c\bar{c}$  data to obtain yields for the signal and background categories
- (2) Extract the  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  Dalitz model from the high-statistics Belle  $c\bar{c}$  data
- (3) Perform a 3D fit to  $B^0 \rightarrow D^{(*)} h^0$  events to extract yields for the signal and background categories
- (4) Perform the final time-dependent Dalitz plot fit to  $B^0 \rightarrow D^{(*)} h^0$  events, using the  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  Dalitz plot model obtained earlier



# $D^{*+} \rightarrow D^0 \pi_S^+$ Yield Extraction

- We perform time-integrated analysis of the Belle  $c\bar{c}$  data to extract sig+bkg yields for the DP model fit
- Signal (**CAT 1**) is correctly reconstructed  $D^{*+} \rightarrow D^0 \pi_S^+$  with  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  decays
- Background is divided into 4 categories:
  - **CAT 2**: True  $D$ , random soft pion
  - **CAT 3**:  $D^0 \rightarrow K_S^0 K_S^0$  and  $4\pi$
  - **CAT 4**: True  $\pi_S^+$  mesons, but incorrect  $D$
  - **CAT 5**: Remaining combinatorial bkg.
- We extract the yields in a 2D unbinned maximum likelihood fit to the  $M_{D^0}$  vs.  $\Delta M$  (the  $D^{*+} - D^0$  mass difference)



Component	Yield
Category 1: Signal	$1217329 \pm 2015$
Category 2: True $D$ , random soft pion	$61330 \pm 1282$
Category 3: $D^0 \rightarrow K_S^0 K_S^0$ and $4\pi$	3438 (fixed to MC expectation)
Category 4: True $\pi_S^+$ mesons, but incorrect $D$	$249701 \pm 10017$
Category 5: Remaining combinatorial bkg.	$270990 \pm 9077$

# $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ Dalitz Model Extraction

- In order to measure  $\cos 2\beta$  using  $B^0 \rightarrow D^{(*)} h^0$  decays, the Dalitz model of the  $D$  decay needs to be known
  - Extract the  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  Dalitz model from Belle  $c\bar{c}$  data
  - With  $924 \text{ fb}^{-1}$  of Belle data collected at or near the  $\Upsilon(4S)$  and  $\Upsilon(5S)$  resonances, we do not need to include BaBar data for this part of the analysis
- Decay Amplitude Parameterization:

$$\mathcal{A}(M_{K_S^0 \pi^-}^2, M_{K_S^0 \pi^+}^2) = \sum_{r \neq (K\pi/\pi\pi)_{L=0}} a_r e^{i\phi_r} \mathcal{A}_r(M_{K_S^0 \pi^-}^2, M_{K_S^0 \pi^+}^2) + F_1(M_{\pi^+ \pi^-}^2) + \mathcal{A}_{K\pi L=0}(M_{K_S^0 \pi^-}^2) + \mathcal{A}_{K\pi L=0}(M_{K_S^0 \pi^+}^2)$$

Quasi 2 – Body Resonances  
(Relativistic Breit – Wigner)

$\pi\pi$  S – Wave  
(K Matrix)

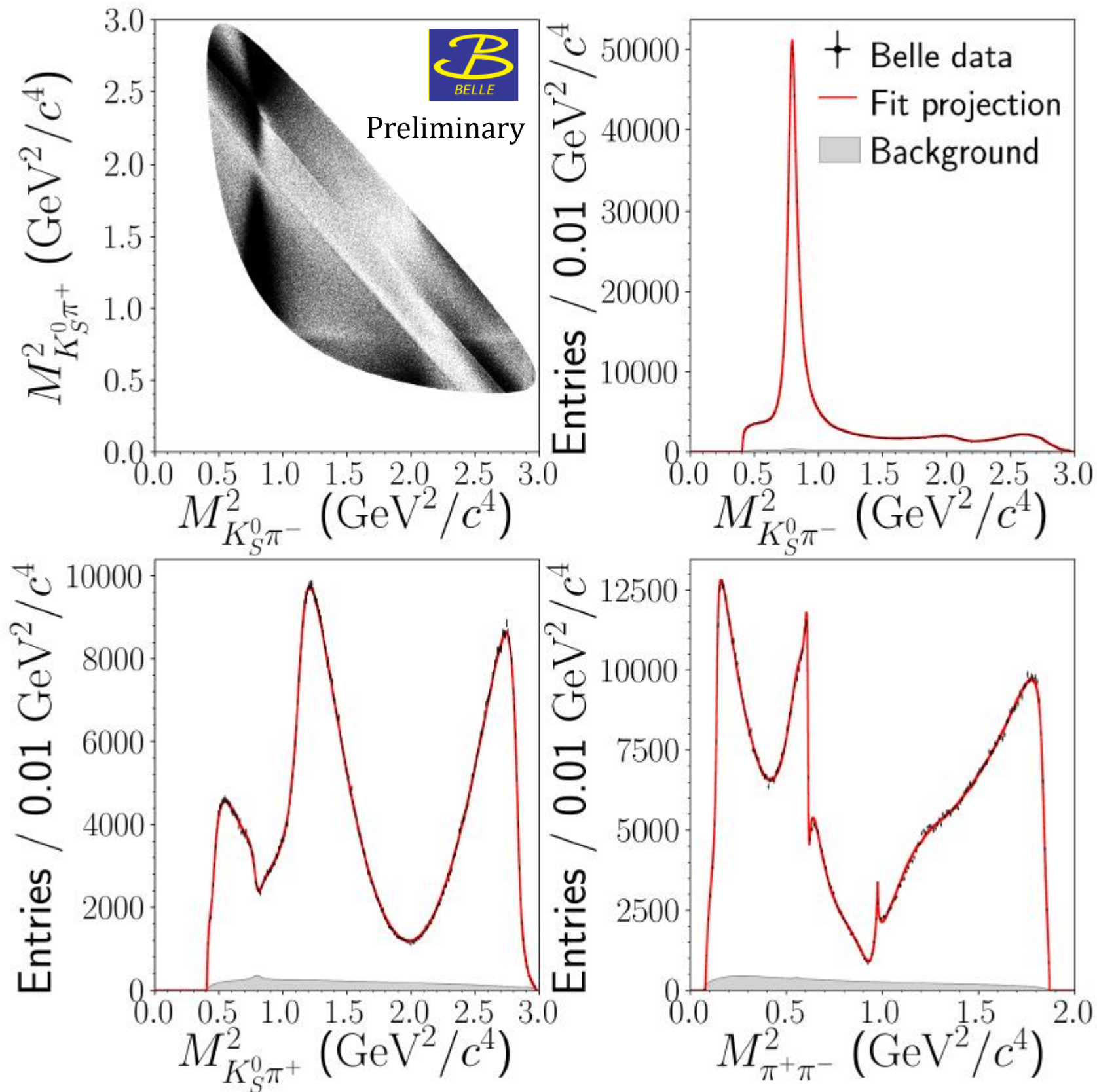
$K\pi$  S – Wave +  $K_0^*(1430)$   
(LASS Parameterization)

- Intermediate resonances:
  - Cabibbo-favored:  $K^*(892)^-$ ,  $K_2^*(1430)^-$ ,  $K^*(1680)^-$ ,  $K^*(1410)^-$
  - Cabibbo-suppressed:  $K^*(892)^+$ ,  $K_2^*(1430)^+$ ,  $K^*(1410)^+$
  - CP eigenstates:  $\rho(770)^0$ ,  $\omega(782)$ ,  $f_2(1270)$ ,  $\rho(1450)^0$





# $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ Dalitz Plot Fit Projections





# $B^0 \rightarrow D^{(*)0} h^0$ Yield Extraction

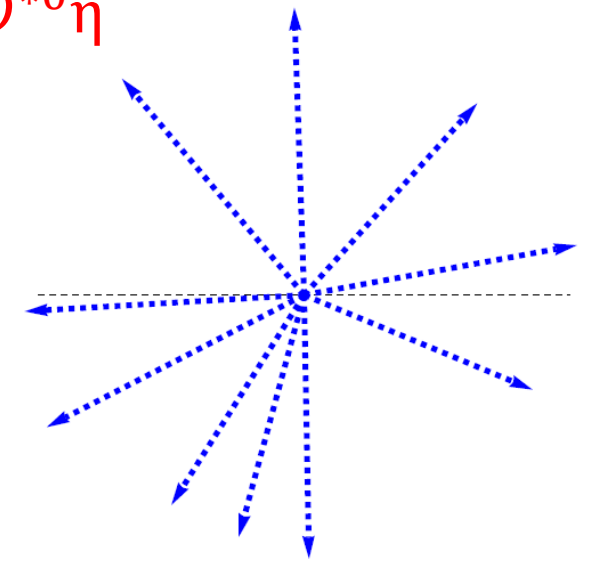
- Before performing the final time-dependent  $CP$  analysis using  $B^0 \rightarrow D^{(*)0} h^0$  decays, we must also extract signal and background yields for these modes
  - The specific modes we reconstruct are:  $D^0\pi^0, D^0\eta, D^0\omega, D^{*0}\pi^0, D^{*0}\eta$
  - Similar selection criteria are used for the BaBar and Belle data
- We use a neural network (NN) combining event-shape variables to reject continuum  $e^+e^- \rightarrow q\bar{q}$
- Yields are extracted in 3-dimensional maximum likelihood fits to:
  - **Modified beam-energy constrained mass ( $M'_{bc}$ ):**

$$M'_{bc} = \sqrt{E_{\text{beam}}^{*2} - \left[ \vec{p}_{D^{(*)}}^* + \hat{p}_{h^0}^* \sqrt{(E_{\text{beam}}^* - E_{D^{(*)}}^*)^2 - M_{h^0}^2} \right]^2}$$

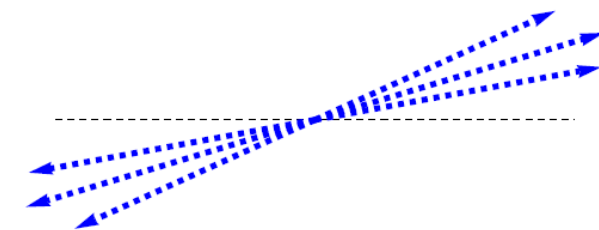
- $\Delta E$

$$\Delta E = E_B^* - E_{\text{beam}}^*$$

- **Neural Network Output ( $\text{NN}_{\text{out}}$ )**



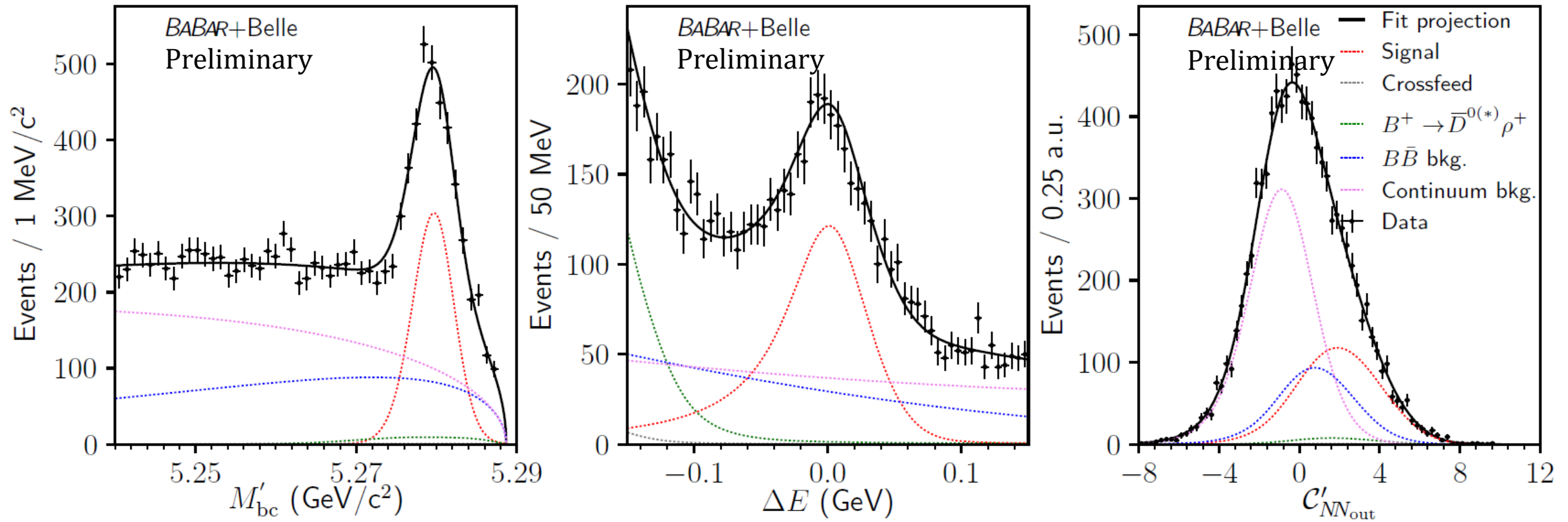
Signal-Like Event Shape



Continuum Event Shape



# $B^0 \rightarrow D^{(*)0} h^0$ Yield Fit Results



	Yield BABAR	Yield Belle
$B^0 \rightarrow \bar{D}^0 \pi^0$	$469 \pm 31$	$768 \pm 37$
$B^0 \rightarrow \bar{D}^0 \eta$	$220 \pm 22$	$238 \pm 23$
$B^0 \rightarrow \bar{D}^0 \omega$	$219 \pm 21$	$285 \pm 26$
$B^0 \rightarrow \bar{D}^{*0} \pi^0$	$147 \pm 18$	$182 \pm 19$
$B^0 \rightarrow \bar{D}^{*0} \eta$	$74 \pm 11$	$94 \pm 13$
All above $B^0 \rightarrow \bar{D}^{(*)0} h^0$ modes	$1129 \pm 48$	$1567 \pm 56$

BaBar and Belle Event Signal Yields



# $B^0 \rightarrow D^{(*)0} h^0$ Time-Dependent Fit

- Finally, we perform a time-dependent fit to  $B^0 \rightarrow D^{(*)0} h^0$  events where  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  in order to simultaneously extract  $\sin 2\beta$  and  $\cos 2\beta$
- Our final likelihood is a combination of BaBar and Belle likelihoods:

$$\ln P = \sum_i \ln P_i^{\text{BaBar}} + \sum_j \ln P_j^{\text{Belle}}$$

- We use a common Dalitz plot model for BaBar and Belle data and fit to the  $\Delta t$  distributions
- The signal decay rate is proportional to:

$$\frac{e^{-\frac{|\Delta t|}{\tau_{B^0}}}}{2} \left\{ \begin{aligned} & [|\mathcal{A}_{\bar{D}^0}|^2 + |\mathcal{A}_{D^0}|^2] \\ & - q (|\mathcal{A}_{\bar{D}^0}|^2 - |\mathcal{A}_{D^0}|^2) \cos(\Delta m_d \Delta t) \\ & + 2q\eta_{h^0} (-1)^L \text{Im} (e^{-2i\beta} \mathcal{A}_{D^0} \mathcal{A}_{\bar{D}^0}^*) \sin(\Delta m_d \Delta t) \end{aligned} \right\}$$

where  $\text{Im} (e^{-2i\beta} \mathcal{A}_{D^0} \mathcal{A}_{\bar{D}^0}^*) = \text{Im} (\mathcal{A}_{D^0} \mathcal{A}_{\bar{D}^0}^*) \cos(2\beta) - \text{Re} (\mathcal{A}_{D^0} \mathcal{A}_{\bar{D}^0}^*) \sin(2\beta)$

- We use separate resolution models and flavor-tagging algorithms for BaBar and Belle

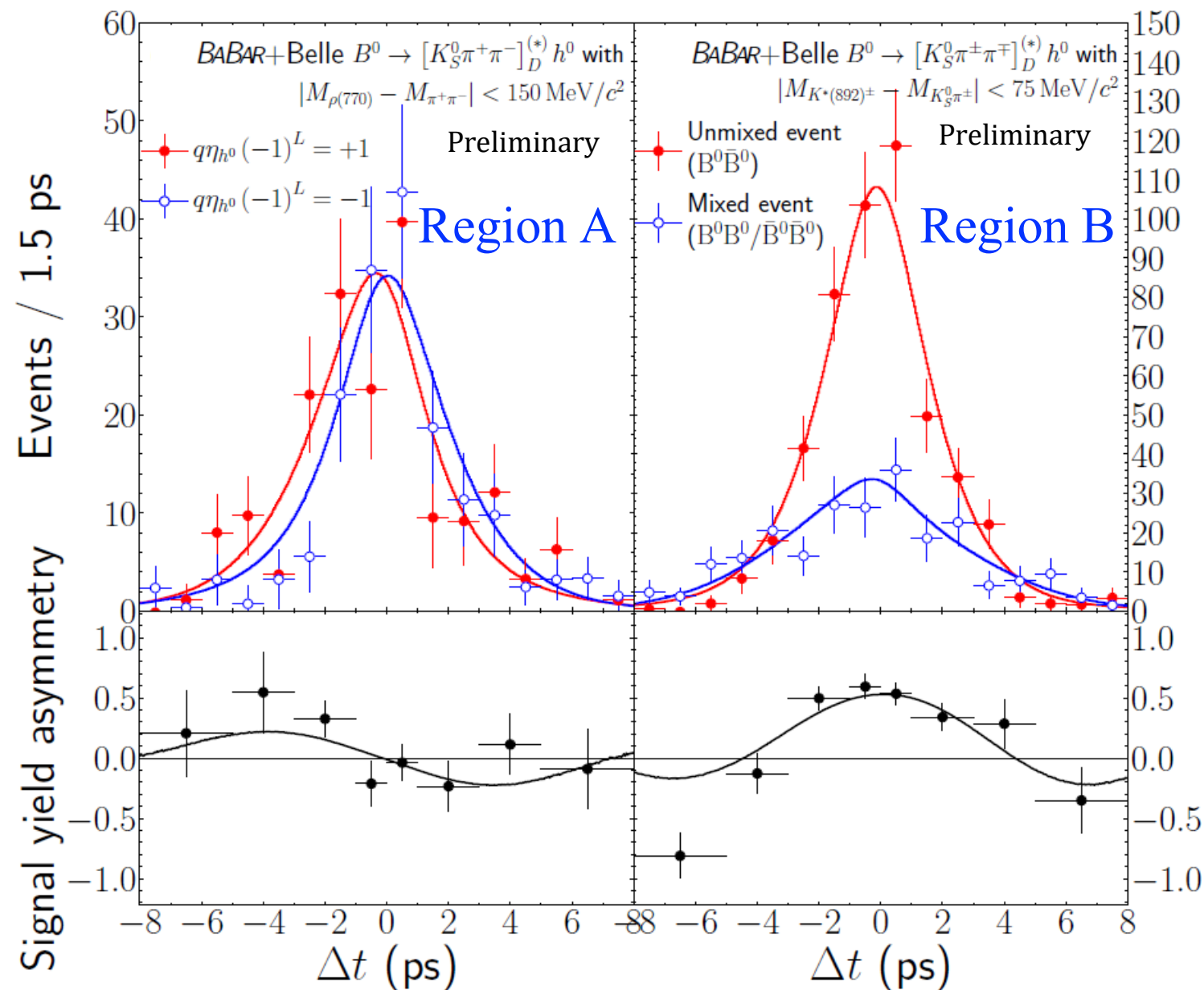


# Final Fit Results I

- **Region A:**
  - Predominantly populated by  $CP$  eigenstates
  - Interference between direct decays of neutral  $B$  mesons, and mixing followed by decays
  - Sinusoidal oscillation in  $CP$  asymmetry reflects mixing-induced  $CP$  violation governed by weak phase  $\beta$

- **Region B:**
  - Predominantly populated by quasi-flavor-specific decays
  - Time evolution exhibits  $B^0 - \bar{B}^0$  oscillations governed by the oscillation frequency,  $\Delta m_d$

- The time-dependent asymmetry exhibits an oscillation pattern proportional to  $\cos(\Delta m_d \Delta t)$



BaBar+Belle  $\Delta t$  fits in two regions of the  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  phase space





# Final Fit Results II

- Final Fit Results

World Average:  
 $\sin 2\beta = 0.69 \pm 0.02$

$$\sin 2\beta = 0.80 \pm 0.14 \text{ (stat.)} \pm 0.06 \text{ (syst.)} \pm 0.03 \text{ (model)}$$

$$\cos 2\beta = 0.91 \pm 0.22 \text{ (stat.)} \pm 0.09 \text{ (syst.)} \pm 0.07 \text{ (model)}$$

$$\beta = (22.5 \pm 4.4 \text{ (stat.)} \pm 1.2 \text{ (syst.)} \pm 0.6 \text{ (model)})^\circ$$

- Most precise measurement of  $\cos 2\beta$

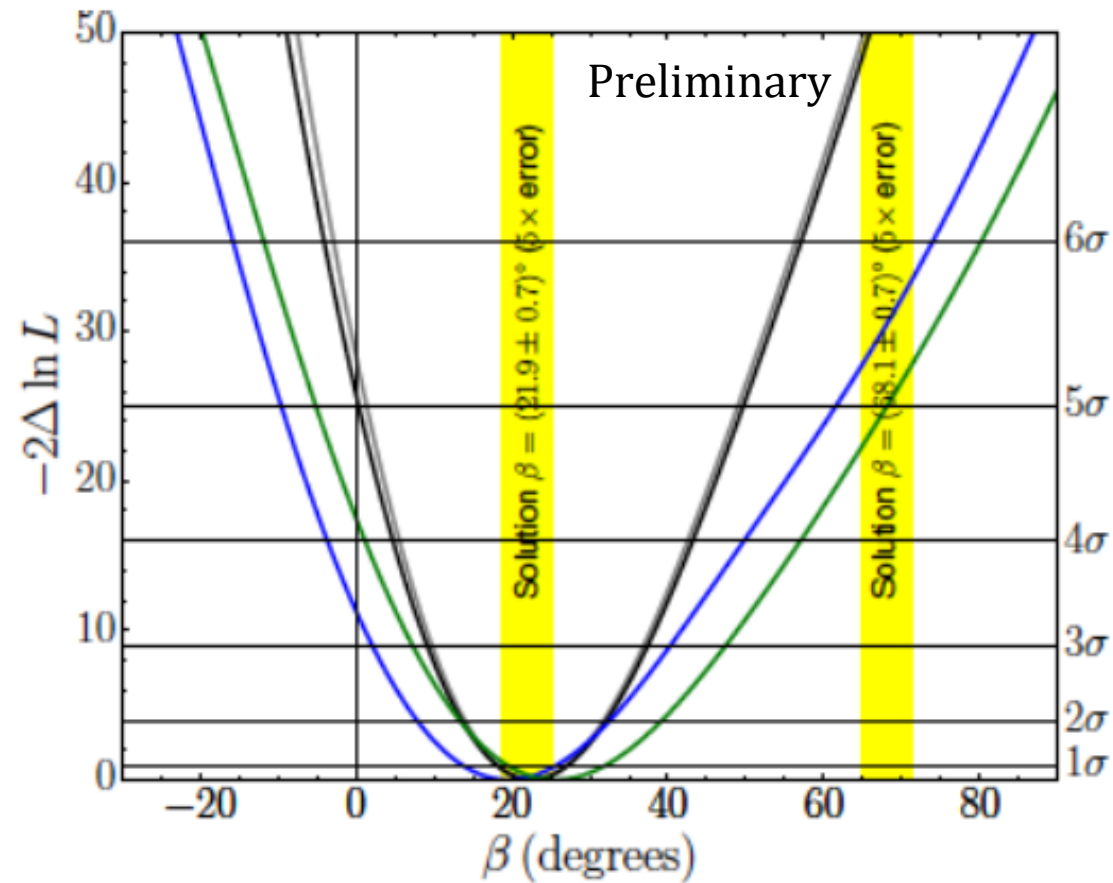
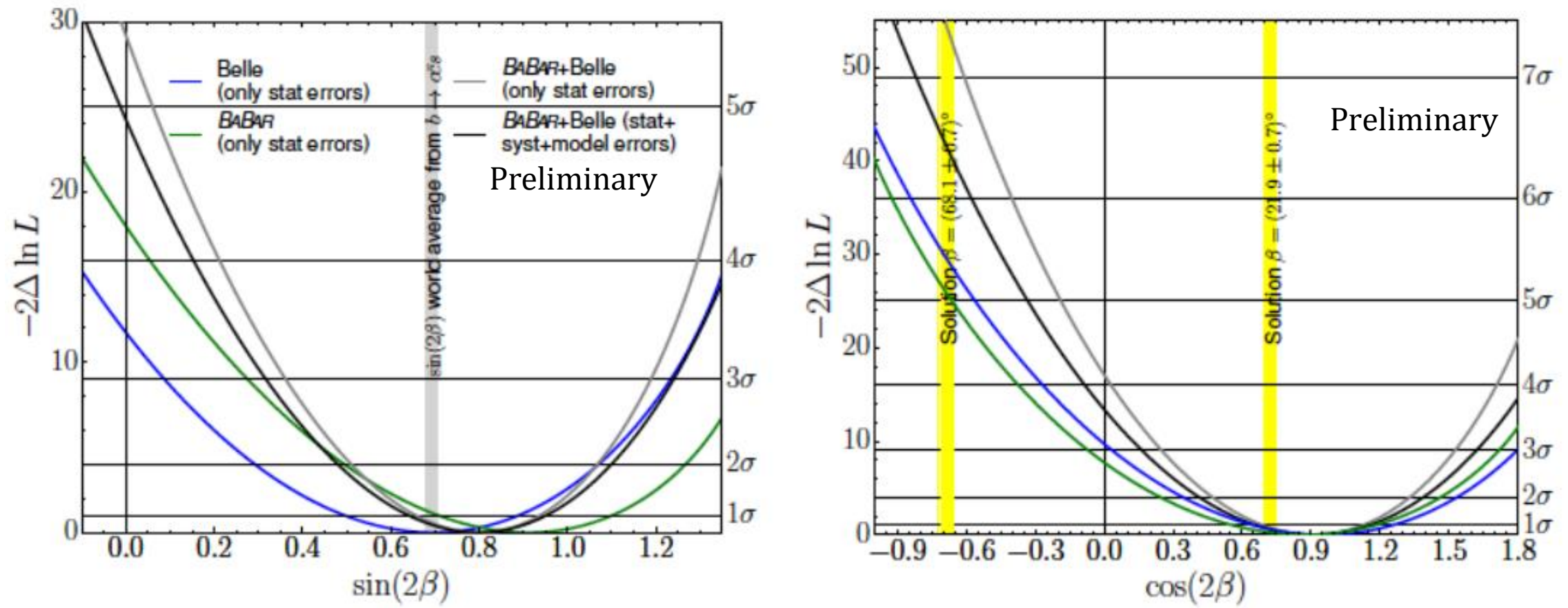
- First evidence for  $\cos 2\beta > 0$  ( $3.7\sigma$ )

- We exclude the second solution ( $\pi/2 - \beta = (68.1 \pm 0.7)^\circ$ ) at  $7.3\sigma$  significance, resolving the ambiguity in the apex of the CKM Unitarity Triangle

- We exclude  $\beta = 0$  at  $5.1\sigma$ , and thus observe  $CP$  violation in  $B^0 \rightarrow D^{(*)}h^0$



# Result Comparison



# Summary

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- We have combined the final BaBar and Belle data samples (an integrated luminosity of more than  $1 \text{ ab}^{-1}$  collected at the  $\Upsilon(4S)$  resonance) and performed a time-dependent Dalitz plot analysis of  $B^0 \rightarrow D^{(*)} h^0$  with  $D \rightarrow K_S^0 \pi^+ \pi^-$  decays
- We report the world's most precise measurement of  $\cos 2\beta$
- We obtain the first evidence for  $\cos 2\beta > 0$  and exclude the trigonometric multifold solution at  $7.3\sigma$  significance
- Papers have been submitted to PRL and PRD

[arXiv:1804.06152 \[hep-ex\]](https://arxiv.org/abs/1804.06152)

[arXiv:1804.06153 \[hep-ex\]](https://arxiv.org/abs/1804.06153)

